Board Office Use: Le	gislative File Info.
File ID Number	15-1570
Introduction Date	8/26/15
Enactment Number	15-1375
Enactment Date	8/26/15 8



OAKLAND UNIFIED SCHOOL DISTRICT

Community Schools, Thriving Students

# Memo

То							
		Board	of Education				
From		Antwan Wilson, Superintendent					
Board Meet Date	ting	8	126/5				
Subject		District Submitting Grant Agreement - CSPP-5018, General California State Preschool Program					
<u>Action</u> Requested	L	Presch	tance by the Board Educ nool Program for the East tions thereof, if any.				
Background	<u>d</u> :	Childh indica Board meeti is con termin	Agreement for OUSD Sc nood Education Departm ted in the chart below. Secretary's Office and ng through Board of Edu tingent upon appropriat nated immediately by th ient to fund that State's	ent are submitted to Grant agreement p will be electronical acation Legislative I ion and availability the State if funds are	for board backets are ly availabl nformatio of sufficie on appro	acceptance and e available for e within one w n Center. Fund ent funds. This priated or avai	d approval as review through the reek of the board ling of this contra Contract may be
File I.D #	Backup Document Included	Туре	Recipient	Grant's Purpose	Time Period	Funding Source	Contract Amount
			Oakland Unified	To provide			
	Yes	CSPP Grant	School District for the Early Childhood Education Department	funding for the Preschool Program in the Early Child Development Centers	7/1/15- 6/30/16	California Department of Education	\$ 10,061,430.00
		Grant The I fundi Califo	School District for the Early Childhood Education Department District received a contr ng to the Early Childhoo ornia State Preschool Pr • Review asses • Identif	Preschool Program in the Early Child Development Centers act agreement CSPI od Education Depart ogram. w scope of work out its contribution to fy OUSD resources r	6/30/16 P-5018 FY tment for clined by e sustained required fo	Department of Education 15-16 for conti implementation ach grant agre- student achiev or program succ	inued n of the ement and ement. cess.
Discussion Fiscal Impa		Grant The I fundi Califo The to based	School District for the Early Childhood Education Department District received a contr ng to the Early Childhoo Ornia State Preschool Pro • Review asses	Preschool Program in the Early Child Development Centers act agreement CSPI od Education Depart ogram. v scope of work out its contribution to fy OUSD resources r	6/30/16 P-5018 FY tment for clined by e sustained required fo	Department of Education 15-16 for conti implementation ach grant agre- student achiev or program succ	n of the ement and ement. cess.



#### Recommendation

Acceptance by the Board of Education of District Grant Agreement, CSPP-5018 FY 2015-2016, for the Early Childhood Education programming, pursuant to the terms and conditions thereof, and to submit amendments thereto, for the contract year, if any.

Attachments

- Grant Face Sheet- CSPP-5018, FY 2015-2016
- Contractor Certification Clauses (CCC-307)
- Federal Certification CO.8
- Attachment A- Agency CDC centers
- Resolution No.

California Department of Education Attachment 2 FY 15-16 Page 4 of 6

### RESOLUTION

This resolution must be adopted in order to certify the approval of the Governing Board to enter into this transaction and subsequent amendments with the California Department of Education for the purpose of providing child care and development services and to authorize the designated personnel to sign contract documents for Fiscal Year 2015–16.

	<b>RESOLUTION</b> 1415-1	1178
BE IT RESOLVED that the	Governing Board ofOakland U	nified School District
authorizes entering into loc that the person/s who is/ar Governing Board.	al agreement number e listed below, is/are authorized	and to sign the transaction for the
NAME	TITLE	SIGNATURE
James Harris	President, Board of Education	All in
Antwan Wilson	Secretary, Board of Education	peye
Governing Board of Oakl	THIS day of and Unified School District County, in the State of Califo	
I, Antwan Wilson	, Clerk of the Governing	Board of
Oakland Unified School Distric	Alameda	County in the
adopted by the said Board	t, of <u>Alameda</u> that the foregoing is a full, true ar at a <u>Regular</u> eting and the resolution is on file	meeting thereof held at a
(Clerk's signatu	re)	(Date)

