

Board Office Use: Legislative File Info.	
File ID Number	16-2194
Introduction Date	10-24-16
Enactment Number	16-1726
Enactment Date	10/26/16



Memo

To Board of Education
From Antwan Wilson, Superintendent

Board Meeting Date
(To be completed by Procurement) 10/26/16

Subject Professional Services Contract Amendment No. 1 -
Russ Ballati -
907/Student Assignment Center (site/department)

Action Requested Ratification by the Board of Education of Amendment No. 1 to the Professional Services Contract between Oakland Unified School District and Russ Ballati. Services to be primarily provided to 907/Student Assignment Center for the period of 9/10/2016 through 12/31/2016.

Background
A one paragraph explanation of why an amendment is needed.

Russ provides an intimate familiarity with the current Data Program and OUSD1 as project manager for the OUSD1 selection and implementation effort. His skill set and experience greatly increases the opportunity for successfully realizing the district's vision for improving our student learning using data and tools to inform instruction. Russ brings unique experience and expertise to OUSD. His 25 years of project management experience in both the private sector (15 yrs) and within K-12 (10 yrs) lend itself to successfully completing complex initiatives like the OUSD1 project. Russ' K-12 experience gives him deep knowledge of educational data, systems and school/district organization. Russ consulted for OUSD from 2005-2009 during which time he supported multiple projects within the IT department. This experience has enabled him to quickly understand OUSD operations, systems, culture and structure. In addition, Russ' specific expertise in blended and differentiated learning is in line with OUSD's strategic vision. As co-founder of The Alvo Institute, Russ worked closely with schools and districts helping design and implement blended learning programs.

Discussion
One paragraph summary of the amended scope of work.

Russ will manage Illuminate-Year 2 and the continuation of the current Illuminate implementation. Russ managed the initial selection, implementation and support set up for the first year of Illuminate (aka OUSD1). The second year includes the introduction of formative assessments in the classroom. Russ will be instrumental in transitioning the new Data and Assessment Coordinator. He will provide them the history and background needed to get context to the current state of Illuminate in the district. He will help them establish the plan for their role and schedule for the coming year. This role requires project management expertise, experience with Illuminate functionality and data structure, vendor management (managing the Illuminate onsite support person), and providing recommendations for beyond the second year of Illuminate use. He will also continue managing the initiation of Gradebook adoption at school sites. The SLT identified Gradebook as an important focus toward greater parent engagement. Russ will transition the Gradebook implementation support. In addition, he will continue managing the vendor, KDS and their selection of a 50% time on-site resource to support the first year of implementation, Russ will work closely with Jody Talkington to transition project management support. Russ managed action, implementation and support set up for the first year of Illuminate (aka OUSD1). The second year includes the introduction of formative assessments in the classroom. Russ will be instrumental in transitioning the new Data and Assessment Coordinator, Kathy Banks, into her role. He will provide her the history and background needed to get context to the current state of Illuminate in the district. He will help her establish the plan for her role.

Recommendation Ratification by the Board of Education of Amendment No. 1 to the Professional Services Contract between Oakland Unified School District and Russ Ballati. Services to be primarily provided to 907/Student Assignment Center for the period of 9/10/2016 through 12/31/2016.

Fiscal Impact Funding resource name (please spell out) SATELLITE ENROLLMENT OFFICE
not to exceed \$38,000.00

Attachments

- Contract Amendment
- Copy of original contract and any prior amendments



CONTRACT JUSTIFICATION FORM
This Form Shall Be Submitted to the Board Office
With Every Consent Agenda Contract.

Legislative File ID No. 16-2194

Department: Student Assignment Center

Vendor Name: Russ Ballati

Contract Term: Start Date: 9/10/2016 End Date: 12/31/2016

Annual Cost: \$ 38,000.00

Approved by: Charles Wilson, Executive, Director, Enrollment and Registration Management

Is Vendor a local Oakland business? Yes No

Why was this Vendor selected?

Worked with Vendor previously at OUSD

Summarize the services this Vendor will be providing.

Project management of enrollment technology upgrade project phase 1.
Support other areas of the enrollment improvement program projects such as documentation, data governance, planning support.
Perform tasks support secure funding for phase 2 of the technology upgrade project.
Lead the RFI and selection of the technology solution for phase 2 of the tech upgrade project.

Was this contract competitively bid? Yes No

If No, answer the following:

1) How did you determine the price is competitive?

2) Please check the competitive bid exception relied upon:

- Educational Materials**
- Special Services** contracts for financial, economic, accounting, legal or administrative services
- CUPCCAA exception** (Uniform Public Construction Cost Accounting Act)
- Professional Service Agreements** of less than \$87,800 (increases a small amount on January 1 of each year)
- Construction related Professional Services** such as Architects, DSA Inspectors, Environmental Consultants and Construction Managers (require a "fair, competitive selection process)
- Energy** conservation and alternative energy supply (e.g., solar, energy conservation, co-generation and alternate energy supply sources)
- Emergency** contracts [requires Board resolution declaring an emergency]
- Technology** contracts
 - electronic data-processing systems, supporting software and/or services (including copiers/printers) over the \$87,800 bid limit, must be competitively advertised, but any one of the three lowest responsible bidders may be selected
 - contracts for computers, software, telecommunications equipment, microwave equipment, and other related electronic equipment and apparatus, including E-Rate solicitations, may be procured through an RFP process instead of a competitive, lowest price bid process
 - Western States Contracting Alliance Contracts (WSCA)
 - California Multiple Award Schedule Contracts (CMAS) [contracts are often used for the purchase of information technology and software]
- "Piggyback" Contracts** with other governmental entities
- Perishable Food**
- Sole Source**
- Change Order for Material and Supplies** if the cost agreed upon in writing does not exceed ten percent of the original contract price
- Other, please provide specific exception**

Board Office Use: Legislative File Info.	
File ID Number	14-2194
Introduction Date	10-28-16
Enactment Number	15-1726
Enactment Date	10/28/16



OAKLAND UNIFIED SCHOOL DISTRICT
Community Schools. Thriving Students.

