

Board Office Use: Legislative File Info.	
File ID Number	12-2522
Introduction Date	9-27-12
Enactment Number	12-2470
Enactment Date	9-27-12



**OAKLAND UNIFIED
SCHOOL DISTRICT**

Community Schools, Thriving Students

Memo

TO: Board of Education

FROM: Anthony Smith, Ph.D., Superintendent
 Vernon Hal, Deputy Superintendent, Business Services and Operations *NZA*
 Gee Kin Chou, Information Technology Officer

BOARD DATE: September 27, 2012

SUBJECT: **Contract No. 1751, SunGard Public Sector Addendum to the Software License Agreement between the Oakland Unified School District and SunGard Public Sector Inc., Bethlehem, PA for the latter to provide Business Plus Professional Services, Special Needs Consulting and/or Training for 13.51 days regarding IFAS Software and related projects to District identified staff, in an amount not-to-exceed \$20,000, for the period commencing August 15, 2012 and concluding June 30, 2013.**

ACTION REQUESTED

Approval by the Board of Education of Contract No. 1751, SunGard Public Sector Addendum to the Software License Agreement between the Oakland Unified School District and SunGard Public Sector Inc., Bethlehem, PA for the latter to provide Business Plus Professional Services, Special Needs Consulting and/or Training for 13.51 days regarding IFAS Software and related projects to District identified staff, in an amount not-to-exceed \$20,000, for the period commencing August 15, 2012 and concluding June 30, 2013.

BACKGROUND

SunGard is currently working on the "Fixed Asset" project and other projects for the Oakland Unified School District. The Oakland Unified School District has requested additional Professional Services from SunGard for the continued development and implementation of these projects. SunGard will provide 13.51 days of these requested services.

FISCAL IMPACT

The GP/Unrestricted Account will fund the \$20,000.

RECOMMENDATION

Staff is recommending approval by the Board of Education of Contract No. 1751, SunGard Public Sector Addendum to the Software License Agreement between the Oakland Unified School District and SunGard Public Sector Inc., Bethlehem, PA for the latter to provide Business Plus Professional Services, Special Needs Consulting and/or Training for 13.51 days regarding IFAS Software and related projects to District identified staff, in an amount not-to-exceed \$20,000.00, for the period commencing August 15, 2012 and concluding June 30, 2013.

ATTACHMENT

Contract No. 1751, SunGard Public Sector Addendum to the Software License Agreement between the Oakland Unified School District and SunGard Public Sector Inc.

Agreement with SunGard Bi-Tech for IFAS Software License

<i>Contract only:</i>

Fiscal Service
Budget code: _____

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By:

CONTRACT NO. 1751

SunGard Public Sector Inc.
d/b/a "SUNGARD K-12 EDUCATION"

ADDENDUM

Client:
Oakland Unified School District
1011 Union Street
Oakland, CA 94607
Telephone: (510) 879-8872
Fax: (510) 879-8800
Attn: Gee Kin Chou

Licensor:
SunGard K-12 Education
3 West Broad Street
Bethlehem, PA 18018
Telephone: (610) 691-3616
Fax: (610) 954-8378


SunGard K-12 Education and Client agree to amend their existing agreement, dated August 28, 2001, to add the following as attached hereto and part of this Addendum.

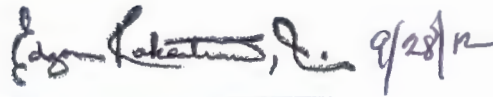
EXHIBITS

EXHIBIT A: PAYMENT SUMMARY AND SCHEDULE

EXHIBIT B: SOFTWARE AND SERVICES

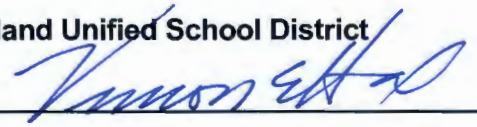
- 1. Professional Services
 - i. BusinessPLUS
- 2. Pricing Notes

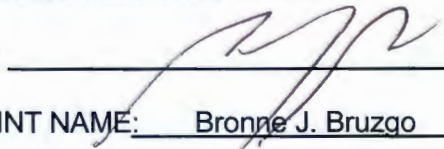

Jody London
President, Board of Education

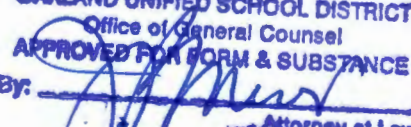

Edgar Rakestraw, Jr., Secretary
Board of Education

All terms and conditions of the existing Agreement shall remain in effect (with the exception of any conditions, prices and payment terms indicated herein). For payment terms, refer to the payment schedule in Exhibit A.

IN WITNESS WHEREOF AND INTENDING TO BE LEGALLY BOUND, the parties have caused this Addendum to be signed by its duly authorized officer.

Oakland Unified School District
BY: 
PRINT NAME: _____
PRINT TITLE: _____
DATE SIGNED: _____

SunGard Public Sector Inc.
BY: 
PRINT NAME: Bronne J. Bruzgo
PRINT TITLE: Vice President, Sales
DATE SIGNED: July 5, 2012

OAKLAND UNIFIED SCHOOL DISTRICT
Office of General Counsel
APPROVED FOR FORM & SUBSTANCE
By: 
Attorney at Law
Contract Number: 1751
Oakland Unified School District, CA

OUSD or the District verifies that the Contractor does not appear on the Excluded Parties List at www.epls.gov/epls/search.do.

EXHIBIT A: PAYMENT SCHEDULE

SOFTWARE AND SERVICES

1	Professional Services		\$20,000
	(refer to Exhibit B for detail)		
	Other Services	\$20,000	
	Due monthly as incurred		

TOTAL SOFTWARE AND SERVICES ⁽¹⁾:	\$20,000
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¹ Travel and living expenses are not included in this Professional Services cost.

EXHIBIT B: SOFTWARE AND SERVICES

1. PROFESSIONAL SERVICES

BusinessPLUS			Professional Services	
Value Added Services	Days	Price	2nd Year Maintenance	
Miscellaneous Needs Consulting	13.51	20,000	N/A	
Subtotal Proposed Services:	13.51	\$20,000	-	
TOTAL BusinessPLUS Proposed Services:				\$20,000

- ¹ Miscellaneous Needs Consulting days will be billed monthly on an as delivered basis. The current hourly training rate is \$160; the current hourly consulting rate is \$165. Services to be utilized between August 15, 2012 - June 30, 2013.

2. PRICING NOTES

- 1 SunGard K-12 Education's pricing for services are bill as incurred unless otherwise indicated within the Payment Summary and Schedule.
- 2 Travel and living expenses are not included in the Professional Services costs.
- 3 Should additional daily time be needed for implementation assistance beyond the standard eight hour day, this can be scheduled with your Project Manager. Any services required beyond those days indicated will be performed at our then current rates.
- 4 Training day counts are based on a maximum class size of 16 individuals. SunGard K-12 Education training methodology is based on a train-the-trainer deployment.
- 5 The schedule for the above Training, Consulting and Professional Development services will occur as mutually agreed by SunGard K-12 Education and client and as documented in a training agenda that will be sent to the client. SunGard K-12 Education's cancellation policy requires a 21-day advance notice to cancel scheduled training. Cancellations within 6-21 days of the scheduled service will be invoiced at 50% of the total quoted service cost. Cancellation within 5 days, or on the scheduled date, the service will be invoiced at 100% of the quoted cost. For any cancellation of on-site services, any non-refundable travel expenses will be invoiced to your organization at cost.
- 6 SunGard K-12 Education's current Professional Services rates are as follows:
 - Training (eSchoolPLUS, IEPPLUS, BusinessPLUS, eFinancePLUS) Rate: 1280 per day.
 - Consulting (eSchoolPLUS, IEPPLUS, BusinessPLUS, eFinancePLUS) Rate: 1480 per day.
 - Professional Development (PerformancePLUS) Rate: 1600 per day.
 - Educational Consultant Rate: 1600 per day.
 - Custom Programming Rate: 1500 per day.
 - Data Conversion Rate: 1500 per day.
 - Schools Interoperability Framework (SIF) Rate: 1800 per day.
 - Project Management Rate: 1600 per day.

