

File ID Number	16-0746
Introduction Date	5-11-16
Enactment Number	16-0681
Enactment Date	5/11/16
By	SR



**OAKLAND UNIFIED
SCHOOL DISTRICT**
Community Schools, Thriving Students

OAKLAND UNIFIED SCHOOL DISTRICT
Office of the Board of Education

April 27, 2016

To: Board of Education

From: Antwan Wilson, Superintendent

Subject: Grant Award Notification - Rockefeller Philanthropy Advisors, Inc. - Collaborating Districts Initiative Grants and Technical Assistance Management

ACTION REQUESTED:

Approval and acceptance by the Board of Education of District applicant submitting grant award for OUSD Schools from the Rockefeller Philanthropy Advisors, Inc. Grant Award for OUSD's Collaborating Districts Initiative Grants and Technical Assistance Management to support the District's capacity to promote Social and Emotional Learning (SEL) for all students for fiscal years 2015-2018 to accept same, if granted, in whole or in part, pursuant to the terms and conditions thereof and to submit amendments thereto, for the grant years, if any.

BACKGROUND:

Grant award for OUSD schools for the 2015-2018 fiscal years was submitted for funding as indicated in the chart below. The Grant Face Sheet and grant application packets are attached.

File ID #	Backup Document Included	Type	Recipient	Grant's Purpose	Time Period	Funding Source	Grant Amount
16-0746	Yes	Grant	Oakland Unified School District Schools	To support the District's capacity to promote Social and Emotional Learning (SEL) for all students.	July 1, 2015 - June 30, 2018	Rockefeller Philanthropy Advisors, Inc.	\$750,000.00

DISCUSSION:

The district created a Grant Face sheet process to:

- Review proposed grant projects at OUSD sites and assess their contribution to sustained student achievement
- Identify OUSD resources required for program success

OUSD received a Grant Face Sheet and a completed grant application for the program listed in the chart by the school.

FISCAL IMPACT:

The total amount of grants will be provided to OUSD schools from the funders.

- Grants valued at: \$750,000.00

RECOMMENDATION:

Approval and acceptance by the Board of Education of District grant award for OUSD schools for fiscal years 2015-2018 to accept same, if granted, in whole or in part, pursuant to the terms and conditions thereof and to submit amendments thereto, for the grant year, if any.

ATTACHMENTS:

Grant Award Letter, Rockefeller Philanthropy Advisors, Inc.



CONTRACT JUSTIFICATION FORM
This Form Shall Be Submitted to the Board Office
With *Every* Consent Agenda Contract.

Legislative File ID No. 16-0746

Department: 922/Community Schools and Student Services Department

Vendor Name: Rockefeller Philanthropy Advisors, Inc.

Contract Term: Start Date: July 1, 2015 End Date: June 30, 2018

Annual Cost: \$ 750,000.00

Approved by: Mary Hurley, Curtiss Sarikey

Is Vendor a local Oakland business? Yes ☐ No ☒

Why was this Vendor selected?

OUSD received a generous grant for the Collaborating District's Initiative Grants and Technical Assistance Management to support the District's capacity to promote Social and Emotional Learning (SEL) for all students.

Summarize the services this Vendor will be providing.

Collaborating District's Initiative (CDI), a national effort aimed at supporting District's capacities to promote Social and Emotional Learning (SEL) for all students. The CDI has two primary goals:

- 1) To develop the District's capacities to plan, implement, and monitor systemic changes that will impact schools and classrooms in ways that enhance students' social-emotional development and academic performance.
- 2) To document lessons learned that can inform future efforts to support systemic SEL implementation in districts across the country.

Was this contract competitively bid? Yes ☒ No ☐

If No, answer the following:

- 1) How did you determine the price is competitive?