#### ATTACHMENT A

## California Department of Education Child Development Division Agency Site List Fiscal Year 2014-1015

#### OAKLAND UNIFIED SCHOOL DISTRICT

#### Alameda County

Acorn Woodland Pre-K Alice Street Learning Center Allendale Pre-K Arovo Viejo Bella Vista Child Center Bridges@Melrose Academy Pre-K **Brookfield Pre-K Burbank Pre-K** Centro Infantil De La Raza Community United (Lockwood Pre-K) **Emerson Child Center** Fruitvale Pre-K Garfield Pre-K H. R. Tubman Child Development Center **Highland Child Development Center** Hintil Kuu Ca Child Development Center Howard Pre-K International Child Development Center Jefferson Child Development Center Laurel Child Development Center Lockwood Child Development Center Manzanita Child Development Center M.L. King Child Development Center Place@Prescott Pre-K Reach Academy Pre-K (Cox) Sankofa Pre-K Seguoia Child Development Center Stonehurst Pre-K United Nation Child Development Center Webster Child Development Center Yuk Yau Child Development Center

1025 81<sup>st</sup> Avenue 250 17<sup>th</sup> Street 3670 Penniman Avenue 1895 70<sup>th</sup> Avenue 2410 10<sup>th</sup> Avenue 1325- 53<sup>rd</sup> Avenue 401 Jones Avenue 3550 64<sup>th</sup> Avenue 2660 E 16<sup>th</sup> Street 6701 E 14<sup>th</sup> Street 4801 Lawton Avenue 3200 Boston Avenue 1640 22<sup>nd</sup> Avenue 800 33<sup>rd</sup> Street 1322 86<sup>th</sup> Avenue 11850 Campus Drive 8755 Fontaine Street 2825 International Blvd 1975 40<sup>th</sup> Avenue 3825 California Street 1125 69<sup>th</sup> Avenue 2618 Grande Vista 960A - 12<sup>th</sup> Street 920 Campbell Street 9860 Sunnyside Street 581 61th Street 3730 Lincoln Avenue 901 105<sup>th</sup> Avenue 1025 4th Street 901 105<sup>th</sup> Avenue 291 10<sup>th</sup> Street

Oakland 94621 Oakland94612 Oakland 94619 Oakland 94621 Oakland 94606 Oakland 94601 Oakland 94603 Oakland 94605 Oakland 94601 Oakland 94621 Oakland 94609 Oakland 94602 Oakland 94606 Oakland 94608 Oakland 94621 Oakland 94619 Oakland 94605 Oakland 94601 Oakland 94601 Oakland 94619 Oakland 94621 Oakland 94601 Oakland 94607 Oakland 94607 Oakland 94603 Oakland 94609 Oakland 94602 Oakland 94603 Oakland 94606 Oakland 94621 Oakland 94607

#### 6125

Title of Grant: CSPP-4018 California State Preschool Program	<b>Funding Cycle Dates:</b> July1, 2015 - June 30, 2016
Grant's Fiscal Agent: OUSD/ECE 746 Grand Avenue Oakland CA, 94610 510- 273-1616	<b>Grant Amount for Full Funding Cycle:</b> \$10,061,430.00
Funding Agency: California Department of Education List all School(s) or Department(s) to be Served:	<b>Grant Focus:</b> General Child Care and Development Programs

All Child development classrooms including school age programs.

Information Needed	School or Department Response
How will this grant contribute to sustained student achievement or academic standards?	The grant will support Implementation of the California State Preschool Program.
How will this grant be evaluated for impact upon student achievement?	The Annual Agency plan will determine the effectiveness of the program.
(Customized data design and technical support are provided at 1% of the grant award or at a negotiated fee for a community- based fiscal agent who is not including OUSD's indirect rate of 5.94% in the budget. The 1% or negotiated data fee will be charged according to an Agreement for Grant Administration Related Services payment schedule. This fee should be included in the grant's budget for evaluation.)	
Does the grant require any resources from the school(s) or district? If so, describe.	No.
Are services being supported by an OUSD funded grant or by a contractor paid through an OUSD contract or MOU?	Yes, indirect costs are a part of the budget for this grant.
(If yes, include the district's indirect rate of 4.25% for all OUSD site services in the grant's budget for administrative support, evaluation data, or indirect services.)	
Will the proposed program take students out of the classroom for any portion of the school day? (OUSD reserves the right to limit service access to students during the school day to ensure academic attendance continuity.)	No
Who is the contact managing and assuring grant compliance? (Include contact's name, address, phone number, email address.)	Lynne Martin, Director Early Childhood Education 746 Grand Avenue 510- 273-1616 lynne.martin@ousd.k12.ca.us