File ID Number: 12-2522
Introduction Date: 9-27-12
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By: _____

CONTRACT NO. 1751

SunGard Public Sector Inc.
d/b/a "SUNGARD K-12 EDUCATION"

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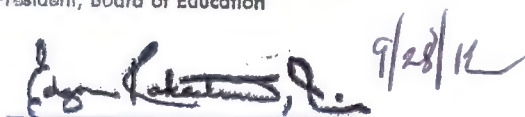
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EXHIBIT B: SOFTWARE AND SERVICES

1. Professional Services
 - i. BusinessPLUS
2. Pricing Notes



Jody London
President, Board of Education



Edgar Rakestraw, Jr., Secretary
Board of Education

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Oakland Unified School District

BY: 

PRINT NAME: _____

PRINT TITLE: _____

DATE SIGNED: _____

SunGard Public Sector Inc.

BY: 

PRINT NAME: Bronne J. Bruzgo

PRINT TITLE: Vice President, Sales

DATE SIGNED: July 5, 2012

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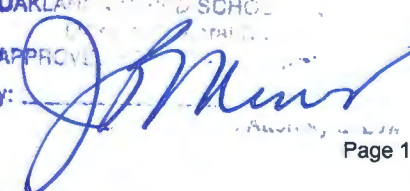
OAKLAND UNIFIED SCHOOL DISTRICT
APPROVE
By: 

EXHIBIT A: PAYMENT SCHEDULE

SOFTWARE AND SERVICES

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	Other Services	\$20,000	
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TOTAL SOFTWARE AND SERVICES ⁽¹⁾:	\$20,000
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 - Educational Consultant Rate: 1600 per day.
 - Custom Programming Rate: 1500 per day.
 - Data Conversion Rate: 1500 per day.
 - Schools Interoperability Framework (SIF) Rate: 1800 per day.
 - Project Management Rate: 1600 per day.

SOFTWARE LICENSE AGREEMENT

BETWEEN

SunGard-Bi-Tech Inc.
a Delaware Corporation
with Headquarters at
890 Fortress Street
Chico, CA 95973

SANDY SNOW


ORIGINAL

("SunGard")

AND

Legislative File

File ID No. 00-1167
Introduction Date 09-05-00
Enactment No 01-0607
Enactment Date 08-27-01
By BC

Oakland Unified School District *BRIAN BOVEY*
1025 2nd Ave
Oakland, CA 94606
("Customer")

By the signatures of their duly authorized representatives below, SunGard and Customer, intending to be legally bound, agree to all of the provisions of this Agreement and all Schedules and Addenda to this Agreement.

[SunGard]
BY: *Bruce E. Langston*
PRINT NAME: Bruce E. Langston
PRINT TITLE: V.P. Finance
DATE SIGNED: 8/7/01

[Customer]
BY: *Jason Hodge*
PRINT NAME: Jason Hodge
PRINT TITLE: President, Board of Education
DATE SIGNED: 8/28/01

Edgar Rakestraw, Jr.
Edgar Rakestraw, Jr.
Deputy Secretary, Board of Education

8/28/01

[Signature]
OAKLAND UNIFIED SCHOOL DISTRICT
Office of General Counsel
APPROVED FOR FORM & SUBSTANCE
By: *[Signature]*
ROYA A. COMBS, Attorney at Law
General Counsel

1. DEFINITION OF TERMS

As used in this Agreement, the following terms have the following meanings:

- (a) "Account" means a single and unique combination of database and Software.
- (b) "Affiliate" means, with respect to a specified person, any person which directly or indirectly controls, is controlled by, or is under common control with the specified person as of the date of this Agreement, for as long as such relationship remains in effect.
- (c) "Confidential Information" means all business information disclosed by one party to the other in connection with this Agreement unless it is or later becomes publicly available through no fault of the other party or it was or later is rightfully developed or obtained by the other party from independent sources free from any duty of confidentiality. Without limiting the generality of the foregoing, Confidential Information shall include Customer's data and the details of Customer's computer operations and shall include SunGard's Proprietary Items. Confidential Information shall include the terms of this Agreement, but not the fact that this Agreement has been signed, the identity of the parties hereto or the identity of the products licensed under a Software Schedule.
- (d) "Concurrent User" means the highest total number of users making simultaneous use of the Software at any time during a calendar year period, whether use is via modem, direct connection, LAN connection, or via the Web.
- (e) "Copy" means any paper, disk, tape, film, memory device, or other material or object on or in which any words, object code, source code or other symbols are written, recorded or encoded, whether permanent or transitory.
- (f) "Documentation" means technical manuals, training manuals, user guides, and workbooks provided by SunGard to assist Customer with the use of Software.
- (g) "Execution Date" means the latest date shown on the signature line of this Agreement.
- (h) "Export Laws" means all laws, administrative regulations, and executive orders of any Applicable Jurisdiction relating to the control of imports and exports of commodities and technical data, software and related property, use or remote use of software and related property, or registration of this Agreement, including the Export Administration Regulations of the U.S. Department of Commerce, and the International Traffic in Arms Regulations of the U.S. Department of State. "Applicable Jurisdiction" means the U.S., and any other jurisdiction where any Proprietary Items will be located or from where any Proprietary Items will be accessed under this Agreement.
- (i) "including" means including but not limited to.
- (j) "Latest Software Update" means those Software Updates which SunGard has made generally available to its Customer's within the preceding twelve months.
- (k) "New Module" means a set of functionality available to be licensed to Customer by SunGard which was not previously licensed to Customer.

- (l) "Person" means any individual, sole proprietorship, joint venture, partnership, corporation, company, firm, association, cooperative, trust, estate, government, governmental agency, regulatory authority, or other entity of any nature.
- (m) "Proprietary Items" means, collectively, the Software and Documentation, the object code and the source code for the Software, the visual expressions, screen formats, report formats and other design features of the Software, all ideas, methods, algorithms, formulae and concepts used in developing and/or incorporated into the Software or Documentation, all future modifications, revisions, updates, refinements, improvements and enhancements of the Software or Documentation, all derivative works based upon any of the foregoing, and all copies of the foregoing.
- (n) "Software Update" means Software modifications, revisions and updates to the Software which SunGard, in its sole discretion, incorporates into the Software as a Software Update per Schedule E as part of Annual Support Agreement.

2. LIMITED LICENSE

- 2.1. **Grant.** SunGard grants to Customer a non-transferable, non-exclusive, limited-scope, license to use SunGard's proprietary application software identified in Schedule A of this Agreement, known as IFAS, hereinafter referred to as ("Software"). SunGard further grants the right to use the documentation associated to the Software, hereinafter referred to as ("Documentation"), as the Software and Documentation may be modified, revised and updated in accordance with this Agreement.
- 2.2. **Scope.** Customer may use the Software as provided in this Agreement, only in the ordinary course of its own business operations and for its own business purposes and within the limitations identified in Schedule C. Customer may copy and use the Software for inactive back-up or archival purposes, for disaster recovery purposes and for parallel testing. Customer may copy the Documentation to the extent reasonably necessary for use of the Software under the terms of this Agreement.
- 2.3. **Source Code.** Customer shall receive one copy of the source code which shall reside with the Customer for the Term of this Agreement for the sole purpose of aiding SunGard in performing its maintenance and support obligations as set forth herein. Customer is strictly prohibited from copying, distributing, modifying or using the source code for any purpose.

UPDATES
ESCROW
IN
CASE OF
BANKRUPTCY

3. SOFTWARE ACCEPTANCE

- 3.1. **Acceptance.** SunGard shall give written notice to Customer certifying that installation of the Software at the Initial Installation Site(s) is completed. Customer shall be deemed to have accepted the Software thirty (30) days after receiving SunGard's notice, unless, during that period, the Software fails to perform in accordance with the Documentation in some material respect that precludes acceptance of the Software by Customer, and, by the end of that thirty (30) day period, Customer gives written notice of non-acceptance to SunGard describing the material failure in reasonable detail and explaining why the failure precludes acceptance of the Software by Customer. If Customer gives a proper notice of non-acceptance to SunGard, then:

- (a) SunGard shall investigate the reported failure. Customer shall provide to SunGard reasonably detailed documentation and explanation, together with underlying data, to substantiate the failure and to assist SunGard in its efforts to diagnose, reproduce and if necessary correct the failure.
- (b) If there was no material failure to perform or the failure to perform was not attributable to a defect in the Software or an act or omission of SunGard, then SunGard shall give written notice to Customer explaining its determination in reasonable detail, and Customer shall be deemed to have accepted the Software as of the date of SunGard's notice. In the event SunGard determines, that the reported error did not, in fact, exist or was not attributable to a defect in the Software or an act or omission of SunGard, then Customer shall pay for SunGard's investigation and related services at the then current professional service rates in effect.
- (c) If there was a material failure to perform that was attributable to a defect in the Software or an act or omission of SunGard, and if SunGard cannot correct the failure within thirty (30) days (or such longer period as may be reasonable under the circumstances) after receipt of Customer's notice of non-acceptance, then Customer shall promptly return to SunGard all copies of the Software and Documentation and any other items delivered to Customer by SunGard, and SunGard shall then refund to Customer the license fees paid by Customer. If, within such period, SunGard does correct the failure, then SunGard shall give written notice to Customer certifying that the failure has been corrected, and another thirty (30) day acceptance period shall begin in accordance with this Section 3.1.

