



OAKLAND UNIFIED  
SCHOOL DISTRICT

*Community Schools, Thriving Students*

# Federal Program Monitoring Overview

## 4/28/21



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# Ask of the Board

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- This item is presented for the purpose of providing information about Federal Program Monitoring (FPM) and for asking questions about FPM.

# Outline

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- Definition of Federal Program Monitoring
- Federal Program Monitoring Goals
- OUSD Staff Responsibilities
- Outcomes from Federal Program Monitoring

# SCHOOL DISTRICTS HELD ACCOUNTABLE BY THE GOV'T

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## **Federal grant Program Monitoring (FPM) Reviews/Audits**

- CA Dept. of Education Conducts Onsite & Online Reviews
- Fiscal Monitoring of the Federal Grants
- Program Reviews of the Federal Programs
- Every Two Years

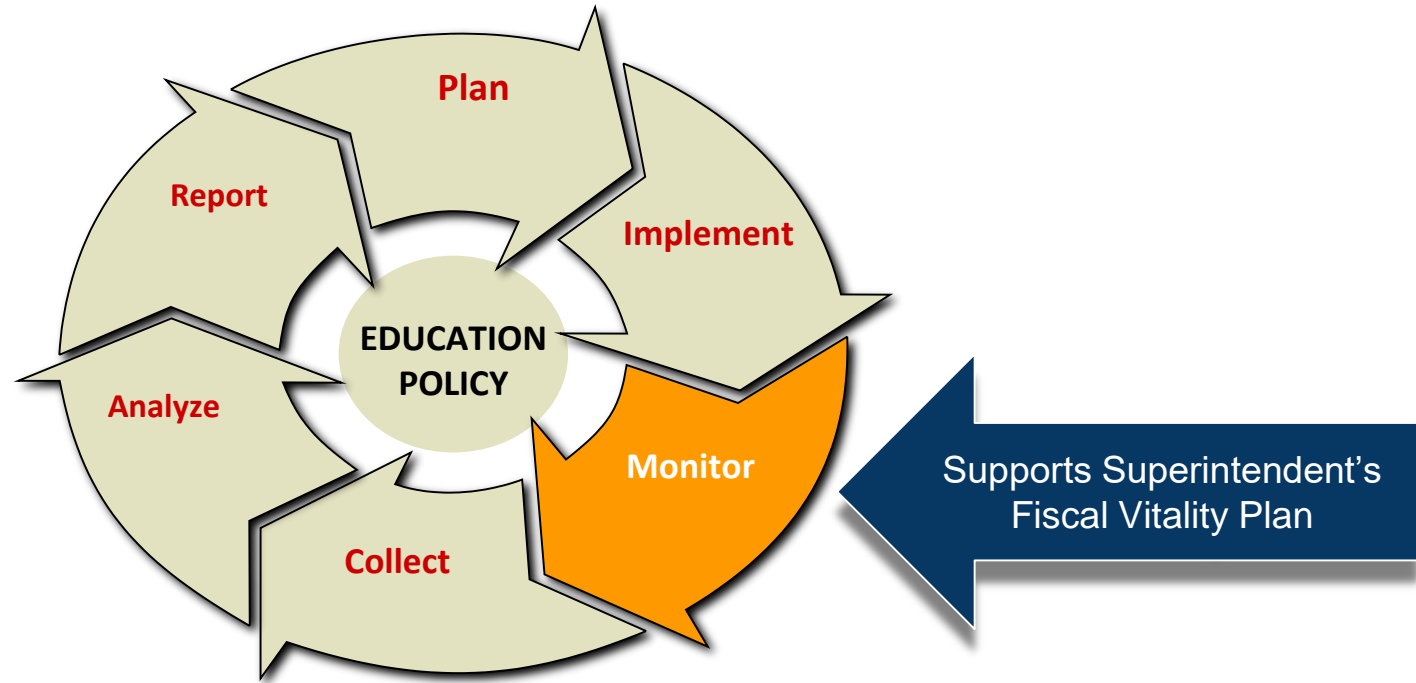
**The FPM Review is One of the Processes Used to Ensure Districts are Fulfilling Their Responsibility for Operating Federal Grants and Programs that Meet Statutory Requirements.**



# OUSD FEDERAL GRANT MANAGEMENT SYSTEM TELLS OUR STORY FOR FEDERAL GRANTS

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In order to create a Plan, staff needs to understand the laws associated with the Grants



A photograph of a classroom where several young students are seated at a long white table, each working on a laptop. In the foreground, a girl with dark hair and a blue shirt is wearing large headphones and looking at her laptop. Next to her, a boy with blonde hair is also focused on his laptop. To his right, a girl with blonde hair and a blue patterned shirt is working. In the background, other students are visible, some also using laptops. The classroom walls are decorated with various posters, including one that says 'VICTORY' and another with the text 'Steps to Success'. A whiteboard is visible in the background.

