| Board Office Use: Legislative File Info. | |
|--|------------|
| File ID Number: | 14-1074 |
| Introduction Date: | 06/25/2014 |
| Enactment Number: | |
| Enactment Date: | |



Memo

To: Board of Education

From: GARY YEE, EdD, ACTING SUPERINTENDENT; By: GARY YEE, Deputy Superintendent

Board Meeting Date: 06/25/2014

Subject: Professional Service Contract

Contractor: Data In Action, LLC of Oakland, CA

Services for: 922-FAMILY, SCHOOL AND COMMUNITY PARTNERSHIPS

Board Action Requested and Recommendation:

Ratification by the Board of Education of a Professional Services Contract between the District and Data In Action, LLC, Oakland, CA, for the latter to provide: The District will contract with Dr. Sonia Jain, of Harvard University who has extensive experience leading large-scale evaluations, using rigorous evaluation designs and managing multiple studies con-currently; measuring multi-level system, school and youth level processes and impacts. Dr. Jain will provide comprehensive evaluation of the Whole School RJ and Peer RJ program including designing the evaluation plan, developing and administering data collection tools such as focus groups with key stakeholders, in-depth semi-structured interviews with youth, coordinators etc, and implementation survey by school. She along with her team at Data In Action, will conduct analysis and provide detailed evaluation report with recommendations for improvement and expansion of program. The data will be used to facilitate strategic planning sessions and develop equity-focused strength-based strategic plan that

Background:

(A one paragraph explanation of why the consultant's services are needed.) In response to the Office of Civil Rights Voluntary Resolution Plan, the district must provide an evaluation of Restorative Justice Implementation and efficacy in OUSD VRP schools. This evaluation will inform us about the impact of Restorative Practices on transforming school culture and climate both as an alternative to exclusionary discipline, and model for supported re-entry. Utilizing both qualitative and quantitative data, the evaluation will measure the fidelity of implementation and the correlated impacts as measured by staff surveys and focus groups, discipline data, City Span data on participants in the Peer RJ program, and areas for program improvement based on stakeholder feedback.

Discussion:

(QUANTIFY what is being purchased.)

The District will contract with Dr. Sonia Jain, of Harvard University who has extensive experience leading large-scale evaluations, using rigorous evaluation designs and managing multiple studies con-currently; measuring multiplevel system, school and youth level processes and impacts. Dr. Jain will provide comprehensive evaluation of the Whole School RJ and Peer RJ program including designing the evaluation plan, developing and administering data collection tools such as focus groups with key stakeholders, in-depth semi-structured interviews with youth, coordinators etc, and implementation survey by school. She along with her team at Data In Action, will conduct analysis and provide detailed evaluation report with recommendations for improvement and expansion of program. The data will be used to facilitate strategic planning sessions and develop equity-focused strength-based strategic plan that incorporates the collection voice of various stakeholders across the district and community. She will develop at least 2 peer-reviewed manuscripts for publication to ensure lessons learned and evidence gained from RJ program in OUSD is shared towards developing best practices model and with other practitioners and policy makers nationally. Finally, she will assist in development of one research grant to continue to support and fund RJ evaluation work and development.

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Fiscal Impact: Funding resources below not to exceed \$80,000.00

\$80,000.00 General Purpose-Unrestricted

Attachments: Professional Services Contract including Scope of Work

Waiver Summary

Resume / Statement of Qualifications

EPLS Search Results Page

Insurance Certification (if no Waiver was granted)

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| Enactment Date | |

profession for services to California school districts.

rate, total payment requested.

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PROFESSIONAL SERVICES CONTRACT 2013-2014

| Thi | s Agreement is entered into between Data In Action, LLC |
|------------|---|
| (C0 the | DNTRACTOR) and Oakland Unified School District (OUSD). OUSD is authorized by Government Code Section 53060 to contract for furnishing of special services and advice in financial, economic, accounting, engineering, legal, and administrative matters with persons ecially trained, experienced, and competent to perform such services. CONTRACTOR warrants it is specially trained, experienced, and experienced and experienced and experienced. The parties agree as follows: |
| 1. | Services : CONTRACTOR shall provide the ("Services" or "Work") as described in Exhibit "A," attached hereto and incorporated herein by reference. |
| 2. | Terms: CONTRACTOR shall commence work on03/01/2014, or the day immediately following approval by the Superintendent |
| | if the aggregate amount CONTRACTOR has contracted with the District is below \$\\\\\$84,100.00\$ in the current fiscal year; or, approval |
| | by the Board of Education if the total contract(s) exceed |
| 3. | Compensation: OUSD agrees to pay CONTRACTOR for services satisfactorily rendered pursuant to this Agreement. The compensation under this Contract shall not exceed |
| | Dollars (|
| | full performance of this Agreement and includes all fees, costs, and expenses incurred by Contractor including, but not limited to, |
| | labor, materials, taxes, profit, overhead, travel, insurance, subcontractor costs, and other costs. |
| | If CONTRACTOR will be compensated hourly for services provided under this Contract, CONTRACTOR shall describe in Exhibit "A," attached hereto, the specific scope of services to be delivered on an hourly basis to OUSD. |
| | OUSD shall not be liable to CONTRACTOR for any costs or expenses paid or incurred by CONTRACTOR in performing services for OUSD, except as follows: No Reimbursements |
| | Payment for Work shall be made for all undisputed amounts in monthly installment payments within forty-five (45) days after CONTRACTOR submits an invoice to OUSD for Work actually completed and after OUSD's written approval of the Work, or the portion of the Work for which payment is to be made. |
| | The granting of any payment by OUSD, or the receipt thereof by CONTRACTOR, shall in no way lessen the liability of CONTRACTOR to correct unsatisfactory work, although the unsatisfactory character of that work may not have been apparent or detected at the time a payment was made. Work, which does not conform to the requirements of this Agreement, may be rejected by the District and in that case must be replaced by CONTRACTOR without delay. |
| 4. | Equipment and Materials : CONTRACTOR shall provide all equipment, materials, and supplies necessary for the performance of this Agreement <i>except</i> : |
| | which shall not exceed a total cost of |
| 5. | CONTRACTOR Qualifications / Performance of Services: |
| 5. | |
| | CONTRACTOR Qualifications : CONTRACTOR warrants it is specially trained, experienced, competent and fully licensed to provide the Services required by this Agreement in conformity with the laws and regulations of the State of California, the United States of America, and all local laws, ordinances and,/or regulations, as they may apply. |
| | Standard of Care: CONTRACTOR warrants that CONTRACTOR has the qualifications and ability to perform the Services in a |