4. WARRANTIES AND LIMITATIONS

- 4.1. **Performance.** SunGard warrants to Customer that the Software will perform as described in the Documentation in all material respects for a period of one year from the date of initial installation.
- 4.2. **Right to License; No Infringement.** SunGard warrants to Customer that it has the full legal right to grant to Customer the license granted under this Agreement, and that the Software and Documentation, as and when delivered to Customer by SunGard and when properly used for the purpose and in the manner specifically authorized by this Agreement, do not infringe upon any United States patent, copyright, trade secret or other proprietary right of any person. SunGard shall defend and indemnify Customer against any third party claim to the extent attributable to a violation of the foregoing warranty. SunGard shall have no liability or obligation under this Section 4.2 unless Customer gives written notice to SunGard promptly (within ten (10) days, provided that later notice shall relieve SunGard of its liability under this Section 4.2 only to the extent that SunGard is prejudiced by such later notice) after any applicable infringement claim is initiated against Customer and allows SunGard to have sole control of the defense or settlement of the claim. If any applicable infringement claim is initiated, or in SunGard's sole opinion is likely to be initiated, then SunGard shall have the option, at its expense, to:

- (a) modify or replace all or the infringing part of the Software or Documentation so that it is no longer infringing, provided that the Software functionality does not change in any material adverse respect; or
 - (b) procure for Customer the right to continue using the infringing part of the Software or Documentation; or
 - (c) remove all or the infringing part of the Software or Documentation, and refund to Customer the corresponding portion of the initial license fee paid by Customer to SunGard under Schedule B.
- 4.3. **Customer Infringement.** Customer warrants to SunGard that Customer has the full legal right to grant to SunGard the right to use the ideas, methods, formulae, concepts, designs, plans, specifications and other materials provided by or on behalf of Customer for use in developing and/or incorporated into the Software or the Documentation ("Customer Material") and that the Customer Material does not infringe upon any United States patent, copyright, trade secret or other proprietary right of any Person. Customer shall indemnify and defend SunGard against any third party claim to the extent attributable to a violation of the foregoing warranty or any third party infringement of a United States patent, copyright, trade secret or other proprietary right of any Person to the extent resulting from a modification of the Software or Documentation by Customer or any third party which gains access to the Proprietary Items of SunGard through Customer.
- 4.4. **Exclusion for Unauthorized Actions.** SunGard shall have no liability under any provision of this Agreement with respect to any performance problem, claim of infringement or other matter to the extent attributable to any unauthorized or improper use or modification of the Software, any unauthorized combination of the Software with other software, any use of any version of the Software other than the Latest Software Update that is then generally available to SunGard's customer base, or any breach of this Agreement by Customer.
- 4.5. **Force Majeure.** Except with respect to breach of confidentiality and except with respect to Customer's payment obligations hereunder, neither party shall be liable for, nor shall either party be considered in breach of this Agreement due to, any failure to perform its obligations under this Agreement as a result of a cause beyond its control, including any act of God or a public enemy, act of any military, civil or regulatory authority, change in any law or regulation, fire, flood, earthquake, storm or other like event, disruption or outage of communications, power or other utility, labor problem, unavailability of supplies, or any other cause, whether similar or dissimilar to any of the foregoing, which could not have been prevented by the non-performing party with reasonable care.
- 4.6. **Disclaimer.** EXCEPT AS EXPRESSLY STATED IN THIS AGREEMENT, SUNGARD MAKES NO REPRESENTATIONS OR WARRANTIES, ORAL OR WRITTEN, EXPRESS OR IMPLIED, INCLUDING IMPLIED WARRANTY OF TITLE, WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, REGARDING THE SOFTWARE OR ANY OTHER MATTER PERTAINING TO THIS AGREEMENT.
- 4.7. **Limitation of Liability.**
- (a) EXCEPT FOR INDEMNIFICATION UNDER SECTION 4.2, SUNGARD'S TOTAL LIABILITY UNDER THIS AGREEMENT SHALL UNDER NO

CIRCUMSTANCES EXCEED THE INITIAL LICENSE FEES ACTUALLY PAID BY CUSTOMER TO SUNGARD UNDER THIS AGREEMENT.

- (b) UNDER NO CIRCUMSTANCES SHALL SUNGARD BE LIABLE TO CUSTOMER OR ANY OTHER PERSON FOR LOST REVENUES, LOST PROFITS, LOSS OF BUSINESS, OR ANY INDIRECT OR CONSEQUENTIAL DAMAGES OF ANY NATURE, WHETHER OR NOT FORESEEABLE.
- (c) CUSTOMER AND SUNGARD HAVE FREELY AND OPENLY NEGOTIATED THIS AGREEMENT INCLUDING THE PRICING TERMS IN THE KNOWLEDGE THAT THE LIABILITY OF THE PARTIES IS TO BE LIMITED IN ACCORDANCE WITH THE PROVISIONS OF THIS AGREEMENT.

4.8. Other Limitations. The warranties made by SunGard in this Agreement, and the obligations of SunGard under this Agreement, run only to Customer and not to its affiliates, its customers or any other persons. Under no circumstances shall any other person be considered a third party beneficiary of this Agreement or otherwise entitled to any rights or remedies under this Agreement. Customer shall have no rights or remedies against SunGard except as specifically provided in this Agreement. No action or claim of any type relating to this Agreement may be brought or made by Customer more than one (1) year after Customer first has knowledge of the basis for the action or claim.

5. CONFIDENTIALITY, OWNERSHIP AND RESTRICTIVE COVENANT

5.1. Disclosure Restrictions. All Confidential Information as defined in Section 1, of one party ("Disclosing Party") in the possession of the other ("Receiving Party"), whether or not authorized, shall be held in strict confidence, and the Receiving Party shall take all steps reasonably necessary to preserve the confidentiality thereof. One party's Confidential Information shall not be used or disclosed by the other party for any purpose except as necessary to implement or perform this Agreement, or except as required by law, provided that the other party is given a reasonable opportunity to obtain a protective order. The Receiving Party shall limit its use of and access to the Disclosing Party's Confidential Information to only those of its employees whose responsibilities require such use or access. The Receiving Party shall advise all such employees, before they receive access to or possession of any of the Disclosing Party's Confidential Information, of the confidential nature of the Confidential Information and require them to abide by the terms of this Agreement. The Receiving Party shall be liable for any breach of this Agreement by any of its employees or any other person who obtains access to or possession of any of the Disclosing Party's Confidential Information from or through the Receiving Party.

5.2. SunGard's Proprietary Items, Ownership Rights. The Proprietary Items, as defined in Section 1, are trade secrets and proprietary property of SunGard, having great commercial value to SunGard. All Proprietary Items provided to Customer under this Agreement are being provided on a strictly confidential and limited use basis. Customer shall not, directly or indirectly, communicate, publish, display, loan, give or otherwise disclose any Proprietary Item to any person, or permit any person to have access to or possession of any Proprietary Item. Title to all Proprietary Items and all related patent, copyright, trademark, trade secret, intellectual property and other ownership rights shall

remain exclusively with SunGard, even with respect to such items that were created by SunGard specifically for or on behalf of Customer. This Agreement is not an agreement of sale, and no title, patent, copyright, trademark, trade secret, intellectual property or other ownership rights to any Proprietary Items are transferred to Customer by virtue of this Agreement. All copies of Proprietary Items in Customer's possession shall remain the exclusive property of SunGard and shall be deemed to be on loan to Customer during the term of this Agreement.

