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| Enactment Number | 23-1937 |
| Enactment Date | 11/16/2023 CJH |



**OAKLAND UNIFIED
SCHOOL DISTRICT**
Community Schools, Thriving Students

Memo

To Board of Education
From Kyla Johnson-Trammell, Superintendent
Preston Thomas, Chief Systems and Services Officer, Division of Facilities Planning and Management; Kenya Chatman, Executive Director of Facilities Planning

Board Meeting Date November 8, 2023

Subject General Services Agreement – Terraphase Engineering, Inc. – Roosevelt Middle School- Modernization Project - Division of Facilities Planning and Management

Action Requested Approval by the Board of Education of General Services Agreement between the District and Terraphase Engineering, Inc., Oakland, CA, for the latter to provide California Environmental Quality Act (CEQA) consulting services to include preparation of preliminary findings memorandum analysis and conduct technical analysis of air, noise, hydrology and traffic impacts, for the Roosevelt Middle School-Modernization Project, in the amount of **\$50,914.00 which includes a contingency fee of \$4,629.00**, as the selected consultant, with work scheduled to commence on **November 9, 2023** and scheduled to last until **November 9, 2027**, pursuant to the contract.

Discussion Consultant was selected (a) based on demonstrated competence and professional qualifications (Government Code §4526), (b) using a fair, competitive RFP selection process (Government Code §§4529.10 et seq.), and (c) using a competitive process consistent with Government Code §§4526-4528 (Education Code §17070.50).

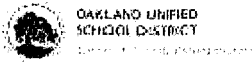
LBP (Local Business Participation Percentage) 00.00%

Recommendation Approval by the Board of Education of General Services Agreement between the District and Terraphase Engineering, Inc., Oakland, CA, for the latter to provide California Environmental Quality Act (CEQA) consulting services to include preparation of preliminary findings memorandum analysis and conduct technical analysis of air, noise, hydrology and traffic impacts, for the Roosevelt Middle School-Modernization Project, in the amount of \$50,914.00 which includes a contingency fee of \$4,629.00, as the selected consultant, with work scheduled to commence on November 9, 2023 and scheduled to last until November 9, 2027, pursuant to the contract.

Fiscal Impact Fund 21 Building Funds, Measure B

Attachments

- Justification Form
- Agreement and Exhibits
- Insurance Certificate
- Routing Form



CONTRACT JUSTIFICATION FORM
This Form Shall Be Submitted to the Board Office With Every
Agenda Contract.

Legislative File ID No. 23-2294

Department: Facilities Planning and Management

Vendor Name: Terraphase Engineering, Inc.

Project Name: Roosevelt Middle School- Modernization Project **Project No.: 19101**

Contract Term: Intended Start: 11-09-2023 Intended End: 11-09/2027

Total Cost Over Contract Term: \$50,914.00

Approved by: Preston Thomas

Is Vendor a local Oakland Business or has it met the requirements of the

Local Business Policy? Yes (No if Unchecked)

How was this contractor or vendor selected?

Vendor was selected through the formal RFP/RFQ process. The proposal submitted by Terraphase Engineering, Inc. was selected by the District based on scores, demonstrated competence and professional qualifications.

Summarize the services or supplies this contractor or vendor will be providing.

Terraphase Engineering Inc. will prepare a preliminary assessment of the project to evaluate the applicability of categorical exemptions. Will conduct technical analysis of air, noise, hydrology, traffic and environmental impacts during the construction phase. Identify any significant impacts, develop improvement measures and conditions of approval as necessary. Prepare a preliminary findings memorandum including categorical exemption analysis or, if required, a Mitigated Negative Declaration (MND). Prepare Notice of Exemption or MND and after approval by the Board and file at the County Recorder's office.

Was this contract competitively bid? Check box for "Yes" (If "No," leave box unchecked)

If "No," please answer the following questions:

1) How did you determine the price is competitive?

Vendor submitted a price that was competitive and reasonable for the scope of work.

2) Please check the competitive bidding exception relied upon:

Construction Contract:

- Price is at or under UPCCAA threshold of \$60,000 (as of 1/1/19)
- CMAS contract [may only include “incidental work or service”] (Public Contract Code §§10101(a) and 10298(a)) – *contact legal counsel to discuss if applicable*
- Emergency contract (Public Contract Code §§22035 and 22050) – *contact legal counsel to discuss if applicable*
- No advantage to bidding (including sole source) – *contact legal counsel to discuss if applicable*
- Completion contract – *contact legal counsel to discuss if applicable*
- Lease-leaseback contract RFP process – *contact legal counsel to discuss if applicable*
- Design-build contract RFQ/RFP process – *contact legal counsel to discuss if applicable*
- Energy service contract – *contact legal counsel to discuss if applicable*
- Other: _____ – *contact legal counsel to discuss if applicable*

Consultant Contract:

- Architect, engineer, construction project manager, land surveyor, or environmental services – selected (a) based on demonstrated competence and professional qualifications (Government Code §4526), **and** (b) using a fair, competitive RFP selection process (Government Code §§4529.10 et seq.)
- Architect or engineer *when state funds being used* – selected (a) based on demonstrated competence and professional qualifications (Government Code §4526), (b) using a fair, competitive RFP selection process (Government Code §§4529.10 et seq.), **and** (c) using a competitive process consistent with Government Code §§4526-4528 (Education Code §17070.50)
- Other professional or specially trained services or advice – no bidding or RFP required (Public Contract Code §20111(d) and Government Code §53060) – *contact legal counsel to discuss if applicable*
- For services other than above, the cost of services is \$109,300 or less (as of 1/1/23)
- No advantage to bidding (including sole source) – *contact legal counsel to discuss if applicable*

