


File ID Number	17-0086
Introduction Date	3/22/17
Enactment Number	17-0346
Enactment Date	3/22/17
By	



OAKLAND UNIFIED SCHOOL DISTRICT

Community Schools, Thriving Students

**OAKLAND UNIFIED SCHOOL DISTRICT
Office of the Board of Education**

To: Board of Education
 From: Antwan Wilson, Superintendent
 Subject: District Grant Award, Amendment No. 2 for CSPP-6018 Contract FY 2016-17

ACTION REQUESTED:

Adoption by the Board of Education of Resolution No. 1617-0116 Amendment No.2, Grant Contract No. CSPP-6018 from California Department of Education to provide funding for General Child Care and Development Programs for the Early Childhood Education Department, Increasing Reserve Account by the amount of \$156,643 increasing the Maximum Reimbursable Amount (MRA) encumbered amount from \$10,098,657 to \$10,255,300 for the period July 1, 2016 to June 30, 2017, pursuant to terms and conditions thereof, if any. All other terms and conditions of the Agreement remain in full force and effect.

BACKGROUND:

Grant amendment agreement for OUSD schools for the 2016-2017 fiscal year that benefit the Early Childhood Education Department are submitted for Board acceptance and approval as indicated in the chart below. Grant agreement packets are available for review through the Board Secretary's Office and will be electronically available within one week of the board meeting through Board of Education Legislative Information Center under the file I.D. number stated at the top of this page.

File I.D #	Backup Document Included	Type	Recipient	Grant's Purpose	Time Period	Funding Source	Original Amount	Increase Amount	Grant Amount
17-0086	Yes	CSPP-6018 Grant Amendment No. 2	Oakland Unified School District for Early Childhood Education Department	This amendment reflects the mid-year Increase for the General Child Care and Development Programs	7/1/2016-6/30/2017	California Department of Education	\$10,098,657	\$156,643	\$10,255,300

DISCUSSION:

The District received a Grant amendment agreement for the CSPP-6018 Contract FY 16-17 to increase the encumbered amount by \$156,643

- Review scopes of work outlined by each grant agreement and assess their contribution to sustained student achievement.
- Identify OUSD resources required for program success.

OUSD received a completed grant agreement for each program listed in the chart by department.

FISCAL IMPACT:

The total amount of the grant amendment will be provided to OUSD ECE program based on the adjustment to the Special Fund account.

- Grants valued increasing from \$10,098,657.00 to \$10,255,300.00

RECOMMENDATION:

Acceptance by the Board of Education of District grant amendment agreements for Early Childhood Education programming for fiscal years 2016-2017, pursuant to the terms and conditions thereof, if any.

ATTACHMENTS:

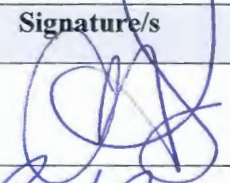
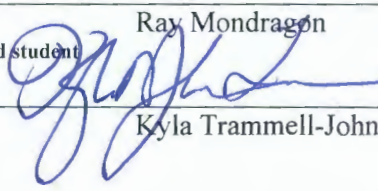
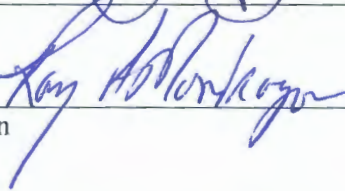
Grant Face Sheet – CSPP-6018 Amendment 02, FY 2016-17
Amendment 02 – CSPP-6018 FY 16-17
Resolution No. 1617-0116
Original Grant Agreement, CCTR-6008, FY 2016-17

OUSD Grants Management Face Sheet

Title of Grant: CSPP-6018 Amendment 02 California State Preschool Program	Funding Cycle Dates: July 1, 2016 – June 30, 2017
Grant's Fiscal Agent: Oakland Unified School District Early Childhood Education 1025 4 th ave Oakland, CA 94606 510- 273-8277	Grant Amount for Full Funding Cycle: \$156,643 (Increasing from \$10,098,657.00 to \$10,255,300.00)
Funding Agency: California Department of Education	Grant Focus: California State Preschool Program
List all School(s) or Department(s) to be Served: All Child care and Development programs including school age programs	

