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File ID Number	17-0638
Introduction Date	4/26/17
Enactment Number	
Enactment Date	



Community Schools, Thriving Students

Memo

To

Board of Education

From

Devin Dillion, Interim Superintendent

Board Meeting

Date

April 26, 2017

Subject

Amendment No. 1 to Employment Agreement – Ray A. Mondragon

Action Requested

Approval by the Board of Education of Amendment No. 1 to Employment Agreement – Ray A. Mondragon, Deputy Chief Academic, Early Childhood Learning for the term July 1, 2017 to June 30, 2018

Background and Discussion

Ray Mondragon is the Deputy Chief, Academic, Early Childhood Learning. The Amendment extends the term for the period from July 1, 2017 through June 30,

2018.

Recommendation

Approval of Amendment No. 1 to Employment Agreement with Ray A.

Mondragon.

Fiscal Impact

Funding resource name: General Purpose & Packard Foundation

Attachments

Amendment No. 1 to Employment Agreement

AMENDMENT NO. 1 TO EMPLOYMENT AGREEMENT RAY MONDRAGON, DEPUTY CHIEF ACADEMIC, EARLY CHILDHOOD LEARNING OAKLAND UNIFIED SCHOOL DISTRICT

Oakland Unified School District entered into an Employment Agreement with Ray Mondragon on January 14, 2015 (Enactment No. 15-0098).

The Board of Education agrees to extend the term of the Employment Agreement and makes the amendments as follows:

Amend and replace Article 1.1 to read:

1.1 The term of this Agreement is July 1, 2017 through July 1, 2018 ("Term"), unless extended in writing by mutual agreement of District and Employee or terminated sooner at the discretion of District. Employee's work year shall be 261 work days.

Amend and replace Article 7 to read:

- 7.1 District and Employee agree Employee shall serve at the pleasure and will of the Superintendent.
- 7.2 This employment contract may be terminated by:
 - a. Termination Without Cause. Either party may terminate this agreement for no reason upon thirty days written notice given as provided below. In the event the Agreement is terminated by District for no cause, Employee shall be entitled to an amount equal to the monthly salary of Employee multiplied by the number of months left of the unexpired term of the Agreement. However, if the unexpired term of the Agreement is greater than six (6) months, the maximum cash settlement shall be an amount equal to the monthly salary of the employee multiplied by 6. No other or additional non-cash settlement may be agreed to, except that health benefits may be continued, limited to the same time restrictions as for cash settlement, or until new employment is found, whichever occurs first. Any payment made under this section shall be made no later than thirty (30) days after the last day of employment.
 - b. Retirement of Employee.
 - c. Death or disability of Employee. For purposes of this Agreement, "disability" means Employee's inability, by reason of physical or mental infirmity or both, to perform the duties contemplated under this Agreement for a period of 120 consecutive days or 150 days in the aggregate in a consecutive twelve (12) month period. "Disability" shall be determined by a licensed physician acceptable to District and Employee. The physician's fee shall be paid by District. Any termination for disability shall not prejudice any rights under any disability policies benefiting Employee.
 - d. Discharge for Cause. For purposes of this Agreement, "cause" shall mean Employee's (a) conviction (or a *no lo contendre* plea) to any felony; (b) dishonesty in performing his duties under this Agreement; (c) repeated and willful misconduct under this Agreement; or, (d) willful neglect of his duties under this Agreement.

Prior to final determination by the Board of Education of cause for termination, Employee must have been given sixty (60) calendar days written notice of such possible action, and of the grounds therefore, and a reasonable opportunity to be heard by the Board of Education in the way of explanation or defense.

In the event that such termination is determined by the Superintendent (or later adjudicated) to be "without cause," the sole remedy shall be to make Employee whole in salary and benefits pursuant to 7.2.a above.

7.3 Pursuant to Education Code § 35031, Employee shall be provided written notice at least sixty (60) days in advance of the expiration of his term if he is not to be reemployed.

Except as expressly provided above, the Agreement is unchanged and is incorporated by reference into this Amendment. This Amendment to the Employment Agreement constitutes the entire understanding and agreement between the Parties. All understandings, agreements, covenants, representations and warranties, express or implied, oral or written between are contained and merged herein. No other agreements, covenants, representations or warranties, express or implied, oral or written, have been made by or between the Parties concerning the subject matter hereof. This is an integrated agreement. It may not be altered, modified or otherwise changed in any respect except in a writing signed by each party.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion: The District certifies to the best of its knowledge and belief, that it and its officials: Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency according to Federal acquisition Regulation Subpart 9.4, and by signing this contract, certifies that this Employee does not appear on the Excluded Parties List. https://www.sam.gov/portal/public/SAM

This Employment Agreement shall be effective upon execution by Employee and the President and Secretary of the Board of Education.