2) Please check the competitive bid exception relied upon:

- ☐ **Educational Materials**
- ☐ **Special Services** contracts for financial, economic, accounting, legal or administrative services
- ☐ **CUPCCAA exception** (Uniform Public Construction Cost Accounting Act)
- ☐ **Professional Service Agreements** of less than \$86,000 (increases a small amount on January 1 of each year)
- ☐ **Construction related Professional Services** such as Architects, DSA Inspectors, Environmental Consultants and Construction Managers (require a "fair, competitive selection process")
- ☐ **Energy** conservation and alternative energy supply (e.g., solar, energy conservation, co-generation and alternate energy supply sources)
- ☐ **Emergency** contracts
- ☐ **Technology** contracts
 - ☐ electronic data-processing systems, supporting software and/or services (including copiers/printers) over the \$86,000 bid limit, must be competitively advertised, but any one of the three lowest responsible bidders may be selected
 - ☐ contracts for computers, software, telecommunications equipment, microwave equipment, and other related electronic equipment and apparatus, including E-Rate solicitations, may be procured through an RFP process instead of a competitive, lowest price bid process
 - ☐ Western States Contracting Alliance Contracts (WSCA)
 - ☐ California Multiple Award Schedule Contracts (CMAS) [contracts are often used for the purchase of information technology and software]
- ☐ **Piggyback" Contracts** with other governmental entities
- ☐ **Perishable Food**
- ☐ **Sole Source**
- ☐ **Change Order for Material and Supplies** if the cost agreed upon in writing does not exceed ten percent of the original contract price
- ☐ **Other, please provide specific exception**


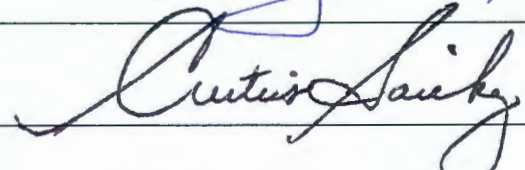
OUSD Grants Management Face Sheet

Title of Grant: Collaborating Districts Initiative Grants and Technical Assistance Management	Funding Cycle Dates: July 1, 2015 – June 30, 2018
Grant's Fiscal Agent: (contact's name, address, phone number, email address) Mary Hurley Coordinator Social and Emotional Learning and Leadership Community Schools and Student Services Department Oakland Unified School District 1000 Broadway, Suite 150 Oakland, CA 94607 (510) 879-3195 (510) 879-4605 Fax	Grant Amount for Full Funding Cycle: \$750,000.00 Allocation: 2015-2016 \$250,000.00 Initial Funding 2016-2017 \$250,000.00 July 1, 2016-June 30, 2017 2017-2018 \$250,000.00 July 1, 2017-June 30, 2018
Funding Agency: Jane Levikow, Vice President, Sponsored Projects & Funds Rockefeller Philanthropy Advisors, Inc. 575 Market St, San Francisco, CA 94105 (415) 543-0733 (415) 543-0735 Fax	Grant Focus: To support the District's capacity to promote Social and Emotional Learning (SEL) for all students.
List all School(s) or Department(s) to be Served: All Oakland Unified Schools.	

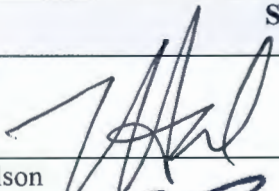
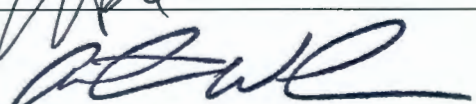
Information Needed	School or Department Response
How will this grant contribute to sustained student achievement or academic standards?	In 2011, NoVo Foundation and CASEL launched the Collaborating Districts Initiative (CDI), a national effort aimed at supporting District's capacities to promote Social and Emotional Learning (SEL) for all students. The CDI has two primary goals. The first is to develop District's capacities to plan, implement, and monitor systemic changes that will impact schools and classrooms in ways that enhance students' social-emotional development and academic performance. The second goal is to document lessons learned that can inform future efforts to support systemic SEL implementation in Districts across the country.
How will this grant be evaluated for impact upon student achievement? (Customized data design and technical support are provided at 1% of the grant award or at a negotiated fee for a community-based fiscal agent who is not including OUSD's indirect rate of 5.46% in the budget. The 1% or negotiated data fee will be charged according to an Agreement for Grant Administration Related Services payment schedule. This fee should be included in the grant's budget for evaluation.)	Annual external evaluation by American Institutes for Research along with CASEL designed annual evaluations.
Does the grant require any resources from the school(s) or district? If so, describe.	Grant requires participation in the CDI: SEL as a district priority, attend CASEL events, making district staff available for SEL PD, communicate regularly with CDI on progress, provide personnel to support development and sustainability of a multi-year design for district-wide SEL implementation.
Are services being supported by an OUSD funded grant or by a contractor paid through an OUSD contract or MOU? (If yes, include the district's indirect rate of 5.46% for all OUSD site services in the grant's budget for administrative support, evaluation data, or indirect services.)	No
Will the proposed program take students out of the classroom for any portion of the school day? (OUSD reserves the right to limit service access to students during the school day to ensure academic attendance)	No