Information Needed	School or Department Response
How will this grant contribute to sustained student achievement or academic standards?	The grant contract will support implementation of the California State Preschool program and Child Development School Age program.
How will this grant be evaluated for impact upon student achievement? (Customized data design and technical support are provided at 1% of the grant award or at a negotiated fee for a community-based fiscal agent who is not including OUSD's indirect rate of 5.48% in the budget. The 1% or negotiated data fee will be charged according to an Agreement for Grant Administration Related Services payment schedule. This fee should be included in the grant's budget for evaluation.)	The Annual Agency plan will determine the effectiveness of the program.
Does the grant require any resources from the school(s) or district? If so, describe.	No
Are services being supported by an OUSD funded grant or by a contractor paid through an OUSD contract or MOU? (If yes, include the district's indirect rate of 5.48% for all OUSD site services in the grant's budget for administrative support, evaluation data, or indirect services.)	Indirect costs are a part of the budget for this grant.
Will the proposed program take students out of the classroom for any portion of the school day? (OUSD reserves the right to limit service access to students during the school day to ensure academic attendance continuity.)	No
Who is the contact managing and assuring grant compliance? (Include contact's name, address, phone number, email address.)	Christie Anderson Director, Early Childhood Education 1025 4 th ave Oakland, CA 94606 Christie.Andreson@ousd.org 510.273.8277

Applicant Obtained Approval Signatures:

Entity	Name/s	Signature/s	Date
Early Childhood Education Director	Christie Anderson		2/24/17
Department Head (e.g. for school day programs or for extended day and student support activities)	Ray Mondragon		2/24/17
Interim Chief Academic Officer	Kyla Trammell-Johnson		2/24/17

Grant Office Obtained Approval Signatures:

Entity	Name/s	Signature/s	Date
Fiscal Officer	Vernon Hal		
Interim Superintendent	Devin Dillon		

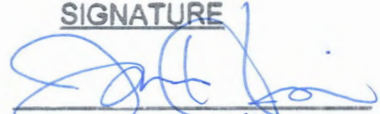

RESOLUTION
No. 1617-0116

This resolution must be adopted in order to certify the approval of the Governing Board to enter into this transaction with the California Department of Education for the purpose of providing child care and development services and to authorize the designated personnel to sign contract documents for Fiscal Year 2016-2017.

RESOLUTION

BE IT RESOLVED that the Governing Board of Oakland Unified School District

authorizes entering into local agreement number/s CSPP-60 18 the person/s who and that is/are listed below, is/are authorized to sign the transaction for the Governing Board.

<u>NAME</u>	<u>TITLE</u>	<u>SIGNATURE</u>
<u>James Harris</u>	<u>President, Board of Education</u>	
<u>Devin Dillon</u>	<u>Secretary, Board of Education</u>	

PASSED AND ADOPTED THIS 22nd day of March, 2017, by

the Governing Board of Oakland Unified School District

of Alameda County, California.

I, Devin Dillon, Clerk of the Governing Board of

Oakland Unified School District, of Alameda County,

California, certify that the foregoing is a full, true and correct copy of a resolution adopted by the said Board at a regular meeting thereof held at a regular public place of meeting and the resolution is on file in the office of said Board.


(Clerk's signature)

3/31/17
(Date)



CALIFORNIA DEPARTMENT OF EDUCATION

1430 N Street

Sacramento, CA 95814-5901

F.Y. 16 - 17

Amendment 02

LOCAL AGREEMENT FOR CHILD DEVELOPMENT SERVICES

Budget Act/Rate Increase

ALAMEDA CO. INDIVIDUALIZED CHILD CARE SUBSIDY PILOT

DATE: July 01, 2016

CONTRACT NUMBER: CSPP-6018

PROGRAM TYPE: CALIFORNIA STATE PRESCHOOL PROGRAM

PROJECT NUMBER: 01-6125-00-6

CONTRACTOR'S NAME: OAKLAND UNIFIED SCHOOL DISTRICT

This agreement with the State of California dated July 01, 2016 designated as number CSPP-6018 and Amendment #01 (MDO Change) shall be amended in the following particulars but no others:

The Contractor agrees to comply with the ALAMEDA COUNTY INDIVIDUALIZED CHILD CARE SUBSIDY PILOT PLAN (hereafter the "ALAMEDA COUNTY PLAN") as specifically approved by letter from the California Department of Education, dated October 6, 2016. The Contractor must meet the specifications of the GENERAL CHILD CARE AND DEVELOPMENT PROGRAM REQUIREMENTS except where the ALAMEDA COUNTY PLAN allows for exceptions.