Ray Mondragon By District	Date: 4/18/2017
by District	
	Date:
James Harris, President Board of Education	
	Date:
Devin Dillon	
Interim Superintendent	
Secretary, Board of Education	
Approved as to Form	Date: 4/21/17
Marion McWilliams	

General Counsel

Board Office Use: Le	gislative File Info.
File ID Number	14-2523
Introduction Date	1/14/2015
Enactment Number	15-0098
Enactment Date	1/14/15 0/



Community Schools, Thriving Students

Memo

To

Board of Education

From

Antwan Wilson, Superintendent

Board Meeting

Date Subject January 14, 2015

Approval of Employment Contract -- Ray A. Mondragon, Deputy

Chief Academic, Early Childhood Learning

Action Requested

Approval of Employment Contract -- Ray A. Mondragon, Deputy Chief Academic, Early Childhood Learning

Background

Ray Mondragon is being appointed by the Superintendent to the position of Deputy Chief Academic, Early Childhood Learning. The position is grant funded.

Discussion

Mr. Mondragon serves serve as the Deputy Chief, Early Childhood Learning, under the direction of the Chief Academic Officer. In this capacity, he is responsible for working with schools to implement rigorous curriculum throughout early childhood classrooms, working with site administrators, teachers and program directors to evaluate data, advising on how to use interventions, coaches and other supports, leading and supervising Early Childhood Education and Transitional Kindergarten and scheduling and planning professional development for all staff. The full job description was approved by the Board as Enactment 14-1851 on November 5, 2014. The term of the Agreement is January 5, 2015 to June 30, 2017 at an annual salary of \$157,500.

Recommendation

Approval of the Employment Agreement with Ray Mondragon.

Fiscal Impact

Funding resource name: Grant Funds-- not to exceed \$157,500 plus the cost of benefits per fiscal year.

Attachment

Employment Agreement

EMPLOYMENT AGREEMENT

Ray A. Mondragon, Deputy Chief Academic, Early Childhood Learning

In consideration of the mutual promises made herein, the Oakland Unified School District, ("OUSD") a local public entity pursuant to Government Code § 811.2 and by the California Education and Government Codes (hereinafter "District"), and Ray A. Mondragon, an individual (hereinafter "Employee"), enter into this Employment Agreement ("Agreement") and agree as follows:

Article 1 Acceptance of Employment and Term

1.1 District hereby employs Employee and Employee hereby accepts employment with the District on the terms and conditions stated herein. The term of employment shall be January 5, 2015 to ending June 30, 2017 ("Term"), unless extended in writing by mutual agreement of District and Employee or terminated sooner at the discretion of District.

Article 2 Duties and Obligations of Employee

- 2.1 Employee shall serve as the Deputy Chief, Early Childhood Learning, under the direction of the Chief Academic Officer. In this capacity, Employee shall be responsible for working with schools to implement rigorous curriculum throughout early childhood classrooms, work with site administrators, teachers and program directors to evaluate data, will advise on how to use interventions, coaches and other supports, lead and supervise Early Childhood Education and Transitional Kindergarten and schedule and plan professional development for all staff. The full job description was approved by the Board as Enactment 14-1851 on November 5, 2014. The full job description is incorporated by reference as Exhibit A, into this Employment Agreement.
- 2.2 Employee shall adhere to and comply with all laws, statutes, regulations, policies and administrative bulletins that presently or prospectively govern District and the conduct of its employees.
- 2.3 District and Employee agree that any intellectual property created by Employee related to or concerning the legal work of the District is owned jointly. Employee shall grant to District the right to share equally in any royalties received by Employee arising out of any intellectual property created by Employee related to or concerning the legal work of the District.
- 2.4 District may use Employee's name during the term of employment as necessary or convenient without additional compensation to Employee.
- 2.5 Employee warrants and represents that he has the ability and authority to enter into this Agreement, that there are no restrictions or limitations on entering into this Agreement, and that entering into this Agreement will not violate any agreement(s) Employee has with any third parties.

Article 3 Obligations of District

3.1 District agrees to defend, indemnify and hold Employee harmless against any claims, demands, actions, lawsuits, losses or damages of any kind or nature arising out of or related to the course and scope of Employee's discharge of his duties as. District may continuously maintain throughout the term of employment adequate insurance for such purpose.