7. **Notices**: All notices and invoices provided for under this Agreement shall be in writing and either personally delivered during normal business hours or sent by U.S. Mail (certified, return receipt requested) with postage prepaid to the other party at the address set forth below:

professional manner, without the advice, control, or supervision of OUSD. CONTRACTOR's services will be performed, findings obtained, reports and recommendations prepared in accordance with generally and currently accepted principles and practices of its

Invoicing: Invoices furnished by CONTRACTOR under this Agreement must be in a form acceptable to OUSD. All amounts paid by OUSD shall be subject to audit by OUSD. Invoices shall include, but not be limited to: Contractor name, Contractor address, invoice date, invoice number, purchase order number, name of school or department service was provided to, period of service, name of the person performing the service, date service was rendered, brief description of services provided, number of hours of service, hourly

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| | |

Professional Services Contract

CONTRACTOR: OUSD Representative: BARBARA MCCLUNG Sonia Jain-Aghi Name: Name: Site /Dept.: 922-FAMILY, SCHOOL AND COMMUNITY PARTNERSHIP CEO Title: 746 Grand Ave. 6114 La Salle Ave, Ste. 647 Address: Address: Oakland, CA 94610 Oakland, CA 94611 273-1539 (510) 863-0719 Phone: Phone:

Notice shall be effective when received if personally served or, if mailed, three days after mailing. Either party must give written notice of a change of address.

8. **Status of Contractor**: This is not an employment contract. CONTRACTOR, in the performance of this Agreement, shall be and act as an independent contractor. CONTRACTOR understands and agrees that it and all of its employees shall not be considered officers, employees, agents, partner, or joint venture of OUSD, and are not entitled to benefits of any kind or nature normally provided employees of OUSD and/or to which OUSD's employees are normally entitled, including, but not limited to, State Unemployment Compensation or Worker's Compensation. CONTRACTOR shall assume full responsibility for payment of all Federal, State, and local taxes or contributions, including unemployment insurance, social security and income taxes with respect to CONTRACTOR's employees. In the performance of the work herein contemplated, CONTRACTOR is an independent contractor or business entity, with the sole authority for controlling and directing the performance of the details of the work, OUSD being interested only in the results obtained.

9. Insurance:

- 1. Unless specifically waived by OUSD, the following insurance is required:
 - i. If CONTRACTOR employs any person to perform work in connection with this Agreement, CONTRACTOR shall procure and maintain at all times during the performance of such work, Workers' Compensation Insurance in conformance with the laws of the State of California and Federal laws when applicable. Employers' Liability Insurance shall not be less than One Million Dollars (\$1,000,000) per accident or disease.

Check one of the boxes below:

- □ CONTRACTOR is aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and will comply with such provisions before commencing the performance of the Work of this Contract.
- CONTRACTOR does not employ anyone in the manner subject to the workers' compensation laws of California.
- ii. CONTRACTOR shall maintain Commercial General Liability insurance, including automobile coverage with limits of One Million Dollars (\$1,000,000) per occurrence for bodily injury and property damage. The coverage shall be primary as to OUSD and shall name OUSD as an additional insured. Evidence of insurance must be attached. Endorsement of OUSD as an additional insured shall not affect OUSD's rights to any claim, demand, suit or judgment made, brought or recovered against CONTRACTOR. The policy shall protect CONTRACTOR and OUSD in the same manner as though each were separately issued. Nothing in said policy shall operate to increase the Insurer's liability as set forth in the policy beyond the amount or amounts shown or to which the Insurer would have been liable if only one interest were named as an insured.
- iii. If CONTRACTOR is offering OUSD professional advice under this Contract, CONTRACTOR shall maintain Errors and Omissions insurance or Professional Liability insurance with coverage limits of One Million Dollars (\$1,000,000) per claim.