Applicant Obtained Approval Signatures:		$\bigcirc$	
Entity	Name/s	Signature/s	Date
Director ECE	Lynne Martin	X Marto	
Department Head	Ray Mondragon	Den L	Ulla)
Grant Office Obtained Approval Signature	s:	100000	
Entity n4	Name/s	Signature/s	Date
, Superintendent	Antwan Wilson		



CALIFORNIA DEPARTMENT OF EDUCATION 1430 N Street

Sacramento, CA 95814-5901

# F.Y. 15 - 16

DATE: July 01, 2015

CONTRACT NUMBER: <u>CSPP-5018</u> PROGRAM TYPE: <u>CALIFORNIA STATE</u> <u>PRESCHOOL PROGRAM</u> PROJECT NUMBER: <u>01-6125-00-5</u>

LOCAL AGREEMENT FOR CHILD DEVELOPMENT SERVICES

#### CONTRACTOR'S NAME: OAKLAND UNIFIED SCHOOL DISTRICT

This Agreement is entered into between the State Agency and the Contractor named above. The Contractor agrees to comply with the terms and conditions of the CURRENT APPLICATION; the GENERAL TERMS AND CONDITIONS (GTC-610)\*; the STATE PRESCHOOL PROGRAM REQUIREMENTS\*; the FUNDING TERMS AND CONDITIONS (FT&C)\* and any subsequent changes to the FT&C\*, which are by this reference made a part of this Agreement.

Funding of this Agreement is contingent upon appropriation and availability of sufficient funds. This Agreement may be terminated immediately by the State if funds are not appropriated or available in amounts sufficient to fund the State's obligations under this Agreement.

The period of performance for this Agreement is July 01, 2015 through June 30, 2016. For satisfactory performance of the required services, the Contractor shall be reimbursed in accordance with the Determination of Reimbursable Amount Section of the FT&C, at a rate not to exceed \$40.49 per child per day of full-time enrollment and a Maximum Reimbursable Amount (MRA) of \$10,061,430.00.

SERVICE REQUIREMENTS

Minimum	Child Days of Enrollment (CDE) Requirement
Minimum	Days of Operation (MDO) Requirement

248,492.0 245

Any provision of this Agreement found to be in violation of Federal and State statute or regulation shall be invalid, but such a finding shall not affect the remaining provisions of this Agreement.

Items shown with an Asterisk (\*), are hereby incorporated by this reference and made part of this Agreement as if attached hereto. These documents can be viewed at http://www.cde.ca.gov/fg/aa/cd/ftc2015.asp.

STATE	OF CALIFORNIA		CONTR	RACTOR
BY (AUTHORIZED SIGNATURE)	(	BY (AUTHORIZED SIC		
PRINTED NAME OF PERSON SIGNING Sueshil Chandra, Mana	<sub>ger</sub> Jam	es Harris	TILE OF PERSON SIG	NING
Contracts, Purchasing a	nd Conference Services Pres	dente, Bøar	d of Educa	tion
AMOUNT ENCUMBERED BY THIS DOCUMENT \$ 10,061,430 PRIOR AMOUNT ENCUMBERED FOR THIS CONTRACT \$ 0	PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs (OPTIONAL USE) See Attached ITEM See Attached	n Wilson	N Contraction	Department of General Services use only
TOTAL AMOUNT ENCUMBERED TO DATE \$ 10,061,430	OBJECT OF EXPENDITURE (CODE AND TITLE) 702			
I hereby certify upon my own personal kno purpose of the expenditure stated above.	Wedge that budgeted funds are available for the period and	T.B.A. NO.	B.R. NO.	
SIGNATURE OF ACCOUNTING OFFICE	2	DATE		