Article 4 Compensation

- 4.1 The salary of Employee shall be fixed \$157,500 year, payable on the same schedule as other non-represented senior management employees, or at such other times as the District may provide for the payment of employee salaries. Employee shall be entitled to a cost of living adjustment equivalent to three percent of his 2014-15 salary, effective July 1, 2016. Employee shall be entitled to salary increases provided to all unrepresented management staff and the stipends to which Employee is eligible.
- 4.2 District shall have the right and obligation to deduct or withhold from compensation due Employee those sums required for applicable federal, state and local income taxes and Social Security taxes.
- 4.3 Employer shall fund Employer's portion of retirement based upon the salary herein.
- 4.4 The District shall pay the Employee a one-time payment in the amount of \$12,000to assist in defraying the costs associated with moving from Denver, Colorado to Oakland.
- 4.5 The District agrees to provide Employee with 13-inch: MacBook Pro 2.6GHz with Retina display and iPad mini 3.

Article 5 Vacation, Sick and Personal Leave

- 5.1 Employee shall commence employment with a balance of 78 days of sick leave (in acknowledgment of his balance of sick leave with prior employer(s)). Employee shall be entitled to twenty (20) annual vacation days with pay. Employee is encouraged to take all vacation days during the year in which such days are earned. At District's option, Employee may be reimbursed annually at his daily rate of pay for any unused days not to exceed twenty (20) per year. Employee shall not accrue more than twenty vacation days annually without the expressed approval of the Employer.
- 5.2 Employee shall be entitled to accrue paid sick leave at the rate of 1.0 days per month up to 12 days per year. If Employee does not utilize the total amount of accrued sick leave authorized during any year, Employee may carry over the unused time to sick leave in the subsequent year.

5.3 Employee is entitled to accrue annual paid personal leave at the rate of 5 days per year. If Employee does not utilize the total amount of accrued personal leave authorized during any year, such leave may be carried over to unused sick leave in the subsequent year.

Article 6 Employee Health Benefits and Expense Reimbursement

- 6.1 District agrees to pay directly to Employee's or future existing health, dental and vision providers, not to exceed the maximum benefits afforded to any other employee, the insurance premiums associated with Employee, and his qualified dependents under Internal Revenue Code § 152. District further agrees to maintain during the term of employment long term disability insurance for Employee.
- 6.2 District shall pay the reasonable expenses of Employee to attend appropriate professional and official meetings at the local, state and national level subject to constraints of the Department budget.
- 6.3 District shall reimburse Employee, pursuant to the policies and practices of District, the necessary costs and expenses incurred by Employee in performing the duties of, including but not limited to gas, travel, materials, supplies and related expenditures, all of which is properly documented by receipts.

Article 7 Termination of Employment

- District and Employee agree Employee shall serve at the pleasure and will of the Superintendent and Employee agree that this Agreement may be terminated by either party for no reason upon thirty days written notice given as provided below. In the event the Agreement is terminated for no cause, in accordance with Government Code § 53260, Employee shall be entitled to an amount equal to the monthly salary of Employee multiplied by the number of months left of the unexpired term of the Agreement. However, if the unexpired term of the Agreement is greater than six (6) months, the maximum cash settlement shall be an amount equal to the monthly salary of the employee multiplied by 6. No other or additional non-cash settlement may be agreed to, except that health benefits may be continued, limited to the same time restrictions as for cash settlement, or until new employment is found, whichever occurs first. Any payment made under this section shall be made no later than thirty (30) days after the last day of employment. The Superintendent may terminate this Agreement for cause, pursuant to Section 7.2c below, in which case the above shall not apply. Copies of this Agreement and any settlement shall be made available to the public upon request.
- 7.2 This employment contract may otherwise be terminated by:
 - a. Retirement of Employee.

- b. Death or disability of Employee. For purposes of this Agreement, "disability" means Employee's inability, by reason of physical or mental infirmity or both, to perform the duties contemplated under this Agreement for a period of 120 consecutive days or 150 days in the aggregate in a consecutive twelve (12) month period. "Disability" shall be determined by a licensed physician acceptable to District and Employee. The physician's fee shall be paid by District. Any termination for disability shall not prejudice any rights under any disability policies benefiting Employee.
- c. Discharge for Cause. For purposes of this Agreement, "cause" shall mean Employee's (a) conviction (or a no lo contendre plea) to any felony; (b) dishonesty in performing his duties under this Agreement; (c) repeated and willful misconduct under this Agreement; or (d) willful neglect of his duties under this Agreement.