AMENDMENT NO. 1
TO PROFESSIONAL SERVICES CONTRACT

This Amendment is entered into between the Oakland Unified School District (OUSD) and Russ Ballati (CONTRACTOR). OUSD entered into an Agreement with CONTRACTOR for services on 9/10/2016, and the parties agree to amend that Agreement as follows:

<p>1. Services: <input checked="" type="checkbox"/> The scope of work is <u>unchanged</u>. <input type="checkbox"/> The scope of work has <u>changed</u>.</p> <p>If the scope of work has changed: Provide brief description of revised scope of work including measurable description of expected final results, such as services, materials, products, and/or reports; attach additional pages as necessary.</p> <p><input type="checkbox"/> Revised scope of work attached. OR <input checked="" type="checkbox"/> The CONTRACTOR agrees to provide the following amended services: Additional hours needed for enrollment technology upgrade, enrollment school apply, enrollment school finder and project management methodology.</p>
<p>2. Terms (duration): <input checked="" type="checkbox"/> The term of the contract is <u>unchanged</u>. <input type="checkbox"/> The term of the contract has <u>changed</u>.</p> <p>If the term has changed: The contract term is extended by an additional _____ (days/weeks/months), and the amended expiration date is <u>12/31/2016</u>.</p>
<p>3. Compensation: <input checked="" type="checkbox"/> The contract price is <u>unchanged</u>. <input type="checkbox"/> The contract price has <u>changed</u>.</p> <p>If the compensation has changed: The contract price is amended by</p> <p><input checked="" type="checkbox"/> Increase of \$ <u>38,000.00</u> to original contract amount</p> <p><input type="checkbox"/> Decrease of \$ _____ to original contract amount</p> <p>and the new contract total is <u>Seventy Five Thousand Four Hundred Ten</u> dollars (\$ \$ <u>75,410.00</u>)</p>

- 4. Remaining Provisions:** All other provisions of the Agreement, and prior Amendment(s) if any, shall remain unchanged and in full force and effect as originally stated.
- 5. Amendment History:**
- There are no previous amendments to this Agreement. This contract has previously been amended as follows:

No.	Date	General Description of Reason for Amendment	Amount of Increase (Decrease)
			\$
			\$
			\$

6. Approval: This Agreement is not effective and no payment shall be made to Contractor until it is approved. Approval requires signature by the Board of Education and/or the Superintendent as their designee.

OAKLAND UNIFIED SCHOOL DISTRICT

CONTRACTOR

[Signature] 10/27/16
 President, Board of Education Date
 Superintendent
 Chief or Deputy Chief
[Signature] 10/27/16
Secretary, Board of Education Date

[Signature] 9/12/16
Contractor Signature Date
Russ Ballati
Print Name, Title

EXHIBIT "A" SCOPE OF WORK

[IF A CONTRACTOR PROVIDES AN ACCEPTABLE DESCRIPTION OF SERVICES AS PART OF A PROPOSAL, THAT DESCRIPTION OF SERVICES MAY BE ATTACHED WITHOUT ANY TERMS, CONDITIONS, LIMITATIONS, ETC., FROM THAT PROPOSAL.]

1. **Description of Services to be Provided:** Provide a description of the service(s) the contractor will provide. Be specific about what service(s) OUSD is purchasing and what *this* Contractor will do.

Project Management of Enrollment Technology Upgrade Project Phase 1

Support other areas of the Enrollment Improvement Program projects such as documentation, data governance, planning support

Perform tasks support secure funding for Phase 2 of the Technology Upgrade Project

Lead the RFI and selection of the technology solution for Phase 2 of Tech Upgrade Project

2. **Specific Outcomes:** What are the expected outcomes from the services of this Contract? Be specific. For example, as a result of the service(s): 1) How many more Oakland children are graduating from high school? 2) How many more Oakland children are attending school 95% or more? 3) How many more students have meaningful internships and/or paying jobs? 4) How many more Oakland children have access to, and use, the health services they need? Provide details of program participation (Students will...) and measurable outcomes (Participants will be able to...). NOT THE GOALS OF THE SITE OR DEPARTMENT.

At the completion of Russ' contract the following outcomes are agreed upon, reduced risk for successful delivery of the above stated initiatives. Help with Data A Assessment Coordinator orientation and upstart. The transition of project management of the KDS Full Professional Development System to Jody Talkington. Transition the schedule, scope, risks and strategic direction for aspects of the project. Assuming KDS PD registration tool beta is on schedule, develop the initial scope of work for KDS to begin its design enhancements to its existing PD registration tool to meet OUSD requirements. Complete facilitation of the design phase of the SchoolApply design portion of the Tech Upgrade projects.