continuity.)	
Who is the contact managing and assuring grant compliance? (Include contact's name, address, phone number, email address.)	Mary Hurley, Coordinator Social and Emotional Learning & Leadership Community Schools and Student Services Department Oakland Unified School District 1000 Broadway, Suite 150 Oakland, CA 94607 (510) 879-3195 Mary.Hurley@ousd.org

Applicant Obtained Approval Signatures:

Entity	Name/s	Signature/s	Date
Principal	Mary Hurley		4/5/16
Department Head (e.g. for school day programs or for extended day and student support activities)	Curtiss Sarikey		

Grant Office Obtained Approval Signatures:

Entity	Name/s	Signature/s	Date
Fiscal Officer	Vernon Hal		
Superintendent	Antwan Wilson		



July 1, 2015

Antwan Wilson
Superintendent
Oakland Unified School District
1000 Broadway, Suite 680
Oakland, CA 94607

Dear Mr. Wilson:

It is a pleasure to inform you that Collaborating Districts Initiative Grants and Technical Assistance Management ("the Project"), a sponsored project of Rockefeller Philanthropy Advisors, Inc. ("RPA"), has approved a grant in the amount of \$750,000 to Oakland Unified School District ("Grantee").

The following terms and conditions apply to this Grant Agreement:

Project Description

In 2011, NoVo Foundation and CASEL launched the Collaborating Districts Initiative (CDI), a national effort aimed at supporting districts' capacities to promote Social and Emotional Learning (SEL) for all students. The CDI has two primary goals. The first is to develop districts' capacities to plan, implement and monitor systemic changes that will impact schools and classrooms in ways that enhance students' social-emotional development and academic performance. The second goal is to document lessons learned that can inform future efforts to support systemic SEL implementation in districts across the country.

Grant Period

The Grant Period is for 36 months beginning July 1, 2015 and ending June 30, 2018.

Use of Grant Funds

Grantee will use the grant funds solely to carry out the stated purpose of this grant as set forth in its proposal.

Grantee is expected to commit to the following:

- Demonstrate an ongoing commitment to social and emotional learning as a district priority by: i) circulating supportive communications from the office of the superintendent; ii) attending CASEL-sponsored events; and iii) making staff available and accessible for SEL professional development

- Actively participate in activities throughout the grant period, including: i) virtual and in-person meetings with CASEL staff and consultants and Education First liaisons; ii) CASEL convenings and role-alike roundtables; iii) sharing opportunities such as webinars with CASEL, other CDI districts and partners; and iv) participate in CDI program evaluation. Note that some activities will be required and others will be optional.
- Regularly communicate and share strategies, tools, results and best practices with CASEL and all CDI partners.
- Report on grant progress according to requirements and schedules described in this agreement
- Cover travel and lodging costs for the annual CDI Cross District meetings for four to six participants from your district.
- District superintendents are expected to attend the annual CDI meeting.