OR

еF

- iv. CONTRACTOR is not required to maintain any insurance under this agreement. (Completed and approved Waiver of Insurance Form is required.) Waiver of insurance does not release CONTRACTOR from responsibility for any claim or demand.
- 10. Licenses and Permits: CONTRACTOR shall obtain and keep in force all licenses, permits, and certificates necessary for the performance of this Agreement.
- 11. **Assignment**: The obligations of CONTRACTOR under this Agreement shall not be assigned by CONTRACTOR without the express prior written consent of OUSD.
- 12. **Non-Discrimination**: It is the policy of OUSD that in connection with all work performed under Contracts there be no discrimination because of race, color, ancestry, national origin, religious creed, physical disability, medical condition, marital status, sexual orientation, gender, or age; therefore, CONTRACTOR agrees to comply with applicable Federal and California laws including, but not limited to, the California Fair Employment and Housing Act beginning with Government Code Section 12900 and Labor Code Section 1735 and OUSD policy. In addition, CONTRACTOR agrees to require like compliance by all its subcontractor(s). CONTRACTOR shall not engage in unlawful discrimination in employment on the basis of actual or perceived; race, color, national origin, ancestry, religion, age, marital status, pregnancy, physical or mental disability, medical condition, veteran status, gender, sex or sexual orientation.

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- 13. **Drug-Free / Smoke Free Policy**: No drugs, alcohol, and/or smoking are allowed at any time in any buildings and/or grounds on OUSD property. No students, staff, visitors, CONTRACTORS, or subcontractors are to use controlled substances, alcohol or tobacco on these sites.
- 14. **Indemnification**: CONTRACTOR agrees to hold harmless, indemnify, and defend OUSD and its officers, agents, and employees from any and all claims or losses accruing or resulting from injury, damage, or death of any person, firm, or corporation in connection with the performance of this Agreement. CONTRACTOR also agrees to hold harmless, indemnify, and defend OUSD and its elective board, officers, agents, and employees from any and all claims or losses incurred by any supplier, contractor, or subcontractor furnishing work, services, or materials to CONTRACTOR in connection with the performance of this Agreement. This provision survives termination of this Agreement.
- 15. Copyright/Trademark/Patent/Ownership: CONTRACTOR understands and agrees that all matters produced under this Agreement shall become the property of OUSD and cannot be used without OUSD's express written permission. OUSD shall have all right, title and interest in said matters, including the right to secure and maintain the copyright, trademark, and/or patent of said matter in the name of OUSD. CONTRACTOR consents to use of CONTRACTOR's name in conjunction with the sale, use, performance and distribution of the matters, for any purpose and in any medium. These matters include, without limitation, drawings, plans, specifications, studies, reports, memoranda, computation sheets, the contents of computer diskettes, artwork, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes or any other original works of authorship, or other documents prepared by CONTRACTOR or its Sub-CONTRACTORs in connection with the Services performed under this Agreement. All works shall be works for hire as defined under Title 17 of the United States Code, and all copyrights in those works are the property of OUSD.
- 16. **Waiver**: No delay or omission by either party in exercising any right under this Agreement shall operate as a waiver of that or any other right or prevent a similar subsequent act from constituting a violation of the Agreement.
- 17. **Termination**: OUSD may at any time terminate this Agreement upon written notice to CONTRACTOR. OUSD shall compensate CONTRACTOR for services satisfactorily provided through the date of termination. In addition, OUSD may terminate this Agreement for cause should CONTRACTOR fail to perform any part of this Agreement. In the event of termination for cause, OUSD may secure the required services from another contractor. If the cost to OUSD exceeds the cost of providing the services pursuant to this Agreement, CONTRACTOR shall pay the additional cost.
- 18. **Conduct of CONTRACTOR**: CONTRACTOR will adhere to the following staff requirements and provide OUSD with evidence of staff qualifications, which include:
 - Tuberculosis Screening: CONTRACTOR is required to screen employees who will be working at OUSD sites for more than six hours. CONTRACTOR affirms that each employee has current proof of negative TB testing on file and TB results are monitored.
 - 2. Fingerprinting of Employees and Agents. The fingerprinting and criminal background investigation requirements of Education Code section 45125.1 apply to CONTRACTOR's services under this Agreement and CONTRACTOR certifies its compliance with these provisions as follows: "CONTRACTOR certifies that CONTRACTOR has complied with the fingerprinting and criminal background investigation requirements of Education Code section 45125.1 with respect to all CONTRACTOR's employees, subcontractors, agents, and subcontractors' employees or agents ("Employees") regardless of whether those Employees are paid or unpaid, concurrently employed by OUSD, or acting as independent contractors of CONTRACTOR, who may have contact with OUSD pupils in the course of providing services pursuant to the Agreement, and the California Department of Justice has determined that none of those Employees has been convicted of a felony, as that term is defined in Education Code section 45122.1. Contractor further certifies that it has received and reviewed fingerprint results for each of its Employees and Contractor has requested and reviews subsequent arrest records for all Employees who may come into contract with OUSD pupils in providing services to the District under this Agreement.

In the event that OUSD, in its sole discretion, at any time during the term of this contract, desires the removal of any CONTRACTOR related persons, employee, representative or agent from an OUSD school site and, or property, CONTRACTOR shall immediately, upon receiving notice from OUSD of such desire, cause the removal of such person or persons.