3. **Alignment with District Strategic Plan:** Indicate the goals and visions supported by the services of this contract:

(Check all that apply.)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Ensure a high quality instructional core | <input type="checkbox"/> Prepare students for success in college and careers |
| <input type="checkbox"/> Develop social, emotional and physical health | <input type="checkbox"/> Safe, healthy and supportive schools |
| <input type="checkbox"/> Create equitable opportunities for learning | <input type="checkbox"/> Accountable for quality |
| <input type="checkbox"/> High quality and effective instruction | <input checked="" type="checkbox"/> Full service community district |

4. **Alignment with Community School Strategic Site Plan – CSSSP (required if using State or Federal Funds):**

Please select:

- Action Item included in Board Approved CSSSP** (no additional documentation required) – Item Number: _____
- Action Item added as modification to Board Approved CSSSP** – Submit the following documents to the Resource Manager either electronically via email of scanned documents, fax or drop off.
- Relevant page of CSSSP with action item highlighted. Page must include header with the word "Modified", modification date, school site name, both principal and school site council chair initials and date.
 - Meeting announcement for meeting in which the CSSSP modification was approved.
 - Minutes for meeting in which the CSSSP modification was approved indicating approval of the modification.
 - Sign-in sheet for meeting in which the CSSSP modification was approved.

Board Office Use: Legislative File Info.	
File ID Number:	16-1924
Introduction Date:	09/14/2016
Enactment Number:	16-1490
Enactment Date:	09/14/2016



OAKLAND UNIFIED SCHOOL DISTRICT

Community Schools, Thriving Students

Memo

To: Board of Education
From: Antwan Wilson, Superintendent
Board Meeting Date: 09/14/2016
Subject: Professional Service Contract
Contractor: Russ Ballati Consulting of Oakland, Ca
Services for: 986-TECHNOLOGY SERVICES

Board Action Requested and Recommendation: Ratification by the Board of Education of a Professional Services Contract between the District and Russ Ballati Consulting, Oakland, Ca, for the latter to provide: Russ will manage Illuminate-Year 2 and the continuation of the current Illuminate implementation. Russ managed the initial selection, implementation and support set up for the first year of Illuminate (aka OUSD1). The second year includes the introduction of formative assessments in the classroom. Russ will instrumental in transitioning the new Data & Assessment Coordinator. He will provide them the history and background needed to get context to the current state of Illuminate in the district. He will help them establish the plan for their role and schedule for the coming year. This role requires project management expertise,

Background:
 (A one paragraph explanation of why the consultant's services are needed.)

Russ provides an intimate familiarity with the current Data Program and OUSD1 as project manager for the OUSD1 selection and implementation effort. His skill set and experience greatly increases the opportunity for successfully realizing the district's vision for improving our student learning using data and tools to inform instruction. Russ brings unique experience and expertise to OUSD. His 25 years of project management experience in both the private sector (15 yrs) and within k-12 (10 yrs) lend itself to successfully completing complex initiatives like the OUSD1 project. Russ' K-12 experience gives him deep knowledge of educational data, systems and school/district organization. Russ consulted for OUSD from 2005-2009 during which time he supported multiple projects within the IT department. This experience has enabled him to quickly understand OUSD operations, systems, culture and structure. In addition, Russ' specific expertise in blended and differentiated learning is in line with OUSD's strategic vision. As co-founder of The Alvo Institute, Russ worked closely with schools and districts helping design and implement blended learning programs.

Discussion:
 (QUANTIFY what is being purchased.)

Russ will manage Illuminate-Year 2 and the continuation of the current Illuminate implementation. Russ managed the initial selection, implementation and support set up for the first year of Illuminate (aka OUSD1). The second year includes the introduction of formative assessments in the classroom. Russ will instrumental in transitioning the new Data & Assessment Coordinator. He will provide them the history and background needed to get context to the current state of Illuminate in the district. He will help them establish the plan for their role and schedule for the coming year. This role requires project management expertise, experience with Illuminate functionality and data structure, vendor management (managing the Illuminate onsite support person), and providing recommendations for beyond the second year of Illuminate use. He will also continue managing the initiation of gradebook adoption at school sites. The SLT identified Gradebook as an important focus toward greater parent engagement. Russ will transition the Gradebook implementation support. In addition, he will continue managing the vendor, KDS and their selection of a 50% time on-site resource to support the first year of implementation. Russ will work closely with Jody Talkington to transition project management support. Russ managed action, implementation and support set up for the first year of Illuminate (aka OUSD1). The second year includes the introduction of formative assessments in the classroom. Russ will instrumental in transitioning the new Data & Assessment Coordinator, Kathy Banks, into her role. He will provide her the history and background needed to get context to the current state of Illuminate in the district. He will help her establish the plan for her role and

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OAKLAND UNIFIED
SCHOOL DISTRICT

Community Schools, Thriving Students

Fiscal Impact: Funding Resource name(s) (detailed below) not to exceed \$37,410.00.

\$37,410.00

General Purpose-Unrestricted

Attachments: Professional Services Contract including Scope of Work



CONTRACT JUSTIFICATION FORM

**This Form Shall Be Submitted to the Board Office
With Every Consent Agenda Contract.**

Legislative File ID No. 16-1924

Department: 986-TECHNOLOGY SERVICES

Vendor Name: Russ Ballati Consulting

Contract Term: Start Date: 07/01/2016 End Date: 09/09/2016

Annual Cost: \$ \$37,410.00

Approved by: JOHN C KRULL

Is Vendor a local Oakland business? Yes No

Why was this Vendor selected?

Worked with Vendor previously at OUSD

Summarize the services this Vendor will be providing.

Was this contract competitively bid? Yes No

If No, answer the following:

- 1) How did you determine the price is competitive?