Prior to final determination by the Board of Education of cause for termination, Employee must have been given sixty (60) calendar days written notice of such possible action, and of the grounds therefore, and a reasonable opportunity to be heard by the Board of Education in the way of explanation or defense.

In the event that such termination is determined by the Superintendent (or later adjudicated) to be "without cause," the sole remedy shall be to make Employee whole in salary and benefits for the balance of the term hereof, subject to the following: (i) Employee's duty to mitigate such loss through alternative available employment; (ii) offset for Employee's earnings from any active alternative employment or contractual engagements; and (iii) the limitations of Government Code § 53260 (if the remaining contract term exceeds six (6) months). The term "alternative available employment" shall not be construed to require Employee to seek, obtain or mitigate his loss through employment that is not comparable to the position of -, including as it pertains to salary, benefits, duties and responsibilities, or with an employer that is located outside the greater San Francisco Bay Area.

7.3 Pursuant to Education Code § 35031, Employee shall be provided written notice at least sixty (60) days in advance of the expiration of his term if he is not to be reemployed.

Article 8 Evaluation

- 8.1 Employer shall evaluate Employee not less than annually upon a schedule to be determined by Employer. The evaluation and assessment shall be reasonably related to the position description of the Employee and to the goals and objectives of the Board of Education for the year in question.
- 8.2 In the event that the Superintendent determines that the performance of the Employee is unsatisfactory in any respect, it shall describe in writing and in reasonable detail specific instances of unsatisfactory performance. The evaluation shall include recommendations as to areas of improvement in all instances where the Superintendent deems performance to be unsatisfactory. A copy of the written evaluation shall be delivered to the Employee. Employee shall have the

right to make a written response to the evaluation and be placed in the personnel file along with the evaluation.

Article 9 Changes in Agreement

9.1 Additional written amendments may be added to the Agreement by mutual consent of the Employee and the Superintendent at any time during the period of this Agreement.

Article 10 General Provisions

10.1 All notices required to be given under this Agreement shall be delivered via hand delivery, by first class mail or via email as follows:

To District:
Antwan Wilson, Superintendent
Oakland Unified School District
1000 Broadway, Suite 680
Oakland, CA 94607

To Employee: Ray Mondragon, Deputy Chief Early Childhood Learning Oakland Unified School District 1000 Broadway, Suite 680 Oakland, CA 94607

The Superintendent or Employee may change the designated address for the giving of notices by providing to the other amended notice information in writing.

- 10.2 Any controversy between the District and Employee involving the construction or application of any of the terms, provisions, or conditions of this Agreement shall, on the written request of either party served on the other, be submitted to binding arbitration. Arbitration shall comply with and be governed by the provisions of the California Arbitration Act. District and Employee shall agree on the selection of one person to hear and determine the dispute. If the parties are unable to agree on a single arbitrator to hear the dispute, they shall obtain a list of arbitrators from the American Arbitration Association and select the arbitrator by alternative strike method. The arbitration shall be governed by the California Arbitration Act, Code of Civil Procedure § 1280 et seq.
- 10.3 "Year" as used in this Agreement means a fiscal year, July 1 through and including June 30th.
- 10.4 No waiver of any rights or obligations under this Agreement may occur unless provided in writing.
- 10.5 This Agreement constitutes the entire agreement between District and Employee concerning the subject matter of this Agreement. Any prior agreements or understandings between District and Employee concerning the same subject matter not contained within this Agreement are null and void.

File ID Number: 11-2823
Introduction Date: 1/14/15
Enactment Number: 15-098
Enactment Date: 1/14/15
By: 1/14/15

- 10.6 This Agreement is subject to all applicable laws of the State of California, to the rules and regulations of the State Board of Education, and to the lawful rules and regulations of the Board.
- 10.7 If during the term of this Agreement it is found that a specific clause of the Agreement is illegal under Federal or State law, the remainder of the Agreement not affected by such a ruling shall remain in force.
- 10.8 This Agreement may be modified or extended only in writing and must be signed by District and Employee.
- 10.9 This Agreement shall be effective upon execution by Employee and the President and Secretary of the Board of Education.

By Employee

By District

President, Board of Education
Oakland Unified School District

Secretary, Board of Education Oakland Unified School District

Approved as to Form

Jacqueline Minor, General Counsel