The Maximum Reimbursable Amount (MRA) payable pursuant to the provisions of this agreement shall be amended by deleting reference to \$10,098,657.00 and inserting \$10,255,300.00 in place thereof.

The Maximum Rate per child day of enrollment payable pursuant to the provisions of this agreement shall be amended by deleting reference to the Previous Rate of \$40.49 and inserting the Blended Rate of \$43.43 in place thereof.

The Blended Rate is an average of the Previous Rate and the Previous Rate increased by ten percent. This increase represents the ten percent increase to the Standard Reimbursement Rate, which is effective January 1, 2017, pursuant to the Budget Act of 2016.

SERVICE REQUIREMENTS

The minimum Child Days of Enrollment (CDE) Requirement shall be amended by deleting reference to 249,411.0 and inserting 236,134.0 in place thereof.

Minimum Days of Operation (MDO) Requirement shall be 240. (No change)

EXCEPT AS AMENDED HEREIN all terms and conditions of the original agreement shall remain unchanged and in full force and effect.

STATE OF CALIFORNIA CONTRACTOR form with signature lines for Sueshil Chandra and James Harris, and a signature for Devin Dillon, Ph.D., Interim Secretary, Board of Education.

Board Office Use:	
File ID Number	16-1448
Introduction Date	6-22-16
Enactment Number	16-1198
Enactment Date	6/22/16



OAKLAND UNIFIED SCHOOL DISTRICT
Community Schools. Thriving Students

Memo

To: Board of Education

From: Antwan Wilson, Superintendent

Board Meeting Date:

Subject: Grant

District Grant Award- CSPP-6018, California State Preschool Program Contract FY 2016-17

ACTION REQUESTED:

Adoption by the Board of Education of Resolution No.1516-0262 Approving Grant Contract No. CSPP-6018 from California Department of Education to provide funding for General Child Care and Development Programs for the Early Childhood Education Department, by funding \$10,098,657.00 pursuant to terms and conditions thereof, if any. All other terms and conditions of the Agreement remain in full force and effect.

BACKGROUND:

Grant amendment agreement for OUSD schools for the 2016-2017 fiscal year that benefit the Early Childhood Education Department are submitted for Board acceptance and approval as indicated in the chart below. Grant agreement packets are available for review through the Board Secretary's Office and will be electronically available within one week of the board meeting through Board of Education Legislative Information Center under the file I.D. number stated at the top of this page. Funding of this contract is contingent upon appropriation and availability of funds. This Contract may be terminated immediately by the State if funds are not appropriated or available in amount sufficient to fund that State's obligations under this contract.

File I.D. #	Backup Document Included	Type	Recipient	Grant's Purpose	Time Period	Funding Source	Grant Amount
15-0554	Yes	CSPP Grant Amendment	Oakland Unified School District for Early Childhood Education Department	This contract will provide funding for the California State Preschool Program	7/1/2016-6/30/2017	California Department of Education	\$10,098,657.00

DISCUSSION:

The District received a contract agreement for the CSPP-6018 Contract FY 16-17 for continued funding to the Early Child Care and Development Program.

- Review scopes of work outlined by each grant agreement and assess their contribution to sustained student achievement.
- Identify OUSD resources required for program success.

OUSD received a completed grant agreement for each program listed in the chart by department.

FISCAL IMPACT:

The total amount of the grant will be provided to OUSD Early Childhood Education programs based on earnings from student enrollment and attendance to fulfill the states obligation under the contract.

- Grants valued at \$10,098,657.00

RECOMMENDATION:

Acceptance by the Board of Education of District grant agreement for Early Childhood Education programming for fiscal years 2016 2017, pursuant to the terms and conditions thereof, if any.