Price compared with other vendors

2) Please check the competitive bid exception relied upon:

- Educational Materials**
- Special Services** contracts for financial, economic, accounting, legal or administrative services
- CUPCCAA exception** (Uniform Public Construction Cost Accounting Act)
- Professional Service Agreements** of less than \$87,800.00 (increases a small amount on January 1 of each year)
- Construction related Professional Services** such as Architects, DSA Inspectors, Environmental Consultants and Construction Managers (require a "fair, competitive selection process)
- Energy** conservation and alternative energy supply (e.g., solar, energy conservation, co-generation and alternate energy supply sources)
- Emergency** contracts [requires Board resolution declaring an emergency]
- Technology** contracts
 - electronic data-processing systems, supporting software and/or services (including copiers/printers) over the \$87,800.00 bid limit, must be competitively advertised, but any one of the three lowest responsible bidders may be selected
 - contracts for computers, software, telecommunications equipment, microwave equipment, and other related electronic equipment and apparatus, including E-Rate solicitations, may be procured through an RFP process instead of a competitive, lowest price bid process
 - Western States Contracting Alliance Contracts (WSCA)
 - California Multiple Award Schedule Contracts (CMAS) [contracts are often used for the purchase of information technology and software]
- "Piggyback" Contracts** with other governmental entities
- Perishable Food**
- Sole Source**
- Change Order for Material and Supplies** if the cost agreed upon in writing does not exceed ten percent of the original contract price
- Other, please provide specific exception**

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Enactment Date	09/14/2016



OAKLAND UNIFIED SCHOOL DISTRICT

PROFESSIONAL SERVICES CONTRACT 2016-2017

This Agreement is entered into between Russ Ballati Consulting of Oakland, Ca (CONTRACTOR) and Oakland Unified School District (OUSD). OUSD is authorized by Government Code Section 53060 to contract for the furnishing of special services and advice in financial, economic, accounting, engineering, legal, and administrative matters with persons specially trained, experienced, and competent to perform such services. CONTRACTOR warrants it is specially trained, experienced, and competent to provide such services. The parties agree as follows:

- Services:** CONTRACTOR shall provide the ("Services" or "Work") as described in **Exhibit "A,"** attached hereto and incorporated herein by reference.
- Terms:** CONTRACTOR shall commence work on 07/01/2016, or the day immediately following approval by the Superintendent if the aggregate amount CONTRACTOR has contracted with the District is below \$87,800.00 in the current fiscal year; or, approval by the Board of Education if the total contract(s) exceed \$87,800.00, whichever is later. The work shall be completed no later than 09/09/2016.
- Compensation:** OUSD agrees to pay CONTRACTOR for services satisfactorily rendered pursuant to this Agreement. The compensation under this Contract shall not exceed Thirty-Seven Thousand Four Hundred Ten Dollars and 00/100 Dollars (\$37,410.00) [per fiscal year], at an hourly billing rate not to exceed \$145.00 per hour. This sum shall be for full performance of this Agreement and includes all fees, costs, and expenses incurred by Contractor including, but not limited to, labor, materials, taxes, profit, overhead, travel, insurance, subcontractor costs, and other costs.

If CONTRACTOR will be compensated hourly for services provided under this Contract, CONTRACTOR shall describe in Exhibit "A," attached hereto, the specific scope of services to be delivered on an hourly basis to OUSD.

OUSD shall not be liable to CONTRACTOR for any costs or expenses paid or incurred by CONTRACTOR in performing services for OUSD, except as follows: N/A

Payment for Work shall be made for all undisputed amounts in monthly installment payments within forty-five (45) days after CONTRACTOR submits an invoice to OUSD for Work actually completed and after OUSD's written approval of the Work, or the portion of the Work for which payment is to be made.

The granting of any payment by OUSD, or the receipt thereof by CONTRACTOR, shall in no way lessen the liability of CONTRACTOR to correct unsatisfactory work, although the unsatisfactory character of that work may not have been apparent or detected at the time a payment was made. Work, which does not conform to the requirements of this Agreement, may be rejected by the District and in that case must be replaced by CONTRACTOR without delay.

- Equipment and Materials:** CONTRACTOR shall provide all equipment, materials, and supplies necessary for the performance of this Agreement *except:* N/A, which shall not exceed a total cost of \$0.00.

CONTRACTOR Qualifications / Performance of Services:
CONTRACTOR Qualifications: CONTRACTOR warrants it is specially trained, experienced, competent and fully licensed to provide the Services required by this Agreement in conformity with the laws and regulations of the State of California, the United States of America, and all local laws, ordinances and/or regulations, as they may apply.

Standard of Care: CONTRACTOR warrants that CONTRACTOR has the qualifications and ability to perform the Services in a professional manner, without the advice, control, or supervision of OUSD. CONTRACTOR's services will be performed, findings obtained, reports and recommendations prepared in accordance with generally and currently accepted principles and practices of its profession for services to California school districts.

- Invoicing:** Invoices furnished by CONTRACTOR under this Agreement must be in a form acceptable to OUSD. All amounts paid by OUSD shall be subject to audit by OUSD. Invoices shall include, but not be limited to: Contractor name, Contractor address, invoice date, invoice number, purchase order number, name of school or department service was provided to, period of service, name of the person performing the service, date service was rendered, brief description of services provided, number of hours of service, hourly rate, total payment requested.
- Notices:** All notices and invoices provided for under this Agreement shall be in writing and either personally delivered during normal business hours or sent by U.S. Mail (certified, return receipt requested) with postage prepaid to the other party at the address set forth below:

OUSD Representative:

Name: JOHN KRULL
Site /Dept.: 986-TECHNOLOGY SERVICES
Address: 1011 Union Street
Oakland, CA 94606
Phone: (510) 879-8288
Email: John.Krull@ousd.org

CONTRACTOR:

Name: Russ Ballati
Title: Owner
Address: 51 Echo Avenue
Oakland, Ca 94611
Phone: 510-918-4119
Email: rballati@gmail.com

Notice shall be effective when received if personally served or, if mailed, three days after mailing. Either party must give written notice of a change of address.