#### CONTRACTOR'S NAME: OAKLAND UNIFIED SCHOOL DISTRICT

#### CONTRACT NUMBER: CSPP-5018

AMOUNT ENCUMBERED BY THIS DOCUMENT	PROGRAM/CATEGORY (CODE AND TITLE)		FUND TITLE	
\$ 1,104,099	Child Development Programs		Federal	
PRIOR AMOUNT ENCUMBERED \$ 0	(OPTIONAL USE)0656 FC# 93.596 13609-6125		PC# 000321	
TOTAL AMOUNT ENCUMBERED TO DATE \$ 1,104,099	ITEM 30.10.020.001 6110-194-0890	CHAPTER B/A	STATUTE 2015	FISCAL YEAR 2015-2016
	OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-5025 Rev	-8290		
AMOUNT ENCUMBERED BY THIS DOCUMENT	PROGRAM/CATEGORY (CODE AND TITLE)		FUND TITLE	
\$ 607,446	Child Development Programs		Federal	
PRIOR AMOUNT ENCUMBERED \$ 0	(OPTIONAL USE)0656 FC# 93.575 15136-6125		PC# 000324	
TOTAL AMOUNT ENCUMBERED TO DATE \$ 607,446	ITEM 30.10.020.001 6110-194-0890	CHAPTER B/A	STATUTE 2015	FISCAL YEAR 2015-2016
	OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-5025 Rev	-8290	-	
		- 1100-00		
AMOUNT ENCUMBERED BY THIS DOCUMENT	PROGRAM/CATEGORY (CODE AND TITLE)	- 460-00 60-0-	FUND TITLE	
\$ 6,501,147	Child Development Programs		FUND TITLE General	
\$ 6,501,147 PRIOR AMOUNT ENCUMBERED	Child Development Programs (OPTIONAL USE)0656	CHAPTER B/A		FISCAL YEAR 2015-2016
<ul> <li>\$ 6,501,147</li> <li>PRIOR AMOUNT ENCUMBERED</li> <li>\$ 0</li> <li>TOTAL AMOUNT ENCUMBERED TO DATE</li> </ul>	Child Development Programs (OPTIONAL USE)0656 23038-6125 ITEM 30.10.010.	B/A	General	
\$ 6,501,147 PRIOR AMOUNT ENCUMBERED \$ 0 TOTAL AMOUNT ENCUMBERED TO DATE \$ 6,501,147 AMOUNT ENCUMBERED BY THIS DOCUMENT	Child Development Programs (OPTIONAL USE)0656 23038-6125 ITEM 30.10.010. 6110-196-0001 OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-6105 Rev	B/A	General STATUTE 2015 FUND TITLE	
\$ 6,501,147 PRIOR AMOUNT ENCUMBERED \$ 0 TOTAL AMOUNT ENCUMBERED TO DATE \$ 6,501,147	Child Development Programs (OPTIONAL USE)0656 23038-6125 ITEM 30.10.010. 6110-196-0001 OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-6105 Rev. PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs	B/A	General STATUTE 2015	
\$       6,501,147         PRIOR AMOUNT ENCUMBERED       \$         \$       0         TOTAL AMOUNT ENCUMBERED TO DATE       \$         \$       6,501,147         AMOUNT ENCUMBERED BY THIS DOCUMENT       \$         \$       1,848,738         PRIOR AMOUNT ENCUMBERED       PRIOR AMOUNT ENCUMBERED	Child Development Programs (OPTIONAL USE)0656 23038-6125 ITEM 30.10.010. 6110-196-0001 OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-6105 Rev PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs (OPTIONAL USE)0656	B/A	General STATUTE 2015 FUND TITLE	
<ul> <li>\$ 6,501,147</li> <li>PRIOR AMOUNT ENCUMBERED</li> <li>\$ 0</li> <li>TOTAL AMOUNT ENCUMBERED TO DATE</li> <li>\$ 6,501,147</li> <li>AMOUNT ENCUMBERED BY THIS DOCUMENT</li> <li>\$ 1,848,738</li> <li>PRIOR AMOUNT ENCUMBERED</li> </ul>	Child Development Programs (OPTIONAL USE)0656 23038-6125 ITEM 30.10.010. 6110-196-0001 OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-6105 Rev. PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs	B/A	General STATUTE 2015 FUND TITLE	

I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.	T.B.A. NO.	B.R. NO.
SIGNATURE OF ACCOUNTING OFFICER	DATE	

#### CCC-307

#### CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)	Federal ID Number		
Oakland Unified School District	1415-1176		
By (Authorized Signature)			
Printed Name and Title of Person Signing			
James Harris, President, Board of Education			
Date Executed	Executed in the County of		
8/27/15	Alameda		