# *FPM Overview & Goals*



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# 2020 - 21 SY FPM GOALS

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**1. Have fewer program findings & cost findings than in prior years.**




**2. Ensure that all contributing departments understand role and responsibility in FPM process and put practices in place to respond to requests in future.**



**3. Build a Federal Program Monitoring System—  
institutional documentation.**



# 13 FEDERAL GRANTS & PROGRAMS REVIEWED

<b>Uniform Complaint Procedures</b> Gabriel Valenzuela - Lead	<b>English Learner (Title III)</b> Nicole Knight - Lead Tom Felix - Lead	<b>School Support &amp; Improvement (CSI)</b> Diana Sherman - Lead
<b>Adjusted Cohort Grad. Rate</b> Lucia Moritz - Lead		<b>Early Learning &amp; Care</b> Christie Herrera - Lead
<b>Adult Education</b> Kim Jones - Lead		<b>Education Equity</b> Andrea Bustamante - Lead
<b>Career Technical Education</b> Rebecca Lacocque - Lead		<b>Homeless Education</b> Trish Anderson - Lead
<b>CARES Act (COVID Grants)</b> Troy Christmas - Lead		<b>OUSD FPM Lead</b> Lisa Spielman - SRP
<b>Compensatory Education (Title I)</b> Rakia Esver - Lead	<b>Fiscal Monitoring</b> Joyce Cheung - Lead Ryan Nguyen - Lead	<b>Supporting Effective Instruction (Title II)</b> Wes Jacques - Lead





# SPECIFIC SCHOOL SITES REVIEWED



200 OUSD staff were interviewed:

Paid from Federal Grants

Teach in a Federal Program (CTE)

Implement Federal Grant or Program

2,000 Documents Submitted

## **Reviewed in Six Programs:**

Global Family, Manzanita Community, Markham Elementary, United for Success, Westlake Middle, Fremont High, Oakland High, Skyline High

## **CTE Only:**

CCPA, Life Academy, Oakland Tech, Oakland International

## **Early Learning & Care:**

Brookfield State PreK, CUEs State PreK, Yuk Yau CDC and Hintil CDC





# *Findings*



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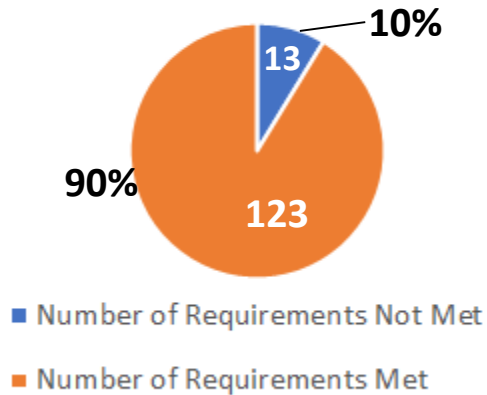
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# OUSD HISTORICAL FPM FINDINGS CHART



# 2020-21 OUSD FINDINGS

OUSD FPM 2021 FINDINGS



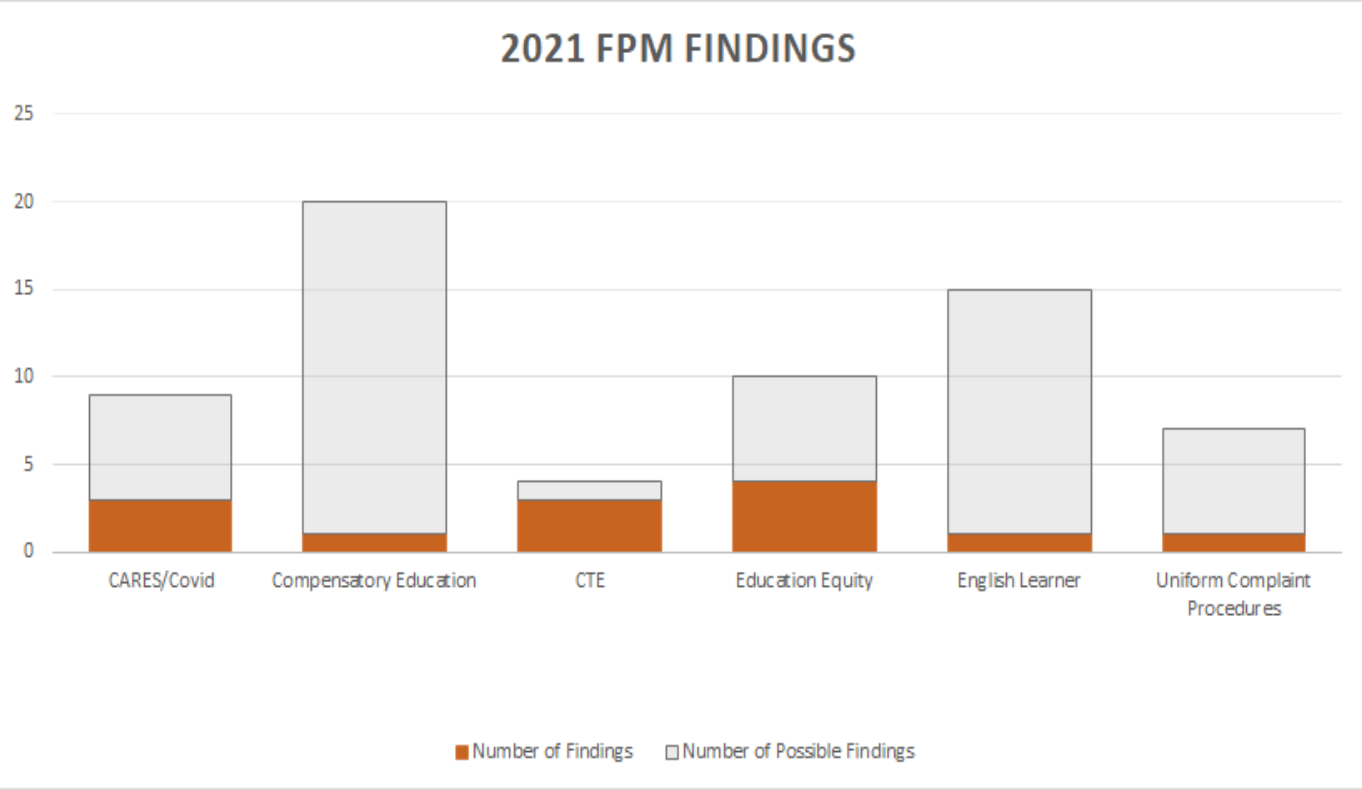
**The California Department of Education (CDE) views findings as a way to get back on track and identify changes needed.**