8. **Status of Contractor:** This is not an employment contract. CONTRACTOR, in the performance of this Agreement, shall be and act as an independent contractor. CONTRACTOR understands and agrees that it and all of its employees shall not be considered officers, employees, agents, partner, or joint venture of OUSD, and are not entitled to benefits of any kind or nature normally provided employees of OUSD and/or to which OUSD's employees are normally entitled, including, but not limited to, State Unemployment Compensation or Worker's Compensation. CONTRACTOR shall assume full responsibility for payment of all Federal, State, and local taxes or contributions, including unemployment insurance, social security and income taxes with respect to CONTRACTOR's employees. In the performance of the work herein contemplated, CONTRACTOR is an independent contractor or business entity, with the sole authority for controlling and directing the performance of the details of the work, OUSD being interested only in the results obtained.

9. **Insurance:**

1. Unless specifically waived by OUSD, the following insurance is required:

i. If CONTRACTOR employs any person to perform work in connection with this Agreement, CONTRACTOR shall procure and maintain at all times during the performance of such work, Workers' Compensation Insurance in conformance with the laws of the State of California and Federal laws when applicable. Employers' Liability Insurance shall not be less than One Million Dollars (\$1,000,000) per accident or disease.

Check one of the boxes below:

CONTRACTOR is aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and will comply with such provisions before commencing the performance of the Work of this Contract.

CONTRACTOR does not employ anyone in the manner subject to the workers' compensation laws of California.

ii. CONTRACTOR shall maintain Commercial General Liability insurance, including automobile coverage with limits of One Million Dollars (\$1,000,000) per occurrence for bodily injury and property damage. The coverage shall be primary as to OUSD and shall name OUSD as an additional insured. Evidence of insurance must be attached. Endorsement of OUSD as an additional insured shall not affect OUSD's rights to any claim, demand, suit or judgment made, brought or recovered against CONTRACTOR. The policy shall protect CONTRACTOR and OUSD in the same manner as though each were separately issued. Nothing in said policy shall operate to increase the Insurer's liability as set forth in the policy beyond the amount or amounts shown or to which the Insurer would have been liable if only one interest were named as an insured.

iii. If CONTRACTOR is offering OUSD professional advice under this Contract, CONTRACTOR shall maintain Errors and Omissions insurance or Professional Liability insurance with coverage limits of One Million Dollars (\$1,000,000) per claim.

OR

iv. CONTRACTOR is not required to maintain any insurance under this agreement. (Completed and approved Waiver of Insurance Form is required from OUSD's Risk Management.) Waiver of insurance does not release CONTRACTOR from responsibility for any claim or demand.

10. **Licenses and Permits:** CONTRACTOR shall obtain and keep in force all licenses, permits, and certificates necessary for the performance of this Agreement.

11. **Assignment:** The obligations of CONTRACTOR under this Agreement shall not be assigned by CONTRACTOR without the express prior written consent of OUSD.

12. **Non-Discrimination:** It is the policy of OUSD that in connection with all work performed under Contracts there be no discrimination because of race, color, ancestry, national origin, religious creed, physical disability, medical condition, marital status, sexual orientation, gender, or age; therefore, CONTRACTOR agrees to comply with applicable Federal and California laws including, but not limited to, the California Fair Employment and Housing Act beginning with Government Code Section 12900 and Labor Code Section 1735 and OUSD policy. In addition, CONTRACTOR agrees to require like compliance by all its subcontractor(s). CONTRACTOR shall not engage in unlawful discrimination in employment on the basis of actual or perceived; race, color, national origin, ancestry, religion, age, marital status, pregnancy, physical or mental disability, medical condition, veteran status, gender, sex or sexual orientation.