#### CONTRACTOR CERTIFICATION CLAUSES

1. <u>STATEMENT OF COMPLIANCE</u>: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)

2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

2) the person's or organization's policy of maintaining a drug-free workplace;

3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. <u>NATIONAL LABOR RELATIONS BOARD CERTIFICATION</u>: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. <u>CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO</u> <u>REQUIREMENT:</u> Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. <u>EXPATRIATE CORPORATIONS</u>: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

#### 6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at <u>www.dir.ca.gov</u>, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations,

or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. <u>DOMESTIC PARTNERS</u>: For contracts over \$100,000 executed or amended after January 1, 2007, the contractor certifies that contractor is in compliance with Public Contract Code section 10295.3.

#### DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. <u>CONFLICT OF INTEREST</u>: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e)) 2. <u>LABOR CODE/WORKERS' COMPENSATION</u>: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. <u>AMERICANS WITH DISABILITIES ACT</u>: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. <u>CONTRACTOR NAME CHANGE</u>: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

#### 5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. <u>RESOLUTION</u>: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. <u>AIR OR WATER POLLUTION VIOLATION</u>: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. <u>PAYEE DATA RECORD FORM STD. 204</u>: This form must be completed by all contractors that are not another state agency or other governmental entity.

#### CO.8 (REV. 5/07)

## FEDERAL CERTIFICATIONS

#### CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 45 CFR Part 93, "New restrictions on Lobbying," and 45 CFR Part 76, "Government-wide Debarment and Suspension (Non procurement) and Government-wide requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

#### 1. LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 45 CFR Part 93, for persons entering into a grant or cooperative agreement over \$100,000 as defined at 45 CFR Part 93, Sections 93.105 and 93.110, the applicant certifies that:

(a) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement:

(b) If any funds other than federal appropriated funds have been or will be paid to any person for influencing or attempting to influence an employee of Congress, or any employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," in accordance with this instruction;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

# 2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

As required by executive Order 12549, Debarment and Suspension, and other responsibilities implemented at 45 CFR Part 76, for prospective participants in primary or a lower tier covered transactions, as defined at 45 CFR Part 76, Sections 76.105 and 76.110.

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency:

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction violation of federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and

(d) Have not within a three-year period proceeding this application had one or more public transactions (federal, state, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

# 3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 45 CFR Part 76, Subpart F, for grantees, as defined at 45 CFR Part 76, Sections 76.605 and 76.610-

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

(b) Establishing an on-going drug-free awareness program to inform employees about-

(1) The danger of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -

(1) Abide by the terms of the statement; and

1

(2) Notify the employer in writing of his or her conviction for a violation;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d) (2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title,

to: Director, Grants, and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W., (Room 3124, GSA Regional Office Building No. 3), Washington, DC 20202-4571.

Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d) (2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency:

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee shall insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code) See attached A Check [ ] if there are workplaces on file that are not identified here.

DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 45 CFR Part 76, Subpart F, for grantees, as defined at 45 CFR Part 76, Sections 76.605 and 76.610-

a. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant, and

b. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants and contracts Service, U.S. department of Education, 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office Building No. 3) Washington, DC 20202-4571. Notice shall include the identification numbers(s) of each affected grant.

ENVIRONMENTAL TOBACCO SMOKE ACT

As required by the Pro-Children Act of 1994, (also known as Environmental Tobacco Smoke), and implemented at Public Law 103-277, Part C requires that:

The applicant certifies that smoking is not permitted in any portion of any indoor facility owned or leased or contracted and used routinely or regularly for the provision of health care services, day care, and education to children under the age of 18. Failure to comply with the provisions of this law may result in the imposition of a civil monetary penalty of up to \$1,000 per day. (The law does not apply to children's services provided in private residence, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for in-patient drug and alcohol treatment.)

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

NAME OF APPLICANT (CONTRACTOR) Oakland Unified School District		CONTRACT # CSPP-5018	
PRINTED NAME	AND TITLE OF AUTHORIZED REPRESENTATIVE		
Antwan Wils	son, Superintendent		
SIGNATURE	MANIL	DATE 8/27/15	