- 19. **No Rights in Third Parties**: This Agreement does not create any rights in, or inure to the benefit of, any third party except as expressly provided herein.
- 20. OUSD's Evaluation of CONTRACTOR and CONTRACTOR's Employees and/or Subcontractors. OUSD may evaluate CONTRACTOR's work in any way that OUSD is entitled to do so pursuant to applicable law. The OUSD's evaluation may include, without limitation:
 - 1. Requesting that OUSD employee(s) evaluate the CONTRACTOR and the CONTRACTOR's employees and subcontractors and each of their performance.
 - 2. Announced and unannounced observance of CONTRACTOR, CONTRACTOR's employee(s), and/or subcontractor(s).
- 21. Limitation of OUSD Liability: Other than as provided in this Agreement, OUSD's financial obligations under this Agreement shall be limited to the payment of the compensation provided in this Agreement. Notwithstanding any other provision of this Agreement, in no event, shall OUSD be liable, regardless of whether any claim is based on contract or tort, for any special, consequential, indirect or incidental damages, including, but not limited to, lost profits or revenue, arising out of, or in connection with, this Agreement for the services performed in connection with this Agreement.
- 22. **Confidentiality**: CONTRACTOR and all CONTRACTOR's agents, personnel, employee(s), and/or subcontractor(s) shall maintain the confidentiality of all information received in the course of performing the Services. CONTRACTOR understands that student records are confidential and agrees to comply with all state and federal laws concerning the maintenance and disclosure of student records. This requirement to maintain confidentiality shall extend beyond the termination of this Agreement. Contractors will be permitted

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Professional Services Contract

access to student data only where permissible under state and federal law and only after executing OUSD's Confidentiality Agreement Regarding Student Data.

- 23. **Conflict of Interest**: CONTRACTOR shall abide by and be subject to all applicable OUSD policies, regulations, statutes or other laws regarding conflict of interest. CONTRACTOR shall not hire any officer or employee of OUSD to perform any service by this Agreement.
 - CONTRACTOR affirms to the best of his/her/its knowledge, there exists no actual or potential conflict of interest between CONTRACTOR's family, business or financial interest and the services provided under this Agreement, and in the event of change in either private interest or services under this Agreement, any question regarding possible conflict of interest which may arise as a result of such change will be brought to OUSD's attention in writing.
 - Through its execution of this Agreement, CONTRACTOR acknowledges that it is familiar with the provisions of section 1090 *et seq.* and section 87100 *et seq.* of the Government Code of the State of California, and certifies that it does not know of any facts which constitute a violation of said provisions. In the event CONTRACTOR receives any information subsequent to execution of this Agreement which might constitute a violation of said provisions, CONTRACTOR agrees it shall notify OUSD in writing.
- 24. **Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion**: CONTRACTOR certifies to the best of his/her/its knowledge and belief, that it and its principals: Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency according to Federal Acquisition Regulation Subpart 9.4, and by signing this contract, certifies that this vendor does not appear on the Excluded Parties List. (https://www.epls.gov/epls/search.do)
- 25. **Litigation**: This Agreement shall be performed in Oakland, California and is governed by the laws of the State of California. The Alameda County Superior Court shall have jurisdiction over any state court litigation initiated to enforce or interpret this Agreement. If litigation is initiated, the prevailing party shall be entitled to reasonable attorney's fees and costs.
- 26. **Incorporation of Recitals and Exhibits**: The Recitals and each exhibit attached hereto are hereby incorporated herein by reference.
- 27. **Integration/Entire Agreement of Parties**: This Agreement constitutes the entire agreement between the Parties and supersedes all prior discussions, negotiations, and agreements, whether oral or written. This Agreement may be amended or modified only by a written instrument executed by both Parties.
- 28. **Counterparts**: This Agreement and all amendments and supplements to it may be executed in counterparts, and all counterparts together shall be construed as one document.
- 29. **Signature Authority**: Each party has the full power and authority to enter into and perform this Agreement, and the person signing this Agreement on behalf of each Party has been given the proper authority and empowered to enter into this Agreement.
- 30. Contract Contingent on Governing Board Approval: OUSD shall not be bound by the terms of this Agreement until it has been formally approved by OUSD's Governing Board, and no payment shall be owed or made to CONTRACTOR absent formal approval. This Agreement shall be deemed to be approved when it has been signed by the Board of Education, and/or the Superintendent as its designee.

| OAKLAND UNIFIED SCHOOL DISTRICT | | CONTRACTOR | | |
|---|------------|-----------------------|------------|--|
| GARY YEE | 05/19/2014 | Sonia Jain-Aghi | 05/19/2014 | |
| ☐ President, Board of Education ☐ Superintendent or Designee | Date | Contractor eSignature | Date | |
| | | Sonia Jain-Aghi, CE | 0 | |
| Secretary, Board of Education | Date | Print Name, Title | | |

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EXHIBIT "A" SCOPE OF WORK

IIF A CONTRACTOR PROVIDES AN ACCEPTABLE DESCRIPTION OF SERVICES AS PART OF A PROPOSAL. THAT DESCRIPTION OF SERVICES MAY BE ATTACHED <u>WITHOUT</u> ANY TERMS, CONDITIONS, LIMITATIONS, ETC., FROM THAT PROPOSAL.]

Description of Services to be Provided: Provide a description of the service(s) the contractor will provide. Be specific about what service(s) OUSD is purchasing and what this Contractor will do.