ATTACHMENTS:

Grant Face Sheet – CSPP-6018

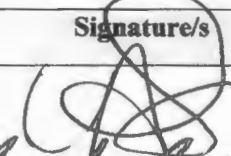
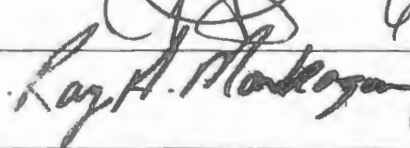
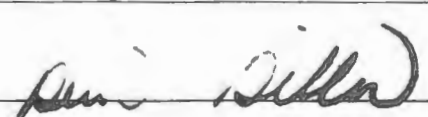
Resolution No. 1516-0262

Original Grant Agreement, CSPP-6018

OUSD Grants Management Face Sheet

Title of Grant: CSPP-2018 California State Preschool Program	Funding Cycle Dates: July 1, 2016-June 30, 2017
Grant's Fiscal Agent: Oakland Unified School District Early Childhood Education Department 1025 4 th Ave. Oakland, CA 94606 510- 273-8277	Grant Amount for Full Funding Cycle: \$10,098,657.00
Funding Agency: California Department of Education	Grant Focus: California State Preschool Program
List all School(s) or Department(s) to be Served: All child care and Development programs	

Information Needed	School or Department Response
How will this grant contribute to sustained student achievement or academic standards?	The grant will support the State Preschool programs.
How will this grant be evaluated for impact upon student achievement? <small>(Customized data design and technical support are provided at 1% of the grant award or at a negotiated fee for a community-based fiscal agent who is not including OUSD's indirect rate of 5.94% in the budget. The 1% or negotiated data fee will be charged according to an Agreement for Grant Administration Related Services payment schedule. This fee should be included in the grant's budget for evaluation.)</small>	The Annual Agency plan will determine the effectiveness of the program.
Does the grant require any resources from the school(s) or district? If so, describe.	No
Are services being supported by an OUSD funded grant or by a contractor paid through an OUSD contract or MOU? <small>(If yes, include the district's indirect rate of 5.94% for all OUSD site services in the grant's budget for administrative support, evaluation data, or indirect services.)</small>	Indirect costs are a part of the budget for this grant.
Will the proposed program take students out of the classroom for any portion of the school day? <small>(OUSD reserves the right to limit service access to students during the school day to ensure academic attendance continuity.)</small>	No
Who is the contact managing and assuring grant compliance? <small>(Include contact's name, address, phone number, email address.)</small>	Christie Anderson 1025 4th Ave. Oakland, CA 94606 christie.anderson@ousd.org 510- 273-8277

Entity	Name/s	Signature/s	Date
Early Childhood Education Director	Christie Anderson		4/7/14
Department Head (e.g. for school day programs or for extended day and student support activities)	Ray Mondragon		6/7/16
Chief Academic Officer	Devin Dillon		6/7/16

Grant Office Obtained Approval Signatures:

Entity	Name/s	Signature/s	Date
Fiscal Officer	Vernon Hal		
Superintendent	Antwan Wilson		



CALIFORNIA DEPARTMENT OF EDUCATION
 1430 N Street
 Sacramento, CA 95814-5901

F.Y. 16 - 17

DATE: July 01, 2016

CONTRACT NUMBER: CSPP-6018
 PROGRAM TYPE: CALIFORNIA STATE
 PRESCHOOL PROGRAM

PROJECT NUMBER: 01-6125-00-6

LOCAL AGREEMENT FOR CHILD DEVELOPMENT SERVICES

CONTRACTOR'S NAME: OAKLAND UNIFIED SCHOOL DISTRICT

This Agreement is entered into between the State Agency and the Contractor named above. The Contractor agrees to comply with the terms and conditions of the CURRENT APPLICATION; the GENERAL TERMS AND CONDITIONS (GTC-610)*; the STATE PRESCHOOL PROGRAM REQUIREMENTS*; the FUNDING TERMS AND CONDITIONS (FT&C)* and any subsequent changes to the FT&C*, which are by this reference made a part of this Agreement. Where the GTC-610 conflicts with either the Program Requirements or the FT&C, the Program Requirements or the FT&C will prevail.

Funding of this Agreement is contingent upon appropriation and availability of sufficient funds. This Agreement may be terminated immediately by the State if funds are not appropriated or available in amounts sufficient to fund the State's obligations under this Agreement.

The period of performance for this Agreement is July 01, 2016 through June 30, 2017. For satisfactory performance of the required services, the Contractor shall be reimbursed in accordance with the Determination of Reimbursable Amount Section of the FT&C, at a rate not to exceed \$40.49 per child per day of full-time enrollment and a Maximum Reimbursable Amount (MRA) of \$10,098,657.00.

SERVICE REQUIREMENTS

Minimum Child Days of Enrollment (CDE) Requirement 249,411.0
 Minimum Days of Operation (MDO) Requirement 244

Any provision of this Agreement found to be in violation of Federal and State statute or regulation shall be invalid, but such a finding shall not affect the remaining provisions of this Agreement.