5.3. Proprietary Restrictions. Customer shall not do, attempt to do, nor permit any other person to do, any of the following:

- (a) use any Proprietary Item for any purpose, or in any manner not specifically authorized by this Agreement; or
- (b) make or retain any copy of any Proprietary Item except as specifically authorized by this Agreement; or
- (c) create or recreate the source code for the Software, or re-engineer, reverse engineer, decompile or disassemble the Software; or
- (d) modify, adapt, translate or create derivative works based upon the Software or Documentation, or combine or merge any part of the Software or Documentation with or into any other software or documentation; or
- (e) refer to or otherwise use any Proprietary Item as part of any effort to develop a program having any functional attributes, visual expressions or other features similar to those of the Software to compete with SunGard; or
- (f) remove, erase or tamper with any copyright or other proprietary notice printed or stamped on, affixed to, or encoded or recorded in any Proprietary Item, or fail to preserve all copyright and other proprietary notices in any copy of any Proprietary Item made by Customer; or
- (g) sell, market, license, sublicense, distribute or otherwise grant to any person, including any outsourcer, vendor, consultant or partner, any right to use any Proprietary Item, whether on Customer's behalf or otherwise.

5.4. Notice and Remedy of Breaches. Each party shall promptly give written notice to the other of any actual or suspected breach by it of any of the provisions of this Section 5, whether or not intentional, and the breaching party shall, at its expense, take all steps reasonably requested by the other party to prevent or remedy the breach.

5.5. **Enforcement.** Each party acknowledges that the restrictions in this Agreement are reasonable and necessary to protect the other's legitimate business interests. Each party acknowledges that any breach of any of the provisions of this Section 5 shall result in irreparable injury to the other for which money damages could not adequately compensate. If there is a breach, then the injured party shall be entitled, in addition to all other rights and remedies which it may have at law or in equity, to have a decree of specific performance or an injunction issued by any competent court, requiring the breach to be cured or enjoining all persons involved from continuing the breach. The existence of any claim or cause of action which a party or any other person may have against the other shall not constitute a defense or bar to the enforcement of any of the provisions of this Section 5.

5.6. **Dispute Resolution Language**

- (a) Either party may, upon notice to the other party, declare a dispute. Within ten (10) days after a dispute is declared, senior management of the parties not involved in day to day operations related to this Agreement shall meet and attempt to resolve the dispute. If, after using diligent efforts, the parties are unable to resolve the dispute within ten (10) days, either party, upon notice to the other party, may declare an impasse.
- (b) **Arbitration.** Ten (10) days after declaration of an impasse, either party may file a demand for arbitration under the rules then prevailing of the American Arbitration Association, upon written notice of demand for arbitration by the party seeking arbitration, setting forth the specifics of the matter in controversy or the claim being made. The arbitration shall be heard before an arbitrator mutually agreeable to the parties; provided, that if the parties cannot agree on the choice of arbitrator within ten (10) days after the first party seeking arbitration has given written notice, then the arbitration shall be heard by three (3) arbitrators, one chosen by each party, and the third chosen by those two arbitrators. A hearing on the merits of all claims for which arbitration is sought by either party shall be commenced not later than sixty (60) days from the date demand for arbitration is made by the first party seeking arbitration. The arbitrator (s) must render a decision within ten (10) days after the conclusion of such hearing. Any award in such arbitration shall be final and binding upon the parties and judgement thereon may be entered in any court of competent jurisdiction.
- (c) **Applicable Law.** The arbitration shall be governed by the United States Arbitration Act. The arbitrators shall apply the substantive law of the State of California. The arbitrators shall have the authority to grant any legal remedy available had the parties submitted the dispute to a judicial proceeding.
- (d) **Situs.** If arbitration is required to resolve any disputes between the parties, the proceedings to resolve the dispute shall be held in a neutral County in California.
- (e) This provision shall not be construed to prevent a party from instituting and a party is authorized to institute formal proceedings to avoid the expiration of any applicable limitation periods.

6. TERMINATION

6.1. **Termination by Customer.** Customer may immediately terminate this Agreement, by giving written notice of termination to SunGard, upon the occurrence of any of the following events:

- (a) SunGard breaches any of its material obligations under this Agreement and does not cure the breach within thirty (30) days (or such other time period as may be reasonable under the circumstances) after Customer gives written notice to SunGard describing the breach in reasonable detail.
- (b) SunGard (or a surviving company in the event of a merger or sale of SunGard) dissolves or liquidates or otherwise discontinues substantially all of its business operations. Notwithstanding the foregoing, SunGard reserves the right to outsource professional services which will not be deemed grounds for Customer termination hereunder.

6.2. **Termination by SunGard.** SunGard may immediately terminate this Agreement, by giving written notice of termination to Customer, upon the occurrence of any of the following events:

- (a) Customer fails to pay to SunGard, within thirty (30) days after SunGard makes written demand therefor, any past-due amount payable under this Agreement (including interest thereon) that is not the subject of a good faith dispute.
- (b) Customer breaches any of its material obligations under this Agreement and does not cure the breach within thirty (30) days (or such other time period as may be reasonable) after SunGard gives written notice to Customer describing the breach in reasonable detail.
- (c) Customer dissolves or liquidates or otherwise discontinues substantially all of its business operations.

6.3. **Certain Remedies for Nonpayment.** If Customer fails to pay to SunGard, within ten (10) days after SunGard makes written demand therefor, any past-due amount payable under this Agreement (including interest thereon) that is not the subject of a good faith dispute in addition to all other rights and remedies which SunGard may have at law or in equity, SunGard may, in its sole discretion and without further notice to Customer, suspend performance or revoke the License granted under this Agreement until all past due amounts are paid in full.

6.4. **Effect of Termination.** Upon a termination of this Agreement, whether under this Section 6 or otherwise, Customer shall: (a) discontinue all use of all Software and Documentation, (b) promptly return to SunGard all copies of the Software, the Documentation and any other Proprietary Items then in Customer's possession, and (c) give written notice to SunGard certifying that all copies of the Software have been permanently deleted from its computers. Customer shall remain liable for all payments due to SunGard with respect to the period ending on the date of termination. The provisions of Schedule B, and Sections 4 (excluding 4.1), 5, and 7 shall survive any termination of this Agreement, whether under this Section 6 or otherwise.

7. GENERAL PROVISIONS

7.1. **Notice.** All notices, consents and other communications under or regarding this Agreement shall be in writing and shall be deemed to have been received on the earlier of the date of actual receipt, the third business day after being mailed by first class certified air mail, or the first business day after being sent by a reputable overnight delivery service. Any notice may be given by facsimile, provided that a signed written original is sent by one of the foregoing methods within twenty-four (24) hours thereafter. Customer's address for notices is 1025 2nd Ave, Oakland, CA 94606, attention Phil White. SunGard's address for notices is SunGard Software Inc., 890 Fortress Street, Chico, CA 95973, Attention: Vice President of Finance. Either party may change its address for notices by giving written notice of the new address to the other party in accordance with this Section.

7.2. Parties in Interest.

- (a) This Agreement shall bind, benefit and be enforceable by and against SunGard and Customer and, to the extent permitted hereby, their respective successors and assigns.
- (b) Neither party shall assign this Agreement or any of its rights hereunder, nor delegate any of its obligations hereunder, without the other party's prior written consent, except that such other party's consent shall not be required in the case of an assignment to a purchaser of or successor to substantially all of such party's business or to an affiliate of such party, provided that the scope of any license granted hereunder does not change and the assignor guarantees the obligations of the assignee. Any assignment by a party in breach of this Section shall be void.
- (c) Any express assignment of this Agreement, any change in control of Customer, any acquisition of additional business by Customer shall constitute an assignment of this Agreement by Customer for purposes of this Section 7.2 ("Customer Assignment"). Customer shall give written notice to SunGard thirty (30) days prior to an Assignment certifying the expected use of the Software to process any additional business related to such Customer Assignment ("Additional Business"). If any Customer Assignment occurs, Customer may continue to process the business to the extent it existed prior to any such Customer Assignment, but Customer may not use the Software to process any Additional Business until and unless Customer has paid SunGard an Additional Business fee, to be mutually agreed to, and any use to process any Additional Business prior to the payment of such fee shall be deemed a material breach of this Agreement. Customer shall promptly complete and return to SunGard periodic certifications which SunGard, in its sole discretion, may from time to time send to Customer, certifying the actual use of the Software to process any Additional Business.

7.3. **Export Laws and Use Outside of the United States.** Customer shall comply with the Export Laws. Customer shall not export or re-export directly or indirectly (including via remote access) any part of the Software or Confidential Information to any country to which a license is required under the Export Laws without first obtaining a license.

7.4. **Relationship.** The relationship between the parties created by this Agreement is that of independent contractors and not partners, joint ventures or agents.