The District will contract with Dr. Sonia Jain, of Harvard University who has extensive experience leading large-scale evaluations, using rigorous evaluation designs and managing multiple studies con-currently; measuring multi-level system, school and youth level processes and impacts. Dr. Jain will provide comprehensive evaluation of the Whole School RJ and Peer RJ program including designing the evaluation plan, developing and administering data collection tools such as focus groups with key stakeholders, in-depth semi-structured interviews with youth, coordinators etc. and implementation survey by school. She along with her team at Data In Action, will conduct analysis and provide detailed evaluation report with recommendations for improvement and expansion of program. The data will be used to facilitate strategic planning sessions and develop equity-focused strength-based strategic plan that incorporates the collection voice of various stakeholders across the district and community. She will develop at least 2 peer-reviewed manuscripts for publication to ensure lessons learned and evidence gained from RJ program in OUSD is shared towards developing best practices model and with other practitioners and policy makers nationally. Finally, she will assist in development of one research grant to continue to support and fund RJ evaluation work and development.

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| 2. | of the attend Oakla | service(s): 1) How many more Oakland children a ing school 95% or more? 3) How many more studed children have access to, and use, the health | es from the services of this Contract? Be specific. For example, as a result are graduating from high school? 2) How many more Oakland children are dents have meaningful internships and/or paying jobs? 4) How many more services they need? Provide details of program participation (Students ble to). NOT THE GOALS OF THE SITE OR DEPARTMENT. |
|----|-------------------------------------|---|--|
| | agree schoo utiliza studei | ment with the Office of Civil rights by reporting I discipline. Additionally, we will have new acc tion of RJ as an alternative to suspension, 2) | aluable data to guide this initiative in its expansion and will fulfill its g on the use and impact of RJ to reduce racial disproportionality in cess to data on RJ to further our efforts to: 1) increase the reduce the use of exclusionary discipline practices with ALL lture and climate through improving connectedness, community school sites. |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| 3. | | ment with District Strategic Plan: Indicate the all that apply.) | ne goals and visions supported by the services of this contract: |
| | | sure a high quality instructional core | Prepare students for success in college and careers |
| | = | evelop social, emotional and physical health | ■ Safe, healthy and supportive schools ■ A second to the form of the second to the s |
| | | eate equitable opportunities for learning ghous ghous ghid quality and effective instruction | Accountable for qualityFull service community district |
| | | | - |
| 4. | _ | ment with Community School Strategic Si e select: | te Plan – CSSSP (required if using State or Federal Funds): |
| | | ction Item included in Board Approved CSSSP: | : (no additional documentation required) |
| | _ | Item Number(s): Not Applicable | |
| | | No Restricted Funds | |
| | | | |
| | | | |
| | | ction Item added as modification to Board anager either electronically via email of scanned d | Approved CSSSP – Submit the following documents to the Resource |
| | 1. | · | ighted. Page must include header with the word "Modified", modification |
| | 2. | | |
| | 3. | Minutes for meeting in which the CSSSP modifi | cation was approved indicating approval of the modification. |
| | 4. | Sign-in sheet for meeting in which the CSSSP r | nodification was approved. |
| | | | |

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ContractsOnline: Contract Waiver Summary

Site Number-Name: 922/Behavioral Health

Principal / Department Head: BARBARA MCCLUNG

Contractor Name: Sonia Jain-Aghi

Business Name: Data In Action, LLC

Contract Type: Standard

Anticipated Start Date: 03/01/2014 Contract End Date: 06/30/2014

Rate Type: FLAT Contract Amount: \$80,000.00

Applicable Waivers

Approved by Risk Management

Insurance-Reduction Waiver Status: NA

Waiver-Reduction Type: \$1,000,000 Required

Other Reduction Amount: NA

Approval Date:

Approved by Deputy Superintendent

Billing Waiver Status: Approved Approval Date: 05/15/2014

Fingerprint Waiver Status: NA Approval Date:

TB Test Waiver Status: NA Approval Date:

BIOGRAPHICAL SKETCH

| NAME | POSITION TITLE | |
|-----------------------|----------------|--|
| Sonia Jain | Principal/ CEO | |
| eRA COMMONS USER NAME | | |
| siain | | |

| INSTITUTION AND LOCATION | DEGREE (if applicable) | YEAR(s) | FIELD OF STUDY |
|---|---------------------------|---------|--|
| University of California, Davis | B.S. | 1993 | Biochemistry |
| University of California, Los Angeles | M.P.H. | 1998 | Epidemiology |
| Harvard University, School of Public Health (Department of Society Human Development and Health; Dissertation: Multilevel social determinants of resilience among youth exposed to violence.) | Dr.PH | 2007 | Social and Developmental Epidemiology Minors: Child and Yout Development; Qualitative and Quantitative methods |

A. POSITIONS AND HONORS

Select Positions and Employment.