13. **Drug-Free / Smoke Free Policy:** No drugs, alcohol, and/or smoking are allowed at any time in any buildings and/or grounds on OUSD property. No students, staff, visitors, CONTRACTORS, or subcontractors are to use controlled substances, alcohol or tobacco on these sites.
 14. **Indemnification:** CONTRACTOR agrees to hold harmless, indemnify, and defend OUSD and its officers, agents, and employees from any and all claims or losses accruing or resulting from injury, damage, or death of any person, firm, or corporation in connection with the performance of this Agreement. CONTRACTOR also agrees to hold harmless, indemnify, and defend OUSD and its elective board, officers, agents, and employees from any and all claims or losses incurred by any supplier, contractor, or subcontractor furnishing work, services, or materials to CONTRACTOR in connection with the performance of this Agreement. This provision survives termination of this Agreement.
 15. **Copyright/Trademark/Patent/Ownership:** CONTRACTOR understands and agrees that all matters produced under this Agreement shall become the property of OUSD and cannot be used without OUSD's express written permission. OUSD shall have all right, title and interest in said matters, including the right to secure and maintain the copyright, trademark, and/or patent of said matter in the name of OUSD. CONTRACTOR consents to use of CONTRACTOR's name in conjunction with the sale, use, performance and distribution of the matters, for any purpose and in any medium. These matters include, without limitation, drawings, plans, specifications, studies, reports, memoranda, computation sheets, the contents of computer diskettes, artwork, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes or any other original works of authorship, or other documents prepared by CONTRACTOR or its Sub-CONTRACTORS in connection with the Services performed under this Agreement. All works shall be works for hire as defined under Title 17 of the United States Code, and all copyrights in those works are the property of OUSD.
 16. **Waiver:** No delay or omission by either party in exercising any right under this Agreement shall operate as a waiver of that or any other right or prevent a similar subsequent act from constituting a violation of the Agreement.
 17. **Termination:** OUSD may at any time terminate this Agreement upon 30 days prior written notice to CONTRACTOR. OUSD shall compensate CONTRACTOR for services satisfactorily provided through the date of termination. In addition, OUSD may terminate this Agreement for cause should CONTRACTOR fail to perform any part of this Agreement. In the event of termination for cause, OUSD may secure the required services from another contractor. If the cost to OUSD exceeds the cost of providing the services pursuant to this Agreement, CONTRACTOR shall pay the additional cost.
 18. **Conduct of CONTRACTOR:** By signing this Agreement, CONTRACTOR certifies compliance with the following requirements and will provide OUSD with evidence of staff qualifications, which include:
 1. **Tuberculosis Screening:** CONTRACTOR is required to screen employees who will be working at OUSD sites for more than six hours. CONTRACTOR affirms that each employee has current proof of negative TB testing on file and TB results are monitored.
 2. **Fingerprinting of Employees and Agents.** The fingerprinting and criminal background investigation requirements of Education Code section 45125.1 apply to CONTRACTOR's services under this Agreement and CONTRACTOR certifies its compliance with these provisions as follows: "CONTRACTOR certifies that CONTRACTOR has complied with the fingerprinting and criminal background investigation requirements of Education Code section 45125.1 with respect to all CONTRACTOR's employees, subcontractors, agents, and subcontractors' employees or agents ("Employees") regardless of whether those Employees are paid or unpaid, concurrently employed by OUSD, or acting as independent contractors of CONTRACTOR, who may have contact with OUSD pupils in the course of providing services pursuant to the Agreement, and the California Department of Justice has determined that none of those Employees has been convicted of a felony, as that term is defined in Education Code section 45122.1. Contractor further certifies that it has received and reviewed fingerprint results for each of its Employees and Contractor has requested and reviews subsequent arrest records for all Employees who may come into contract with OUSD pupils in providing services to the District under this Agreement.
- In the event that OUSD, in its sole discretion, at any time during the term of this contract, desires the removal of any CONTRACTOR related persons, employee, representative or agent from an OUSD school site and, or property, CONTRACTOR shall immediately, upon receiving notice from OUSD of such desire, cause the removal of such person or persons.
19. **No Rights in Third Parties:** This Agreement does not create any rights in, or inure to the benefit of, any third party except as expressly provided herein.
 20. **OUSD's Evaluation of CONTRACTOR and CONTRACTOR's Employees and/or Subcontractors.** OUSD may evaluate CONTRACTOR's work in any way that OUSD is entitled to do so pursuant to applicable law. The OUSD's evaluation may include, without limitation:
 1. Requesting that OUSD employee(s) evaluate the CONTRACTOR and the CONTRACTOR's employees and subcontractors and each of their performance.
 2. Announced and unannounced observance of CONTRACTOR, CONTRACTOR's employee(s), and/or subcontractor(s).
 21. **Limitation of OUSD Liability:** Other than as provided in this Agreement, OUSD's financial obligations under this Agreement shall be limited to the payment of the compensation provided in this Agreement. Notwithstanding any other provision of this Agreement, in no event, shall OUSD be liable, regardless of whether any claim is based on contract or tort, for any special, consequential, indirect or incidental damages, including, but not limited to, lost profits or revenue, arising out of, or in connection with, this Agreement for the services performed in connection with this Agreement.
 22. **Confidentiality:** CONTRACTOR and all CONTRACTOR's agents, personnel, employee(s), and/or subcontractor(s) shall maintain the confidentiality of all information received in the course of performing the Services. CONTRACTOR understands that student records are confidential and agrees to comply with all state and federal laws concerning the maintenance and disclosure of student records. This requirement to maintain confidentiality shall extend beyond the termination of this Agreement. Contractors will be permitted

Professional Services Contract

access to student data only where permissible under state and federal law and only after executing OUSD's Confidentiality Agreement Regarding Student Data.

- 23. Conflict of Interest: CONTRACTOR shall abide by and be subject to all applicable, regulations, statutes or other laws regarding conflict of interest. CONTRACTOR shall not hire any officer or employee of OUSD to perform any service by this Agreement without the prior approval of OUSD Human Resources.

CONTRACTOR affirms to the best of his/her/its knowledge, there exists no actual or potential conflict of interest between CONTRACTOR's family, business or financial interest and the services provided under this Agreement, and in the event of change in either private interest or services under this Agreement, any question regarding possible conflict of interest which may arise as a result of such change will be brought to OUSD's attention in writing.

Through its execution of this Agreement, CONTRACTOR acknowledges that it is familiar with the provisions of section 1090 et seq. and section 87100 et seq. of the Government Code of the State of California, and certifies that it does not know of any facts which constitute a violation of said provisions. In the event CONTRACTOR receives any information subsequent to execution of this Agreement which might constitute a violation of said provisions, CONTRACTOR agrees it shall notify OUSD in writing.