- 7.5. **Entire Understanding.** This Agreement, which includes and incorporates the Schedules referred to herein, states the entire understanding between the parties with respect to its subject matter, and supersedes all prior proposals, marketing materials, negotiations and other written or oral communications between the parties with respect to the subject matter of this Agreement. Any written, printed or other materials which SunGard provides to Customer that are not included in the Documentation are provided on an "as is" basis, without warranty, and solely as an accommodation to Customer.
- 7.6. **Modification and Waiver.** No modification of this Agreement, and no waiver of any breach of this Agreement, shall be effective unless in writing and signed by an authorized representative of the party against whom enforcement is sought. No waiver of any breach of this Agreement, and no course of dealing between the parties, shall be construed as a waiver of any subsequent breach of this Agreement.
- 7.7. **Severability.** A determination that any provision of this Agreement is invalid or unenforceable shall not affect the other provisions of this Agreement.
- 7.8. **Headings.** Section headings are for convenience of reference only and shall not affect the interpretation of this Agreement.
- 7.9. **Construction of Agreement.** The terms and conditions of this Agreement are the result of negotiations between the parties. No provision of this Agreement shall be construed in favor of or against any party because one party or its professional advisors participated in the preparation of this Agreement.
- 7.10. **Personnel.** Neither party shall, directly or through one or more subsidiaries or other controlled entities, hire or offer to hire any programmer, trainer, or member of a data processing, customer support or conversion team of the other at any time when such person is employed or engaged by such party or during the six (6) months after such employment or engagement ends. For purposes of this provision, "hire" means to employ as an employee or to engage as an independent contractor, whether on a full-time, part-time or temporary basis. This provision will remain in effect during the term of this Agreement and for a period of one (1) year after expiration or termination of this Agreement.
- 7.11. **Jurisdiction and Process.** In any action relating to this Agreement, (a) each of the parties irrevocably consents to the exclusive jurisdiction and venue of the federal and state courts located in the State of California, (b) each of the parties irrevocably waives the right to trial by jury, (c) each of the parties irrevocably consents to service of process by first class certified mail, return receipt requested, postage prepaid, to the address at which the party is to receive notice in accordance with Section 7.1, and (d) the prevailing party shall be entitled to recover its reasonable attorney's fees (including, if applicable, charges for in-house counsel), court costs and other legal expenses from the other party.
- 7.12. **Governing Law.** THIS AGREEMENT SHALL BE CONSTRUED AND ENFORCED IN ACCORDANCE WITH THE LAWS OF STATE OF CALIFORNIA, EXCLUDING CHOICE OF LAW.
- 7.13. **Piggy Back Clause.** Whereas Customer has conducted a competitive evaluation and has concluded such efforts with this negotiated Agreement; therefore this Agreement may serve as the basis for similar Agreements whereby other governmental entities may contract separately with SunGard.

**SCHEDULE A
TO SOFTWARE LICENSE AGREEMENT
Itemized List of Software and associated Professional Services**

SUMMARY PAGE

One-Time Fees	Equipment Fees	Service Fees	Total
IPAS Application Software	\$470,928		\$470,928
Third Party Software	Included in ASP	Included in ASP	Included in ASP
Standard Training, Data Conversion, Task Hours and Forms		286,200	286,200
SimCard Hi-Tech - Custom Project Services		651,850	651,850
Schlater and Associates - Custom Project Services (M/WBVE)		97,500	97,500
ABKO Consulting - Custom Project Services (Local DBB Vendor)		116,250	116,250
Total One-Time Fees	\$470,928	\$1,151,800	\$1,622,728

Recurring Fees (Annual Support / Maintenance Fees)	Equipment Fees
IPAS Application Software	\$108,121
Third Party Software	Included in ASP
Total Recurring Fees	\$108,121

SCHEDULE A
'TO SOFTWARE LICENSE AGREEMENT'
Itemized list of Software and associated Professional Services

LICENSE FEES, TRAINING AND CONVERSION

200 Concurrent User License		License, Support, Training, & Conversion Fees				Training, Conversion, and Task Hours					
QTY	Description	License Fee	First Year Support Fee	Training & Conversion Subtotal	Total Fees	Distance Learning	Class Hours @ Sun/Carl	Class Hours @ Client	Conversion Hours	Task Hours	Total Hours
1	General Ledger	\$68,306	\$12,295	\$36,000	\$116,601	64	32	40	64	40	240
1	NUCLEAR Security	9,758	1,756	4,800	16,314	8	0	24	0	0	32
1	Accounts Payable/Encumbrance	17,564	3,162	13,500	34,226	24	6	4	56	0	90
1	Accounts Receivable/Cash Receipts	17,564	3,162	9,600	30,326	16	4	4	40	0	64
1	Bank Reconciliation	9,758	1,756	10,800	22,314	8	0	16	16	32	72
1	Person/Entity Database (Vendor Database)	9,758	1,756	8,700	20,214	16	6	4	32	0	58
1	Job/Project Ledger	31,714	5,709	4,800	42,223	16	8	8	0	0	32
1	Budget Item Detail	16,296	2,933	4,800	24,029	0	0	16	16	0	32
1	Purchasing	25,273	4,549	13,500	43,322	24	6	4	56	0	90
1	Fixed Assets	15,906	2,863	5,400	24,169	16	0	0	20	0	36
1	Stores Inventory (Warehouse)	31,714	5,709	12,000	49,423	32	0	0	32	16	80
1	Click, Drag, & Drill (Report Writer)	24,395	4,391	9,600	38,386	0	32	32	0	0	64
1	Easy Laser Forms (ELF)	7,319	1,317	7,200	15,836	0	0	0	0	48	48
1	Graphical User Interface	41,959	7,553	3,600	53,112	0	0	24	0	0	24
2	Payroll (includes web-based timecards)	42,417	7,640	36,750	86,807	133	24	24	40	24	245
2	Human Resources and Position Control	42,417	7,640	34,950	85,007	85	24	24	80	20	233
2	Position Budgeting	12,002	2,160	14,400	28,562	0	0	72	0	24	96
1	Project Allocation	25,273	4,549	7,200	37,022	0	0	48	0	0	48
3	Contract Management	15,906	2,863	2,400	21,169	0	0	16	0	0	16
3	Bid & Quote Management	15,906	2,863	2,400	21,169	0	0	16	0	0	16
3	Employee Online	20,492	3,689	12,600	36,781	0	0	32	0	52	84
3	Applicant Online	20,492	3,689	0	24,181	0	0	0	0	0	0
3	Grants Management	20,549	3,699	8,400	32,648	0	0	56	0	0	56
3	Work Order Management	21,956	3,952	3,600	29,508	0	0	24	0	0	24
1	CDI.net (web-based reporting)	15,906	2,863	2,400	21,169	0	0	16	0	0	16
3	Image Encoder (ICR not included)	Included	2,163	6,000	8,163	0	0	40	0	0	40
1	Workflow Engine	Included	Included	6,000	6,000	0	0	40	0	0	40
2	Substitute Time Minute	8,000	1,440	4,800	14,240	0	0	32	0	0	32
	Subtotal	\$588,660	\$108,131	\$286,280	\$982,981	442	142	616	482	256	1,908
	Educational / A51 Discount (20%)	-117,732			-117,732						
	Net Total	\$470,928	\$108,131	\$286,280	\$865,240						

**SCHEDULE A
TO SOFTWARE LICENSE AGREEMENT**

Itemized list of Software and associated Professional Services

CUSTOM PROFESSIONAL SERVICES

SUNGARD BI-TECH - CUSTOM PROJECT SERVICES	Hourly Rate	Hours	Total	M/WBE Vendor
Account Manager @ SunGard BI-Tech	N/A	Fixed Fee	10,000	
On-Site Accounting Consultant	150	500	75,000	
On-Site Financial/Disbursements Consultant #1	150	800	120,000	
HR / Payroll Consultant(s)	150	1920	288,000	
Reporting Consultant (custom report development)	150	160	24,000	
Interface Development (Estimated)	150	200	30,000	
Software Installation & Technical Training / System Level Setup	150	88	13,200	
Undefined Special Needs Consulting	150	200	30,000	
Live Assistance and Post-Implementation Support	150	175	26,250	
Potential Software Modifications (Estimated)	150	236	35,400	
Total SunGard BI-Tech - Custom Project Services		4,279	651,850	

SCHAFER AND ASSOCIATES - CUSTOM PROJECT SERVICES	Hourly Rate	Hours	Total	M/WBE Vendor
On-Site Financial/Disbursements Consultant #2	150	350	52,500	Y
End-User Training Assistance	150	300	45,000	Y
Total Schafel and Associates - Custom Project Services		650	\$97,500	

AEKO - CUSTOM PROJECT SERVICES	Hourly Rate	Hours	Total	Local M/WBE Vendor
Custom Documentation/Procedures Development	150	225	33,750	Y
Report Development / Workflow Evaluation	150	250	37,500	Y
End-User Training Assistance / Post Live Assistance	150	300	45,000	Y
Total AEKO - Custom Project Services		775	\$116,250	

**SCHEDULE B
TO SOFTWARE LICENSE AGREEMENT
Payment Schedule and Terms**

B-1. PAYMENT SCHEDULE

B-1.1. Due upon execution of the IFAS Software License Agreement and the delivery of the Software and reference manuals.