| 1997 | Research Assistant, Center of research for Women's and Children's Health, Public Health |
|--------------|--|
| 1997-1999 | Evaluator, Laufer Green Isaac, Impact of Environment on Child health |
| 1997-1999 | Graduate Research Assistant, SAHARA, assessing and evaluating needs of domestic violence victims in the South Asian community. |
| 2001 | Evaluator, Caught in the Crossfire, Youth Alive!, Oakland, CA |
| 2002-2004 | Research Assistant, Harvard School of Public Health/ Departments of Maternal and Child |
| | Health and Society Human Development and Health. "Longitudinal study of response of healthcare to domestic violence victims" |
| 2004 | Teaching Assistant, Harvard School of Public Health, Department of Society, Human |
| | Development and Health, "Mental Health of Children and Adolescents" Pl. Stephen |
| 2004 | Buka and Beth E Molnar |
| 2004 | Teaching Assistant, Harvard School of Public Health, Department of Society, Human |
| 2004-2005 | Development and Health, "Research Methods for Psychiatric Disorders" PI: Steve Gilman |
| 2004-2003 | Data Analyst, University of California San Francisco, Center on Social Disparities in Health "Mediators and moderators of neighborhood effects on youth sexual activity" and effects |
| | of social class and acculturation on sexual health of latino subgroups. |
| 1998-2008 | Community Epidemiologist, Alameda County Public Health Department, Community Assessment Planning and Evaluation Unit. |
| 2009-present | Lecturer, University of California, Berkeley, School of Public Health. "Multi-level Theory |

and Methods" 4/09 PI: N. Constantine; "Use of Theory in Public Health Practice" 10/09, PI Emily Ozer; "Healthy Youth in Healthy Communities: using a Strengths-based

Perspective" 4/09, PI: Emily Ozer

2008-2013 Senior Researcher/Project Director, WestEd, Health and Human Development Program.

2014-present Founder/ Principal/ CEO, Data In Action, LLC

Other Experiences and Professional Memberships.

Member, American Public Health Association
Member, Society of Epidemiological Research Association
Invited Member, Statewide Sexual Assault Research Group
Invited Member, Planning committee for Alameda County Juvenile Justice Center

Honors.

| 2014 | Global Women Leaders of the World Training – Invited Participant |
|---------|---|
| 2011 | Selected and awarded WestEd Project Director (PD2) Certificate |
| 2002-05 | Martha may Eliot Scholarship, MCH Department, Harvard School of Public Health |
| 2003-05 | J & M Nichols Department Grant, SHDS, Harvard School of Public Health |
| 2003-04 | Seiden and Denny Scholarship for Children's Health, Harvard School of Public Health |
| 2002-05 | Maternal and Child Health Bureau Training Grant, Harvard School of Public Health |
| 2006 | Harvard Injury Control Research Center Dissertation Grant |

B. SELECTED PEER-REVIEWED PUBLICATIONS

- Jain S, Buka SL, Subramanian SV, and Molnar BE. Neighborhood predictors of dating violence in early adulthood: a multilevel study. American Journal of Public Health. 2010; 100: 1737-1744.
- Jain, S., Buka, S. L., Subramanian, S. V., & Molnar, B. E. (2012). Protective factors for youth exposed to violence: Role of developmental assets for building emotional resilience. Journal of Youth Violence and Juvenile Justice, 1:10.
- Jain, S., Molnar, B. E., Subramanian, S. V., & Buka, S. L. (2013). Resilient trajectories of urban youth exposed to community violence from adolescence to young adulthood: A 7-year prospective study.
- Jain, S., & Cohen, A. K. Behavioral adjustment among youth exposed to community violence: A longitudinal multidisciplinary study of family, peer and neighborhood-level protective factors. Prevention Science. 2013; 14 (6):606-17.
- Jain, S., & Cohen, A. K. Fostering resilience among urban youth exposed to violence: A promising area for interdisciplinary research and practice. Health Education and Behavior. 2013; 40(6): 651-62.
- Jain, S., & Cohen, A. K. (Under Review). Cambridge-Somerville Youth Experiment. Encyclopedia of Criminology & Criminal Justice. 2014, 252-255.
- Jain, S., Alvarado, A. M., Cohen, A. K., & Eldridge, Q. (2013). Understanding and meeting the needs of formerly gang-affiliated youth through tattoo-removal services. (Journal of Gang Research)
- Jain, S., & Cohen, A. K. (2012, February). The power of developmental assets in building behavioral adjustment among youth exposed to community violence: A multidisciplinary longitudinal study of resilience. National Institute of Justice Technical Report.
- Jain, S. (Under Review). Using eco-social theory to identify social determinants of violence against women in India: A social, cultural and historical perspective. Submitted to Social Science and Medicine.
- Jain, S., Cohen, A., Austin, G., Huang, C., & Hanson, T. (Under Review). Inequalities in school climate in California. Journal of Education Administration Quarterly.
- Cubbin C, Brindis C, Jain S, Braveman P, and Santelli J. Neighborhood poverty, aspirations and expectations, and initiation of sex. *Journal of Adolescent Health*. 2010;47:399-406.
- 12. McCarthy, B., Jain, S. A multilevel analysis: effect of school-level racial/ ethnic composition and parental education on youth smoking *Manuscript in preparation*.
- 13. Eaton W, Buka S, Yu J, Jain S et al. Risk Factors for Major Mental Disorders: A Review of the Epidemiologic Literature. Johns Hopkins and Harvard SPH. NIMH-funded. 2004.

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 Jain, S, Huang K., Hanson, T. and Austin, G. (2009) Staff Assessments of School Climate in California. A study funded by US Department of Education. WestEd HHDP.