## Category of Findings

1. Procurement Process and Allowability (CARES)
2. Program Requirements (Career Technical Education)
3. Parent and Family Engagement Policy (Title I)
4. Policies and Procedures (Education Equity)
5. Site English Language Learner Committee (English Learner)
6. Complaint Log (Uniform Complaint Procedures)



# 2021 FINDINGS BY GRANT OR PROGRAM



## Programs w/ No Findings

- Adjusted Cohort Grad. Rate
- Adult Education
- Early Learning Care
- Fiscal Monitoring
- Homeless Education
- School Support & Improv.
- Support Effective Instruction



# CONTEXTUALIZING FINDINGS: QUALITATIVE DATA

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**“You have 13 findings, and that is fantastic under any measure, but for such a large review with so many programs...this is vast improvement.”** - California Department of Education (CDE)

**“Only about a third of reviews result is less than 20 findings, but you can’t compare apples to oranges; some reviews are of really small districts with only two or three programs reviewed, versus yours which is huge.”** - CDE

**“This has been my third or fourth review of Oakland and there has been a marked improvement...I want to acknowledge all the hard work of the entire team...for the District to change in such a short period of time is a reflection of your diligence and asking questions.”** - CDE

**“Previous reviews have not gone so well. This one did; it was a pleasure to be part of. And the progress you made getting your CTE program up to speed I know must have been excruciating because the minimum requirements of a high quality CTE program are very lofty...The findings that we have written are normal on the scale of high-performing CTE programs around the State.”**  
- CDE



# ONGOING FEDERAL PROGRAMS - COST FINDINGS

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YEAR	# Findings	COST FINDINGS	Finding Type
2012	35	\$2,633,949	Cost & Program
2017	36	\$1,898,659	Cost & Program
2021	10	\$0	Program

**Zero Cost Findings for  
20-21 Ongoing Federal Programs**



# COVID GRANT FUNDING - COST FINDINGS

YEAR	# Findings	Substantiated COST FINDINGS	Finding Type
2021	3	\$610,354.07	Cost & Program

## Key Takeaways

- CDE disbursed emergency funds without clear guidelines in place.
- CDE was building their system as we went along.
- OUSD did not have existing procedures to handle emergency funds.

## Next Steps

- The District is building systems to manage COVID funds in the same manner as our ongoing Federal programs.
- CDE gives 45 days to resolve findings; the resolution process involves mitigating cost findings and taking corrective actions.





# THRIVING STUDENTS, THRIVING COMMUNITY, THRIVING DISTRICT

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***It Always Seems Impossible Until It's Done***

**-Nelson Mandela**



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## Additional Slides

Not part of presentation  
For additional information and/or in  
response to Board member questions

# FPM ROLE AND MISSION OF SRP

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## The Office of Strategic Resource Planning (SRP) Coordinates FPM...

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and provides **planning support, guidance, and legislative oversight** to schools and departments as they align funding to academic goals in order to use resources effectively to improve student outcomes.



# FEDERAL PROGRAM MONITORING REVIEW

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<b>Grantor</b>	<b>FPM (Feds)</b>
<b>Grantee</b>	<b>CDE Audits</b>
<b>Sub - Grantee</b>	<b>OUSD</b>

**The FPM Review is One of the Processes Used to Ensure Districts are Fulfilling Their Responsibility for Operating Federal Grants and Programs that Meet Statutory Requirements.**



A photograph of a classroom where several young students are seated at a long white table, each with a laptop. They are focused on their work. The background features a whiteboard with some faint writing, a poster on the left wall, and a large collage of colorful posters on the right wall. An orange semi-transparent banner is overlaid across the middle of the image.

# *Grants and Programs Reviewed*



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