30% of all applicable IFAS License Fees	\$ 141,278.40
100% of Fixed Fee Services for Account Management	10,000.00

If applicable, 100% of the sales/use tax due on the taxable amount for the entire Agreement.

B-1.2. Due on November 1, 2001.

40% of all applicable IFAS License Fees	\$ 188,371.20
100% IFAS Maintenance	108,121.00

B-1.3 Due on December 31, 2001

30% of all applicable IFAS License Fees	\$ 141,278.40
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B-1.4 Due monthly as services are performed.

100% of Professional Service Fees (excluding Fixed Fee Services)	\$ 1,141,800.00
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B-2. PAYMENT TERMS

B-2.1 License Fees. Customer shall pay to SunGard license fees in the amount stated in Schedule A, in accordance with the Payment Schedule and Terms stated herein.

B-2.2 Annual Support Agreement. Upon the initial Software installation, Customer shall pay annual Software support fees (as described in Schedule E herein) in the amount stated on Schedule A (which fees shall not be reduced or increased based on the extent of use or lack of use of any module) as increased from year to year as follows: Support fees will be subject to increase annually in accordance with the increases in the percentage of the San Francisco Regional Office CPI Adjustment published by the U.S. Department of Labor and Statistics with the prior year as the baseline plus two percent (2%).

B-2.3 Professional Services.

- (a) Fixed Fee Services for Account Management as per D-3.1(e). SunGard's Account Manager services shall be provided by SunGard on a one-time fee basis and not on a charge-by-the-hour basis. Payment of the one-time Account Manager fee shall be paid as provided in B-1.1.
- (b) Other Professional Services. SunGard will deliver those services identified in Schedule A and further described in Schedule D. If the Customer desires services from SunGard or its Subcontractors, which are not identified in Schedules A or D, then the Customer shall have the option of purchasing additional services from SunGard at SunGard's professional services fee rates which are in effect at that time. Professional services shall be invoiced monthly as services are rendered.

B-2.4. Expense Reimbursements. Whenever any services are provided by SunGard or its Subcontractors at a Customer location or any other location requested by Customer other than one of SunGard's locations, Customer shall reimburse SunGard for its reasonable travel (including travel from origination points other than SunGard's headquarters location), lodging, meal and related expenses incurred by SunGard personnel in providing such services. Airfares shall be coach or economy. Meals (including tips) will average no more than \$35.00 per day. Ground transportation may include rental cars (intermediate or economy), bus, taxi/shuttle (including tips) and/or personal car mileage (at current IRS rate). Lodging arrangements will be made with safety, cost, proximity to the Customer, and reputation of the facility in mind. Items of a personal nature will not be charged to the Customer, such as, but not limited to; alcoholic beverages, medications, personal entertainment, health club facilities, spouse/companion travel, traffic violations, and telephone calls not related to the Customer.

The Customer will be provided with a copy of the Traveler's expense report; however copies of receipts will not be provided without an additional handling charge.

B-2.5. Additional Concurrent Users. If Customer elects to increase the number of Concurrent Users of the Software, then Customer shall pay to SunGard the then current rates in effect for such additional Software use and the associated software support.

B-2.6. Taxes. The fees and other amounts payable by Customer to SunGard under this Agreement do not include any taxes of any jurisdiction that may be assessed or imposed upon the copies of the Software and Documentation delivered to Customer, the license granted under this Agreement or the services provided under this Agreement, or otherwise assessed or imposed in connection with the transactions contemplated by this Agreement, including sales, use, excise, value added, personal property, export, import and withholding taxes, excluding only taxes based upon SunGard's net income. Customer shall directly pay any such taxes assessed against it, and Customer shall promptly reimburse SunGard for any such taxes payable or collectable by SunGard.

B-2.7. Amounts Due. Initial payments under this Agreement are due as per this Schedule B Section B-1. Future annual maintenance fees shall be invoiced by SunGard annually in advance. All other fees and all expense reimbursements shall be invoiced by SunGard as and when incurred. Customer's payments shall be due within thirty (30) days after receipt of invoice. Interest at the rate of eighteen percent (18%) per annum (or, if lower, the maximum rate permitted by applicable law) shall accrue on any undisputed amount not paid by Customer to SunGard when due under this Agreement, and shall be payable by Customer to SunGard on demand. Interest on amounts, which are in dispute, shall be suspended until the issue in dispute is resolved as per Section 5.6 of this Agreement. Except as provided in Section 4.2(c), all fees and other amounts paid by Customer under this Agreement are non-refundable, including license fees paid for third party products.

SCHEDULE C
TO SOFTWARE LICENSE AGREEMENT
Scope of Software Use, Limitations, and Notices

C-1. LIMITED CONCURRENT USERS

Software use is limited by the number of Concurrent Users named in Schedule A and defined in Section 1, as amended from time to time.

C-2. LIMITED TO CUSTOMER'S OWN USE

The Software licensed under this Agreement is for use by the Customer on the Customer's computers for its own internal processing. Customer shall not use the Software to conduct any type of service bureau or time-sharing operation or to provide remote processing, network processing, network telecommunications or similar services to any person, whether on a fee basis or otherwise.

C-3. TERM OF SOFTWARE LICENSE

The Software License granted herein shall continue from year to year so long as Customer is paying Annual Support fees as per Schedule B.

C-4. NOTICES AND CERTIFICATIONS

Customer shall promptly complete and return to SunGard periodic certifications which SunGard, in its sole discretion, may from time to time send to Customer, certifying that Customer has complied and is then in compliance with the Software Use Limitations of this Agreement. Customer shall give written notice to SunGard (and pay added user fees as applicable) if Customer exceeds the authorized number of Concurrent Users.

(a) SunGard may, at its expense and by giving reasonable advance written notice to Customer, enter Customer locations during normal business hours and audit the number of Concurrent Users of the Software, and other information pertaining to Customer's compliance with the provisions of Section 2 and Section 5 and this Schedule C. If SunGard discovers that there is an unauthorized number of Concurrent Users or that Customer is not in compliance with the provisions of Sections 2 and 5 and Schedule C in any material respect, then Customer shall reimburse SunGard for the expenses incurred by SunGard in conducting the audit.

(b) If the Customer violates the terms of Section 2 or Section 5 or this Schedule C, SunGard shall have the right, in addition to any other remedies available to them, to injunctive relief enjoining use of the Software and termination of this Agreement.

C-5. CUSTOMER INITIATED PROCUREMENT

Should the Customer enter a new procurement cycle, which requires a proposal and/or demonstration of Software licensed by this Agreement, Customer agrees to pay re-licensing fees if Customer's evaluation results in the continuing use of Software.

SCHEDULE D
TO SOFTWARE LICENSE AGREEMENT
Timeline, Scope of Work, and Specific Obligations

D-1 TIMELINE

Both SunGard and the Customer will participate in the creation of a detailed implementation plan during the first eight weeks after the execution of this Agreement. This jointly created implementation timeline shall establish goal dates for live use of the Software and the staff resources to be provided by Customer and SunGard. The Parties acknowledge that substantial implementation effort from Customer and from SunGard are required to complete the implementation. The quantity of implementation staff resources provided by SunGard are dependent upon the amount of staff resources provided by Customer. To assist with this implementation, SunGard will provide Professional Services beyond those listed in Schedule A at a fixed rate of \$150.00 per hour (excluding Project Management services) and \$188.00 per hour for Project Management services, for the first 18 months after the execution of this Agreement.

D-2 SCOPE OF WORK (General Obligations)

The implementation of Software is to be completed by shared effort from SunGard and from the Customer, which includes but is not limited to the following:

D-2.1. SunGard's primary roles are to:

- (a) Provide the Software and install the Software on the Customer's computer server;
- (b) To provide data conversion programming as identified in Schedule A (no programming for software modification is included);
- (c) To provide consulting and training services as identified in Schedule A to assist the Customer in its configuration and use of the Software;
- (d) Provide on-going Issue Resolution and Software Update Support as per Schedule E.