- 24. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion: CONTRACTOR certifies to the best of his/her/its knowledge and belief, that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency according to Federal Acquisition Regulation Subpart 9.4, and by signing this contract, certifies that this vendor does not appear on the Excluded Parties List (https://www.sam.gov/).

- 25. Litigation: This Agreement shall be performed in Oakland, California and is governed by the laws of the State of California. The Alameda County Superior Court shall have jurisdiction over any state court litigation initiated to enforce or interpret this Agreement.

- 26. Incorporation of Recitals and Exhibits: The Recitals and each exhibit attached hereto are hereby incorporated herein by reference.

- 27. Integration/Entire Agreement of Parties: This Agreement constitutes the entire agreement between the Parties and supersedes all prior discussions, negotiations, and agreements, whether oral or written. This Agreement may be amended or modified only by a written instrument executed by both Parties.

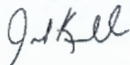
- 28. Counterparts: This Agreement and all amendments and supplements to it may be executed in counterparts, and all counterparts together shall be construed as one document.

- 29. Signature Authority: Each party has the full power and authority to enter into and perform this Agreement, and the person signing this Agreement on behalf of each Party has been given the proper authority and empowered to enter into this Agreement.

- 30. Contract Contingent on Governing Board Approval: OUSD shall not be bound by the terms of this Agreement until it has been formally approved by OUSD's Governing Board, and no payment shall be owed or made to CONTRACTOR absent formal approval. This Agreement shall be deemed to be approved when it has been signed by the Board of Education, and/or the Superintendent as its designee.

- 31. W-9 Form: If CONTRACTOR is doing business with OUSD for the first time, complete and return with the signed Contract the W-9 form.

OAKLAND UNIFIED SCHOOL DISTRICT



President, Board of Education

Superintendent or Designee



Secretary, Board of Education

CONTRACTOR

Russ Ballati

Contractor Signature

Russ Ballati, Owner

Print Name, Title

Form approved by OUSD General Counsel for 2015-16 FY

EXHIBIT "A" SCOPE OF WORK

[IF A CONTRACTOR PROVIDES AN ACCEPTABLE DESCRIPTION OF SERVICES AS PART OF A PROPOSAL, THAT DESCRIPTION OF SERVICES MAY BE ATTACHED WITHOUT ANY TERMS, CONDITIONS, LIMITATIONS, ETC., FROM THAT PROPOSAL.]

- 1. Description of Services to be Provided:** Provide a description of the service(s) the contractor will provide. Be specific about what service(s) OUSD is purchasing and what *this* Contractor will do.

Russ will manage Illuminate-Year 2 and the continuation of the current Illuminate implementation. Russ managed the initial selection, implementation and support set up for the first year of Illuminate (aka OUSD1).

The second year includes the introduction of formative assessments in the classroom. Russ will be instrumental in transitioning the new Data & Assessment Coordinator. He will provide them the history and background needed to get context to the current state of Illuminate in the district. He will help them establish the plan for their role and schedule for the coming year. This role requires project management expertise, experience with Illuminate functionality and data structure, vendor management (managing the Illuminate onsite support person), and providing recommendations for beyond the second year of Illuminate use.

He will also continue managing the initiation of gradebook adoption at school sites. The SLT identified Gradebook as an important focus toward greater parent engagement. Russ will transition the Gradebook implementation support. In addition, he will continue managing the vendor, KDS and their selection of a 50% time on-site resource to support the first year of implementation.

Russ will work closely with Jody Talkington to transition project management support. Russ managed the selection, implementation and support set up for the first year of Illuminate (aka OUSD1). The second year includes the introduction of formative assessments in the classroom. Russ will be instrumental in transitioning the new Data & Assessment Coordinator, Kathy Banks, into her role. He will provide her the history and background needed to get context to the current state of Illuminate in the district. He will help her establish the plan for her role and schedule for the coming year. This role requires project management expertise, experience with Illuminate functionality and data structure, vendor management (managing the Illuminate onsite support person), and providing recommendations for beyond the second year of Illuminate use.

He will also continue managing the initiation of gradebook adoption at school sites. The SLT identified Gradebook as an important focus toward greater parent engagement. Russ will transition the Gradebook implementation support. In addition, he will continue managing the vendor, KDS and their selection of a 50% time on-site resource to support the first year of implementation.

Russ will work closely with Jody Talkington to transition project management support. Russ managed the selection process for the online learning platform, KDS, as well as its initial implementation and soft launch to the district. He will facilitate the scoping of the development of the full professional development system on KDS, moving away from using OnTrack as the in-person PD system. In addition, Russ will work with Jody to ensure all areas of KDS configuration will be ready; support is planned; and, change management is set up for success. This role requires project management expertise, knowledge of the KDS system and OUSD's professional development structure. He will ensure transition of the vendor management to Jody and continue with project management of non-training, communication and Academic related content development in KDS through the length of the contract.

Russ has supported Manisha Patel in the planning and project management for the four Enrollment Improvement projects. He will step into the primary project management role during Manisha's vacation. In addition, he will continue to facilitate the School Apply design phase and the requirements gathering for the Tech Upgrade project to replace the current CET (Central Enrollment Tool). This role requires project management expertise and an understanding of the current and envisioned OUSD enrollment processes.

2. **Specific Outcomes:** What are the expected outcomes from the services of this Contract? Be specific. For example, as a result of the service(s): 1) How many more Oakland children are graduating from high school? 2) How many more Oakland children are attending school 95% or more? 3) How many more students have meaningful internships and/or paying jobs? 4) How many more Oakland children have access to, and use, the health services they need? Provide details of program participation (Students will...) and measurable outcomes (Participants will be able to...). NOT THE GOALS OF THE SITE OR DEPARTMENT.