18. Jain, S, Ahyte, C. (2008). Reality... Straight Up. Oakland Youths' Perspective on Stressors that contribute to underage drinking. Environmental Prevention in Communities. Alcohol Policy Network.

19. Jain S, Brown J, Wright W, Garcia J. (2006). Youth Health and Wellness in Alameda County. Alameda County Public Health Department and School-based Health Center. Available www.acphd.org/user/data/datareports.asp

20. Beyers M and Jain S. (2005). Violence in Alameda County: A Public Health Crisis. Community Assessment, Planning and Education Unit, Alameda County Public Health Department.

21. Jain S, Mena M, & Kiley J and Ayers C. (2005). Oakland On the Rocks. Alameda County Public Health Department and Alcohol Policy Network.

22. Jain S, Nessa Q, Arthur D. (2003). A Profile of Family Violence in Alameda County. Domestic Violence

C. Research Support.

Completed/Ongoing Research Support

National Institute of Justice 12/1/09-3/1/12

(PI: Sonia Jain, Co-PI: Anthony Petrosino)

What Promotes Resilience Among Youth Exposed to Violence

Role: Principal Investigator

National Institute of Justice, Public Health Institute 1/1/12-12/31/14

(PI: Elizabeth Miller and Sandi Goldstein)

Building Healthy Relationships through School Health Services Role: Evaluator (linking school climate to dating violence)

Alameda County School Health Services Coalition 10/2010-6/30/13

(PI: Tracey Schear)

Provide TA for needs assessment, logic model and strategic planning

Focused on health-educational equity

Role: Consultant and Technical Assistance

State Corrections Authority 1/1/12-12/31/12

Alameda County Probation Department

(PI: Chief Mohammad)

Evening Reporting Centers, Alternatives to Detention Evaluation Role:

Lead Evaluator

National Institute of Justice 10/1/10-12/31/12

Second Chance: Juvenile Reentry Demonstration Project in Oakland

(PI: Sara Bedford) Role: Lead Evaluator

U.S. Department of Health and Human Services, SAMHSA, 10/1/09-9/30/15

Department of Child and Adolescent Health

(PI: Carolyn Novosel)

Evaluation of Early Childhood Mental Health System of Care

Role: Lead Evaluator

Centers of Disease Control and Prevention

Communities Putting Prevention to Work: Tobacco Prevention

(PI: Sonia Jain, Co-PI: Barbara Dietsch)

Role: Lead Evaluator

9/30/10-1/30/12

California Department of Education

S3 School Climate grant

(PI: Greg Austin)

Role: Researcher/ Data Analyst

1/30/2011-present

California Endowment, Irvine Foundation and Hewlett Packard

3/1/08-12/31/11

(PI: Greg Austin, Claire Brindis)

"California Education Supports Research Project"

The goal of this project is to create research papers and evidence-based policy briefs, linking health and education statewide. Role: Research Coordinator

Institute of Educational Science, US Department of Education

5/1/08 - 2/1/09

(PI: Sonia Jain, Co-PI: Greg Austin)

"Staff Assessments of School Climate in California"

The goal of this study was to examine differences in staff perceptions of school climate, i.e. conditions of learning by school type and school characteristics (urban/rural, socioeconomic status, racial/ethnic composition, high vs. low performing, school connectedness) statewide. Funded by State Department of Education since 2003, the California School Climate Survey is intended to gather information about staff perceptions of their school climate, that is, the conditions or quality of both the physical and social aspects of the learning environment at the school in which they work.

References

Sandra Witt, Dr.PH, Cal Endowment Director of Building Healthy Communities for Northern California Claire Brindis, Dr.PH, UCSF Professor, Director of Center for Health Policy Beth Molnar, Associate Professor, Harvard School of Public Health, Research Committee Chair



CERTIFICATE OF LIABILITY INSURANCE

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IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s)