D-2.2. The Customer's primary roles are to:

- (a) Provide the infrastructure to support the Software (e.g. Client PCs and Network);
- (b) Provide specifications to enable SunGard to fulfill its duties for data conversion programming, or consulting support identified in Schedule A;
- (c) Provide adequate training facilities during the implementation;
- (d) Configure the Software to the Customer's own specification;
- (e) Test the Software configuration for accuracy;
- (f) Operate the Software for its own business practices within the parameters of this Agreement.

D-3. SCOPE OF WORK (Specific Obligations)

D-3.1. SunGard's Specific Obligations.

- (a) **Delivery of Software and Services.** SunGard shall deliver the Software and Documentation, and the Customer shall accept such delivery, FOB shipping point, within thirty (30) days of the execution of this Agreement.
- (b) **Software Installation.** SunGard will provide installation of the Software on the Customer's ASP UNIX Server located at SunGard's facility in Chico, CA. SunGard will install Software, including Third Party software listed on Schedule A on one UNIX server, one NT server, and two client PCs. The Customer will do Software installation on other PCs.

(c) **Training and Consulting.**

1. Distance Learning. SunGard will deliver training and consulting by Distance Learning, or by classes held at SunGard (Class Hours) or by consulting at the Customer's location (On-Site Hours). Schedule A identifies the allocation of training and consulting among the three delivery methods. Schedule A also identifies the Conversion Hours, and Task Hours which are included in this Agreement.

Distance Learning sessions shall be delivered remotely via teleconferencing. Each Distance Learning session shall consist of a two (2) hour remote training (plus approximately 45 minutes set-up/follow-up) beginning with a call from the Customer. Classes held at SunGard (except the Accounting Consultation and the Payroll/Human Resource Overview) are limited to three attendees. Additional students may attend classes at SunGard on a space-available basis at the then current training rate. Students from at least two other customers will be present in class at SunGard. Classes held at Customer's location should be limited to 10 students, and each should have a training workstation.

2. Training Through Certified Subcontractors. SunGard, at its option may provide training or consulting personnel through certified sub-contractors. SunGard will assume responsibility to assure the same level of competency is available to Customer whether training or consulting is provided by SunGard or by a certified sub-contractor. If Customer is not satisfied with the technical competence of SunGard's assigned trainers, they may request a different trainer.
3. The allocation of training between Distance Learning, Training at SunGard, Task Hours, and Training at the Customer location may be adjusted from one category to another, by SunGard as may be in the best interest of a successful implementation.

- (d) The initial implementation includes only those services listed in Schedule A. If software modifications or other direct labor outside the scope of Schedule A are needed they shall be provided at SunGard's rates then in effect. However, the Agreement does include SunGard services to develop two report definition files: one for the Balance Sheet and one for the Income Statement. The allocation of time to provide forms for purchasing, accounts payable, payroll, cash receipts, and accounts receivable assumes that the forms used will be standard forms selected from SunGard's standard book of forms.

- (e) Account Management. An Account Manager stationed at SunGard shall be assigned to coordinate all SunGard related implementation activities.

- (f) Consulting and Other Services. At Customer's reasonable request and subject to the availability of SunGard's personnel, SunGard shall provide to Customer consulting services, custom modification programming, support services relating to custom modifications, assistance with data transfers, system restarts and re-installations, and other specialized support services with respect to the Software. These services shall be provided by SunGard at SunGard or at Customer location(s) if and when SunGard and Customer agree that on-site services are necessary. Such services shall be offered at the then current professional services rates in effect.

D-3.2. Customer's Specific Obligations

- (a) **Procurement of Hardware.** Customer intends to contract with SunGard for ASP Services (Application Hosting Services). If Customer does not contract with SunGard for ASP Services, then Customer shall be responsible, at its expense, for procuring and maintaining adequate hardware and system software and for updating the hardware and system software as may be needed from time to time.
- (b) **Access to Facilities and Employees.** Customer shall provide to SunGard access to Customer's equipment and employees, and shall otherwise cooperate with SunGard, as reasonably necessary for SunGard to perform its installation, training, support and other obligations under this Agreement. Customer shall devote all equipment, facilities, personnel and other resources reasonably necessary to (a) implement the Software, (b) be trained in the use of the Software and (c) begin using the Software in production on a timely basis as contemplated by this Agreement.
- (c) **Customer Staff Roles and Responsibilities.** Customer will provide competent staff as identified by the titles below:
 - 1. Project Manager
 - 2. Accountant—General Ledger
 - 3. Accountant—Subsystems
 - 4. Payroll Specialist
 - 5. HR Specialist
 - 6. Programmer/Analyst—Specifications for conversion
 - 7. Network Administrator—Network Management
 - 8. Data Entry—for historical data not programmatically converted.

Primary tasks for that Customer staff identified in this Section are listed below:

- 1. Manage installation and operation of hardware and network
 - 2. Perform third party software and SunGard provided Software configuration
 - 3. Document software configuration
 - 4. Test software configuration
 - 5. Provide written specifications (e.g. Data Conversion, etc.)
 - 6. Provide flat files of any data to be converted by SunGard
 - 7. Validate and test any converted data
 - 8. Core implementation team to become functional experts
 - 9. Core implementation team to train all end users
 - 10. Test all system processing before live operation
- (d) **Customer Facility.** Customer shall provide a suitable training environment for system training classes held at Customer's location including Distance Learning training. Customer shall provide an Internet connection (at least 128 kilobytes of bandwidth) and a conference phone which will be dedicated to Distance Learning.

SCHEDULE E
TO SOFTWARE LICENSE AGREEMENT
Annual Support Agreement
(Remote Assistance, and Software Updates)

E-1. CUSTOMER ASSISTANCE

- E-1.1. Remote Assistance.** SunGard shall provide to Customer, from 5a.m. to 5p.m. PT, Monday through Friday (SunGard Holidays excepted), telephone, fax, and e-mail supported assistance regarding Customer's authorized use of the Latest Software Update. Customer agrees to attempt to locate information provided in Documentation prior to use of Remote Assistance. In addition, SunGard shall provide self service-based assistance via SunGard's Technical Support Web Page.
- E-1.2. Resolution Assistance.** Customer shall provide to SunGard reasonably detailed documentation and explanation of issues to be resolved, together with underlying data, to substantiate any problem or failure and to assist SunGard in its efforts to diagnose, reproduce and correct the problem or failure.
- E-1.3. Non Software Assistance.** Non Software Assistance requests (e.g. requests for assistance with hardware, operating systems, database management systems, networks, printer configuration, etc.) are outside the scope of this Annual Support Agreement. However, at Customer's request, Non Software Assistance may be provided on a time and materials basis, as available, at the sole discretion of SunGard.

E-2. SOFTWARE UPDATES

- E-2.1. Software Updates.** SunGard shall provide to the Customer Software Updates for UNIX server based Software via Hassle Free Support™ (HFS). HFS means that SunGard will log-on to Customer's designated UNIX server, with authorization from Customer, and load the UNIX server-based Software Update into a single Account for the Customer. If Customer utilizes SunGard's ASP Service, then SunGard will log-on to Customer's ASP Server, with authorization from Customer, and load the UNIX server-based Software Update into two Accounts (Production Account and Test Account) for the Customer. HFS is strictly Internet delivered. Therefore, the exchange of magnetic/optical media for UNIX server-based Software Updates is not available. If SunGard, in its sole discretion, determines that delivery of the UNIX server based Software Update via Internet is not practical, then Customer will receive magnetic/optical media along with instructions on assisting SunGard in applying the UNIX server based Software Update. For Software Updates for Windows™ based Software, media will be sent to Customer, including Customer loading instructions. Software Updates shall be accompanied by updates to the Documentation on optical media whenever SunGard determines, in its sole discretion, that such updates are necessary. Customer agrees to accept Software Updates as they become available and to remain current on the Latest Software Update. In addition, between Software Updates, service packs may be available for Customer download and installation. For those Customer's that choose not to utilize the HFS method of Software Update delivery, the Software Updates are available for download by the Customer from SunGard's FTP site.
- E-2.2.** From time to time new optional features may be added to the Software as a result of a Software Update. Optional features may require the use of third party software or hardware. Customer shall have the option to procure or not to procure such third party products to enable such optional features.