RPA has the right at its discretion to terminate or suspend the grant or withhold payment if (a) RPA is not reasonably satisfied with Grantee's progress on the grant; or (b) significant leadership or other changes occur that RPA believes may threaten the grant; or (c) Grantee leaders do not participate in evaluations conducted by RPA and/or partner organizations of the Project, including but not limited to Education First; or (d) Grantee fails to participate in Collaborating Districts Initiative activities including calls required by partner consultants; or e) Grantee fails to comply with any term or condition of this Grant Agreement. On termination, if requested by RPA, Grantee will promptly return to RPA any unspent and uncommitted grant funds previously distributed to Grantee by RPA.

Payment Schedule

\$250,000 – Upon receipt of signed Grant Agreement

\$250,000 – Upon receipt and approval of the required Interim Report I as described below, and updated narrative of planned grant activities

\$250,000 – Upon receipt and approval of the required Interim Report II as described below, and updated narrative of planned grant activities

Reporting

Grantee will submit a final written, narrative and financial report on the progress of the grant according to the schedule below and using a template provided by RPA. Reports will describe Grantee's progress toward achieving major objectives outlined in its proposal, unusual circumstances or problems encountered during the reporting period and efforts made to resolve them. A complete and accurate record of how grant funds have been spent to date and how those expenditures compare with the budget in the grant proposal will accompany the reports.

Report Due	Period Covered	Due Date
Interim Report I	July 1, 2015 through June 30, 2016	July 15, 2016 [<i>narrative and financial</i>]
Interim Report II	July 1, 2016 through June 30, 2017	July 17, 2017 [<i>narrative and financial</i>]
Final Report	July 1, 2017 through June 30, 2018	July 16, 2018 [<i>narrative and financial</i>]

Grantee Tax-Exempt Status

Grantee warrants and guarantees that it is recognized as an organization described in Section 501(c)(3) and 509(a)(1) or (2), or a Type I, Type II or functionally integrated Type II supporting organization described in 509(a)3 of the Internal Revenue Code or an instrumentality of the government, or a governmental agency.

Grantee will advise RPA immediately if the federal government, Internal Revenue Service, or state or local authorities give the Grantee notice of a change in its tax status.

Record Maintenance & Inspection

Grantee will maintain clear and accurate records of receipts and expenditures for this grant and make such records available to RPA upon request. Grantee will permit RPA and its authorized representatives to monitor and conduct evaluations of the operations under this grant.

Publicity

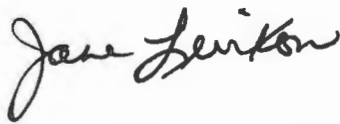
Except for simple statements indicating that RPA has provided Grantee with funding, the RPA name and logo may be used only with the written permission of an RPA representative.

Dissemination Requirements

Grantee will disseminate materials developed as a result of the grant award to RPA, RPA consultants and other grant award recipients of the Project as requested.

Please sign, date, and email a copy of this Grant Agreement to the attention of Gabby Dizon, Relationship Manager at gdizon@rockpa.org with a copy to cdi@education-first.com. We are pleased to be able to support your organization's important work.

Sincerely,



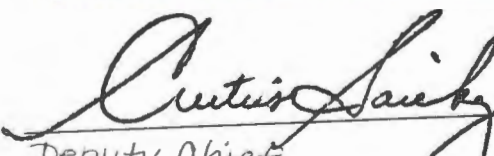
Jane Levikow
Vice President, Sponsored Projects & Funds
Rockefeller Philanthropy Advisors

Oakland Unified School District by its authorized representative, agrees to the terms and conditions of this Grant Agreement.

Signature: Marg Horley Date: 4/5/16

Printed Name: Marg Horley James Harris
President, Board of Education

Title: Coordinator SEL



Page 3 of 3
Deputy Chief
Community Schools & Student Services Dept.

OAKLAND UNIFIED SCHOOL DISTRICT
Office of General Counsel
APPROVED FOR FORM & SUBSTANCE
By: [Signature]
Attorney at Law



Antwan Wilson
Secretary, Board of Education