Items shown with an Asterisk (*), are hereby incorporated by this reference and made part of this Agreement as if attached hereto. These documents can be viewed at <http://www.cde.ca.gov/fg/aa/cd/ftc2016.asp>.

STATE OF CALIFORNIA		CONTRACTOR	
BY (AUTHORIZED SIGNATURE)		BY (AUTHORIZED SIGNATURE) <i>James Harris</i>	
PRINTED NAME OF PERSON SIGNING Sueshil Chandra, Manager		PRINTED NAME OF PERSON SIGNING James Harris, President, Board of Education	
TITLE Contracts, Purchasing and Conference Services		ADDRESS	
AMOUNT ENCUMBERED BY THIS DOCUMENT \$ 10,098,657	PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs	FUND TITLE General	Department of General Services use only
PRIOR AMOUNT ENCUMBERED FOR THIS CONTRACT \$ 0	(OPTIONAL USE) 0656 23038-6125	Antwan Wilson Secretary, Board of Education	
TOTAL AMOUNT ENCUMBERED TO DATE \$ 10,098,657	ITEM 30.10.010. 6100-196-0001	CHAPTER B/A	STATUTE 2016
OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-6105 Rev-8590		FISCAL YEAR 2016	
I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.		T.B.A. NO.	B.R. NO.
SIGNATURE OF ACCOUNTING OFFICER		DATE	


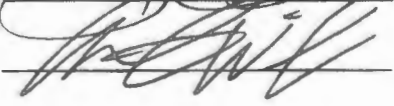
RESOLUTION
No. 1516-0262

This resolution must be adopted in order to certify the approval of the Governing Board to enter into this transaction with the California Department of Education for the purpose of providing child care and development services and to authorize the designated personnel to sign contract documents for Fiscal Year 2016-2017.

RESOLUTION

BE IT RESOLVED that the Governing Board of Oakland Unified School District

authorizes entering into local agreement number/s CSPF-6018 and that the person/s who is/are listed below, is/are authorized to sign the transaction for the Governing Board.

<u>NAME</u>	<u>TITLE</u>	<u>SIGNATURE</u>
<u>James Harris</u>	<u>President, Board of Education</u>	
<u>Antwan Wilson</u>	<u>Secretary, Board of Education</u>	

PASSED AND ADOPTED THIS 22nd day of June, 2016, by the

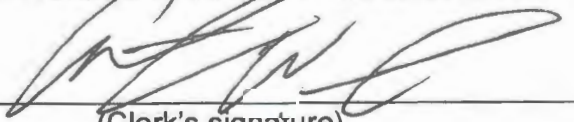
Governing Board of Oakland Unified School District

of Alameda County, California.

I, Antwan Wilson, Clerk of the Governing Board of

Oakland Unified School District, of Alameda, County,

California, certify that the foregoing is a full, true and correct copy of a resolution adopted by the said Board at a regular meeting thereof held at a regular public place of meeting and the resolution is on file in the office of said Board.



(Clerk's signature)

6/22/16
(Date)

CCC-37

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed) <i>Oakland Unified School District</i>		Federal ID Number <i>94-6000585</i>
By (Authorized Signature) 		
Printed Name and Title of Person Signing <i>Anton Wilson Superintendent</i>		
Date Executed <i>7/5/16</i>	Executed in the County of <i>Alameda</i>	

CONTRACTOR CERTIFICATION CLAUSES

1. STATEMENT OF COMPLIANCE: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)

2. DRUG-FREE WORKPLACE REQUIREMENTS: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness Program to inform employees about:
 - 1) the dangers of drug abuse in the workplace;
 - 2) the person's or organization's policy of maintaining a drug-free workplace;
 - 3) any available counseling, rehabilitation and employee assistance programs; and,
 - 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
 - 1) receive a copy of the company's drug-free workplace policy statement; and,
 - 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT: Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set

forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. **DOMESTIC PARTNERS:** For contracts over \$100,000 executed or amended after January 1, 2007, the contractor certifies that contractor is in compliance with Public Contract Code section 10295.3.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. **CONFLICT OF INTEREST:** Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive

payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. AMERICANS WITH DISABILITIES ACT: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. RESOLUTION: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all

contractors that are not another state agency or other governmental entity.