- E-2.3. The Customer agrees to maintain, for the duration of this Agreement, an Internet connection (consisting of FTP and TELNET allowing SunGard access to Customer's server system) to facilitate Software Updates and remote support of the UNIX server based Software and to maintain remote control software (as specified by SunGard) to support the Windows™ based Software. Security will be based upon SunGard's unique IP address. If, after a good faith effort, Customer cannot maintain an Internet connection, then SunGard will provide Software Updates to Customer on magnetic/optical media. Customer agrees to maintain at least a 33600 baud modem connection and to make available competent personnel to assist SunGard in transferring the Software Updates to the server. Customer assistance may be required during non-standard work hours, such as evenings, weekends and/or holidays. A nominal handling charge will apply to cover the cost of the media and shipping charges.
- E-2.5. SunGard shall provide Customer with Software Updates to maintain the compatibility of the Software with new releases of the operating system under which the Software is licensed.
- E-2.6. This Agreement specifically does not include any custom modifications to the Software.
- E-2.7. Any modifications not made by SunGard, and the results caused thereby to the Software shall be the sole responsibility of the Customer.
- E-2.8. SunGard will use commercially reasonable efforts to provide Software Updates to cause the Software to continue to conform to GASB and GAAP requirements, and to maintain accurate Federal and State payroll tax tables (as published by the taxing authorities) and their related calculation processes. In the event that original programming is required to meet any other mandated Software change (including State, Federal, or Local mandated changes), the development costs will be borne by all Customers who utilize that Software change.

OAKLAND UNIFIED SCHOOL DISTRICT
OFFICE OF THE GENERAL COUNSEL

DOCUMENT REVIEW TRACKING SHEET

**THE STANDARD DOCUMENT TURN AROUND TIME IS
APPROXIMATELY TWO WEEKS, PLEASE PLAN ACCORDINGLY**

DATE SENT: AUGUST 7, 2012

DOCUMENT TYPE (circle one) : CONTRACT/AGREEMENT/LEASE/MOU/ADDENDUM

DOCUMENT NAME/TITLE: SunGard Contract No. 1751 Addendum to the Software License Agreement

SITE NAME: Technology Services

SITE NUMBER (BUDGET LOCATION): 986 - Technology Services

SUBMITTED BY: JANICE CHINN for Gee Kin Chou EXT: 8324

PLEASE NOTE THAT THE DOCUMENT WILL BE RETURNED TO THE NAME NOTED ABOVE

RESPONSE REQUESTED BY (check one) :
 14 Working Days
 10 Working Days
 RUSH (3 Working Days)

Thank you!

IF A RUSH REVIEW IS NEEDED, PLEASE EXPLAIN: Trying to meet the 8/10/12 Submission

PROPOSED DATE FOR COMMITTEE/BOARD PRESENTATION: August 22, 2012
(SUBMISSION DEADLINE 8/10/2012)

THIS SECTION TO BE COMPLETED BY THE LEGAL OFFICE

DATE RECEIVED BY LEGAL: _____

ASSIGNED NO: _____

ASSIGNED TO: _____

REVIEWED BY: _____

APPROVED: *JCN* NOT APPROVED: _____ CONDITIONALLY APPROVED: _____

COMMENTS:

DATE RETURNED TO SENDER: _____



OUSD Consultant Billing Rate Guideline Waiver Request

Directions

All District professional services contracts must be paid at an hourly rate within the OUSD Billing Rate Guidelines. Principals and managers can submit a waiver request to pay consultants at a higher hourly rate or in special circumstances, a flat fee contract. Billing rate guideline waivers require Cabinet level approval (Deputy Superintendent). To request this waiver complete this form and submit it with the contract packet. If your request is denied you will be required to renegotiate the compensation and resubmit the contract for approval.


Contractor Name	SunGard Public Sector
Contract Originator Name	Gee Kin Chou
Site or Department	986/Technology Services
Requisition Number	R0302651

Reason for requested waiver:

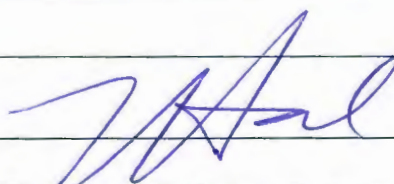
Specialized skills set justifies higher hourly rate, see resume or statement of qualifications attached

Short-term contract requires a higher hourly rate. Contract is less than one month in duration.

Other, please explain:
Additional professional services from SunGard needed to continue development and implementation of current projects with SunGard.

 8/7/12

Approval Cabinet Level approval required (Deputy Superintendent)	
Regional or Executive Officer	Date
Cabinet Level	Date 9/13/12



**Search Results Excluded By
Firm, Entity, or Vessel : SunGard Public Sector
as of 23-Jul-2012 2:34 PM EDT**

Your search returned no results.

Basic Directions

Additional directions and related documents are in the School Operations Library (<http://intranet.ousd.k12.ca.us>)

Services cannot be provided until the contract is fully approved and a Purchase Order has been issued.

- Contractor and OUSD contract originator (principal or manager) reach agreement about scope of work and compensation.
- Ensure contractor meets the consultant requirements (including The Excluded Party List, Insurance and HRSS Consultant Verification)
- Contractor and OUSD contract originator complete the contract packet together and attach required attachments.
- Within 2 weeks of creating the requisition the OUSD contract originator submits **complete** contract packet for approval to Procurement.

Attachment Checklist	<input type="checkbox"/> For individual consultants: HRSS Pre-Consultant Screening Letter for the current fiscal year.
	<input type="checkbox"/> For individual consultants: Proof of negative tuberculosis status within past 4 years.
	<input type="checkbox"/> For All Consultants: Results page of the Excluded Party List (https://www.epls.gov/epls/search.do)
	<input type="checkbox"/> For All Consultants: Statement of qualifications (organization); or resume (individual consultant).
	<input type="checkbox"/> For All Consultants: Proof of Commercial General Liability insurance naming OUSD as an Additional Insured.
	<input type="checkbox"/> For All Consultants with employees: Proof of Workers' Compensation Insurance. (Ref. to Section 10 of the Contract)

OUSD Staff Contact Emails about this contract should be sent to: (required) geekin.chou@ousd

Contractor Information

Contractor Name	SUNGARD PUBLIC SECTOR	Agency's Contact	SHARRON BRAGG			
OUSD Vendor ID #	1024393	Title	CLIENT RELATIONSHIP MANAGER			
Street Address	3 WEST BROAD STREET	City	BETHLEHEM	State	PA	Zip 18018
Telephone	(610) 691-3616	Email (required)	sharron.bragg@sungardps.com			
Contractor History	Previously been an OUSD contractor? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Worked as an OUSD employee? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Compensation and Terms – Must be within the OUSD Billing Guidelines

Anticipated start date	08/15/2012	Date work will end	06/30/2013	Other Expenses	\$
Pay Rate Per Hour (required)	\$ 185.00	Number of Hours (required)	108.00		

Budget Information

If you are planning to multi-fund a contract using LEP funds, please contact the State and Federal Office before completing requisition.

Resource #	Resource Name	Org Key	Object Code	Amount
0000	Unrestricted	9861110799	5825	\$ 20,000.00
			5825	\$
			5825	\$
Requisition No. (required)	R0302651	Total Contract Amount		\$ 20,000.00

Approval and Routing (in order of approval steps)

Services cannot be provided before the contract is fully approved and a Purchase Order is issued. Signing this document affirms that to your knowledge services were not provided before a PO was issued.

OUSD Administrator verifies that this vendor does not appear on the Excluded Parties List (<https://www.epls.gov/epls/search.do>)

1.	Administrator / Manager (Originator)	Name	Geek Kin Chou	Phone	(510) 879-8872
	Site / Department		986/Technology Services	Fax	(510) 451-1695
	Signature			Date Approved	8/20/12
2.	Resource Manager, if using funds managed by: <input type="checkbox"/> State and Federal <input type="checkbox"/> Quality, Community, School Development <input type="checkbox"/> Family, Schools, and Community Partnerships				
	<input type="checkbox"/> Scope of work indicates compliant use of restricted resource and is in alignment with school site plan (SPSA)				
	Signature			Date Approved	
3.	Regional Executive Officer				
	<input type="checkbox"/> Services described in the scope of work align with needs of department or school site <input type="checkbox"/> Consultant is qualified to provide services described in the scope of work				
	Signature			Date Approved	
4.	Deputy Superintendent Instructional Leadership / Deputy Superintendent Business Operations Consultant Aggregate Under <input type="checkbox"/> , Over <input type="checkbox"/> \$50,000				
	Signature			Date Approved	8/20/12
5.	Superintendent, Board of Education Signature on the legal contract				
	Signature			Date	
Legal	Required if not using standard contract	Approved	Denied - Reason	Date	
Procurement	Date Received		PO Number		P 130144