At the completion of Russ' contract the following outcomes are agreed upon, reduced risk for successful delivery of the above stated initiatives. Help with Data & Assessment Coordinator orientation and upstart.

The transition of project management of the KDS Full Professional Development System to Jody Talkington.

Transition the schedule, scope, risks and strategic direction for aspects of the project. Assuming KDS PD registration tool beta is on schedule, develop the initial scope of work for KDS to begin its design enhancements to its existing PD registration tool to meet OUSD requirements.

Complete facilitation of the design phase of the SchoolApply design portion of the Tech Upgrade project.

3. **Alignment with District Strategic Plan:** Indicate the goals and visions supported by the services of this contract: (Check all that apply.)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Ensure a high quality instructional core | <input type="checkbox"/> Prepare students for success in college and careers |
| <input type="checkbox"/> Develop social, emotional and physical health | <input type="checkbox"/> Safe, healthy and supportive schools |
| <input type="checkbox"/> Create equitable opportunities for learning | <input type="checkbox"/> Accountable for quality |
| <input type="checkbox"/> High quality and effective instruction | <input checked="" type="checkbox"/> Full service community district |

4. **Alignment with Community School Strategic Site Plan – CSSSP (required if using State or Federal Funds):**

Please select:

- Action Item included in Board Approved CSSSP** (no additional documentation required) – Item Number(s):

- Action Item added as modification to Board Approved CSSSP** – Submit the following documents to the Resource Manager either electronically via email of scanned documents, fax or drop off.

1. Relevant page of CSSSP with action item highlighted. Page must include header with the word "Modified", modification date, school site name, both principal and school site council chair initials and date.
2. Meeting announcement for meeting in which the CSSSP modification was approved.
3. Minutes for meeting in which the CSSSP modification was approved indicating approval of the modification.
4. Sign-in sheet for meeting in which the CSSSP modification was approved.

AMENDMENT ROUTING FORM 2016-2017

PROFESSIONAL SERVICES CONTRACT AMENDMENT NO. 1

Directions

Services beyond the original contract cannot be provided until the amendment is fully approved and PO is increased by Procurement.

- Contractor and OUSD contract originator reach agreement on modification to original scope of work and compensation.
- Insert the amendment number (i.e. if this is the first amendment enter "1," second enter "2," etc.) at the top of the amendment.
- If contract total amount has increased, the scope of work must change. OUSD contract originator creates new requisition with the original PO number referenced in the item description.
- Contractor and OUSD contract originator complete the contract packet together and attach required attachments.

When the contract amendment is approved, Procurement will add additional funds to the original Purchase Order.

Attachment Checklist

- Contract amendment packet including Board Memo and Amendment Form
- Amended Scope of Work (Be specific as to what additional work is being done by this consultant.)
- Board approved copy of the original contract and any prior Amendments.

OUSD Staff Contact Emails about this contract should be sent to: (required) diane.warren@ousd.org

Contractor Information

Contractor Name	Russ Ballati	Agency's Contact	Russ Ballati				
OUSD Vendor ID #	I002491	Title	Project Manager				
Street Address	51 Echo St.	City	Oakland	State	CA	Zip	94611
Telephone	510-918-4119	Email (required)	rballati@gmail.com, russ.ballati@ousd.org				

Compensation and Terms – Must be within the OUSD Billing Guidelines

Original Contract Amount	\$ 37,410.00	Original PO #	P1701110	New Requisition #	R0171346
Amended Amount	\$ 38,000.00	Start Date	9/10/2016	End Date	12/31/2016
New Total Contract Amount	\$ 75,410.00	Pay Rate Per Hour	\$ 145.00	# of Hours	262

Budget Information

If you are planning to multi-fund a contract using LEP funds, please contact the State and Federal Office before completing requisition.

Resource #	Resource Name	Org Key	Object Code	Amount
0000	SATELLITE	9981348207	5825	\$ 38,000.00
	ENROLLMENT		5825	
	OFFICE		5825	

Approval and Routing (in order of approval steps)

Services above original contract cannot be provided before the amendment is fully approved and the PO amount is increased by Procurement.

1.	Administrator / Manager (Originator)	Name	Charles Wilson	Phone	510-273-1592
	Site/Department (Name & #)	907/Student Assignment Center		Fax	510-273-1602
	Signature	<i>Charles Wilson</i>		Date Approved	9/12/16
2.	Resource Manager , if using funds managed by: <input type="checkbox"/> State and Federal <input type="checkbox"/> Quality, Community, School Development <input type="checkbox"/> Family, Schools, and Community Partnerships				
	<input type="checkbox"/> Scope of work indicates compliant use of restricted resource and is in alignment with school site plan (CSSSP)				
	Signature			Date Approved	
3.	Network Superintendent/Deputy Network Superintendent				
	Signature			Date Approved	
4.	Chiefs / Deputy Chiefs Consultant Aggregate <input checked="" type="checkbox"/> Under <input type="checkbox"/> Over \$ _____				
	<input type="checkbox"/> Services described in the scope of work align with needs of department or school site				
	<input type="checkbox"/> Consultant is qualified to provide services described in the scope of work				
	Signature	<i>[Signature]</i>		Date Approved	9/22/16
5.	Superintendent, Board of Education Signature on the legal contract				
Legal	Required if not using standard contract	Approved		Denied - Reason	Date
Procurement	Date Received			PO Number	