| С | ertif | ica | ate holder in li | eu c | of such endors | seme | nt(s) | | | | | | | | |
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| Hiscox Inc. d/b/a/ Hiscox Insurance Agency in CA | | | | | | | | | PHONE (A/C, No, Ext): (888) 202-3007 FAX (A/C, No): | | | | | | |
| 520 |) Ma | adis | son Avenue | | | | | | E-MAIL ADDRESS: contact@hiscox.com | | | | | | |
| 32 | nd F | loc | or | | | | | | ADDRESS. | | | | | NAIC # | |
| Ne | w Y | ork | k. NY 10022 | | | | | | INCLIDE | 1.12 | Insurance Co | | | 10200 | |
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| | | | DATA IN | ΔСТ | ION LLC | | | | INSURER B: | | | | | | |
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| | | | OAKLANI | _ | | | C A | 94602 | INSURER E : | | | | | | |
| | ·/== | _ | | | 055 | TIFIC | | | INSURE | RF: | | DEVICION NUMBER | | | |
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| THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. | | | | | | | | | | | WHICH THIS | | | | |
| INSR LTR | | | TYPE OF IN | SURA | NCE | ADDL SUBR INSR WVD POLICY NUMB | | | POLICY EFF POLICY EXP (MM/DD/YYYY) (MM/DD/YYYY) | | | LIMITS | | | |
| | | NEI | RAL LIABILITY | | | | | | | , | , | EACH OCCURRENCE | \$ | 1,000,000 | |
| | X | 7 c | COMMERCIAL GEN | IERAL | LIABILITY | | | | | | | DAMAGE TO RENTED PREMISES (Ea occurrence) | \$ | 100,000 | |
| | | Ť | CLAIMS-MADE | | OCCUR | | N | | | | | T TEMPOLO (La occarronco) | \$ | 5,000 | |
| Α | | T | | - [* | • | Υ | | UDC-1451597-CGL-14 | | 05/06/2014 | 05/06/2015 | ` , ' , ' | \$ | 0 | |
| | | 1- | | | | | | | | | | | \$ | 2,000,000 | |
| | GE | ויוא | . AGGREGATE LIM | ΙΤ ΔΡ | DI IES DER: | | | | | | | | • | S/T Gen. Agg. | |
| | X | _ | PRO |)- [| LOC | | | | | | | | \$ | 57. Gam 7.99. | |
| | - | _ | OLICY JEC Mobile Liability | | LOC | | | | | | | COMBINED SINGLE LIMIT | | | |
| | - | ٦ | | | | | | | | | | | \$ | | |
| | | | ANY AUTO ALL OWNED | _ | SCHEDULED | | | | | | | , , , | \$ | | |
| | | 7 | UTOS | | AUTOS NON-OWNED | | | | | | | DDODEDTY DAMAGE | \$ | | |
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| | | + | IMPDELLA LIAD | _ | | | | | | | | | \$ | | |
| | | - | JMBRELLA LIAB | | OCCUR | | | | | | | | \$ | | |
| | | - | EXCESS LIAB | | CLAIMS-MADE | | | | | | | AGGREGATE | \$ | | |
| | 14/0 | _ | DED RETEN | | 1\$ | | | | | | | WC STATU- OTH- | \$ | | |
| WORKERS COMPENSATION AND EMPLOYERS' LIABILITY | | | | | Y/N | | | | | | | TORY LIMITS ER | | | |
| ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? | | | | N/A | | | | | | E.L. EACH ACCIDENT | \$ | | | | |
| (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below | | | | | | 1 | | | l | | | E.L. DISEASE - EA EMPLOYEE | \$ | | |
| | | | | | NS below | | | | | | | E.L. DISEASE - POLICY LIMIT | \$ | | |
| | | | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | | | |
| DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required) | | | | | | | | | | | | | | | |
| CF | CERTIFICATE HOLDER | | | | | | | | | CANCELLATION | | | | | |
| Oakland Unified School District ATTN: Risk Management 900 High Street Oakland, CA 94601 | | | | | | | | | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. | | | | | | |
| | | | | | | | | | AUTHORIZED REPRESENTATIVE AUGUSTA | | | | | | |

ACORD 25 (2010/05)



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| С | ertif | ica | ate holder in li | eu c | of such endors | seme | nt(s) | | | | | | | | |
|---|--------------------|------|---------------------------------|-------|---|--------------------------------|-------|--------------------|--|--------------------|--------------|--|-------|---------------|--|
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| | | NEI | RAL LIABILITY | | | | | | | , | , | EACH OCCURRENCE | \$ | 1,000,000 | |
| | X | 7 c | COMMERCIAL GEN | IERAL | LIABILITY | | | | | | | DAMAGE TO RENTED PREMISES (Ea occurrence) | \$ | 100,000 | |
| | | Ť | CLAIMS-MADE | | OCCUR | | N | | | | | T TEMPOLO (La occarronco) | \$ | 5,000 | |
| Α | | T | | - [* | • | Υ | | UDC-1451597-CGL-14 | | 05/06/2014 | 05/06/2015 | ` , ' , ' | \$ | 0 | |
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| | X | _ | PRO |)- [| LOC | | | | | | | | \$ | 57. Gam 7.99. | |
| | - | _ | OLICY JEC Mobile Liability | | LOC | | | | | | | COMBINED SINGLE LIMIT | | | |
| | - | ٦ | | | | | | | | | | | \$ | | |
| | | | ANY AUTO ALL OWNED | _ | SCHEDULED | | | | | | | , , , | \$ | | |
| | | 7 | UTOS | | AUTOS NON-OWNED | | | | | | | DDODEDTY DAMAGE | \$ | | |
| | | - Н | HIRED AUTOS | | AUTOS | | | | | | | (Per accident) | | | |
| | | + | IMPDELLA LIAD | _ | | | | | | | | | \$ | | |
| | | - | JMBRELLA LIAB | | OCCUR | | | | | | | | \$ | | |
| | | - | EXCESS LIAB | | CLAIMS-MADE | | | | | | | AGGREGATE | \$ | | |
| | 14/0 | _ | DED RETEN | | 1\$ | | | | | | | WC STATU- OTH- | \$ | | |
| WORKERS COMPENSATION AND EMPLOYERS' LIABILITY | | | | | Y/N | | | | | | | TORY LIMITS ER | | | |
| ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? | | | | N/A | | | | | | E.L. EACH ACCIDENT | \$ | | | | |
| (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below | | | | | | 1 | | | l | | | E.L. DISEASE - EA EMPLOYEE | \$ | | |
| | | | | | NS below | | | | | | | E.L. DISEASE - POLICY LIMIT | \$ | | |
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| DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required) | | | | | | | | | | | | | | | |
| CF | CERTIFICATE HOLDER | | | | | | | | | CANCELLATION | | | | | |
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