Purchasing Contract:

- Price is at or under bid threshold of \$109,300 (as of 1/1/23)
- Certain instructional materials (Public Contract Code §20118.3)
- Data processing systems and supporting software – choose one of three lowest bidders (Public Contract Code §20118.1)

- Electronic equipment – competitive negotiation (Public Contract Code §20118.2) – *contact legal counsel to discuss if applicable*
- CMAS contract [may only include “incidental work or service”] (Public Contract Code §§10101(a) and 10298(a)) – *contact legal counsel to discuss if applicable*
- Piggyback contract for purchase of personal property (Public Contract Code §20118) – *contact legal counsel to discuss if applicable*
- Supplies for emergency construction contract (Public Contract Code §§22035 and 22050) – *contact legal counsel to discuss if applicable*
- No advantage to bidding (including sole source) – *contact legal counsel to discuss if applicable*
- Other: _____

Maintenance Contract:

- Price is at or under bid threshold of \$109,300 (as of 1/1/23)
- No advantage to bidding (including sole source) – *contact legal counsel to discuss*
- Other: _____

3) Explain in detail the facts that support the applicability of the exception marked above:

Terraphase Engineering Inc. is providing CEQA consulting services associated with Roosevelt Middle School- Modernization Project, for the District through the scope of their services.

OAKLAND UNIFIED SCHOOL DISTRICT GENERAL SERVICES AGREEMENT

This general services agreement (“Agreement”) is made and entered into effective November 9, 2023 (the “Effective Date”), by and between the Oakland Unified School District (“District”) and Terraphase Engineering, Inc. (“Contractor” and together with District, the “Parties”).

1. **Contractor Services.** Contractor agrees to provide the following services to District (collectively, the “Basic Services”): For the CEQA Consulting Services for the Modernization Project at Roosevelt Middle School project (“Project”), (as further described in *Exhibit A* to this Agreement. Contractor shall provide services related to the Project other than Basic Services (i.e., “Additional Services”) if directed in writing by District to perform specific Additional Services and if sufficient contract funds for Additional Services remain to pay for the directed Additional Services (see Section 5, below). “Services” shall mean Basic and Additional Services. Contractor agrees to perform such Services as expeditiously as is consistent with professional skill and care and the orderly progress of the Services and the Project. All services performed by the Contractor under this Agreement shall be conducted in a manner consistent with the level of care and skill ordinarily exercised by Terraphase Engineering, Inc. consultants specially qualified to provide the services required by the District.

2. **Contractor Qualifications.** Contractor represents and warrants to District that Contractor, and all of Contractor’s employees, agents or volunteers (the “Contractor Parties”), have in effect and shall maintain in full force throughout the Term of this Agreement all licenses, credentials, permits and any other qualifications required by law to perform the Services and to fully and faithfully satisfy all of the terms set forth in this Agreement. Contractor and any Contractor Parties performing Services shall be competent to perform those Services.

3. **Term.** The term for performance of the Services shall be the duration of the Project (“Term”), except as otherwise stated in Section 4 below, and Contractor shall complete the Services within the Term. There shall be no extension of the Term without an amendment signed by all Parties and approved by the District’s governing board. Written notice by the District Superintendent or designee shall be sufficient to stop further performance of the Services by Contractor or the Contractor Parties. In the event of early termination, Contractor shall be paid for satisfactory Services performed to the date of termination. Upon payment by District, District shall be under no further obligation to Contractor, monetarily or otherwise, and District may proceed with the work in any manner District deems proper.

4. **Termination.** Either Party may terminate this Agreement at any time by giving thirty (30) days advance written notice to the other Party. Notwithstanding the foregoing, District may terminate this Agreement at any time by giving written notice to Contractor if (1) Contractor materially breaches any of the terms of this Agreement; (2) any act or omission of Contractor or the Contractor Parties exposes District to potential liability or may cause an increase in District’s insurance premiums; (3) Contractor is adjudged a bankrupt; (4) Contractor makes a general assignment for the benefit of creditors; (5) a receiver is appointed because of Contractor’s insolvency; or (6) Contractor or Contractor Parties fail to comply with or make material representations as to the fingerprinting, criminal background check, and/or

tuberculosis certification sections of this Agreement. Such termination shall be effective immediately upon Contractor's receipt of the notice.

5. **Payment of Fees for Services.** District agrees to pay Contractor based on the hourly rates listed in *Exhibit B* for Services satisfactorily performed. Contractor shall not increase these hourly rates over the course of this Agreement. Total fees paid by District to Contractor for Services under the Agreement shall not exceed **Fifty Thousand Nine Hundred Fourteen Dollars (\$50,914.00)**, which consists of a not-to-exceed amount of **Forty-Six Thousand Two Hundred Eighty-Five Dollars (\$46,285.00)** for performance of the Basic Services, and a not-to-exceed contingency amount of **Four Thousand Six Hundred Twenty-Nine Dollars (\$4,629.00)** for performance of any Additional Services. Contractor acknowledges that the not-to-exceed fee for Basic Services, above, includes contingency compensation in the foreseeable event that more time and costs may be necessary to complete the Basic Services. Contractor shall perform all Basic Services required by the Agreement even if the not-to-exceed amount for performance of the Services has already been paid and no more payments will be forthcoming. District agrees to make payment within sixty (60) days of receipt of a detailed invoice from Contractor based on hours worked and hourly rates, including any additional supporting documentation that District reasonably requests. Contractor shall not submit its invoices to District more frequently than monthly. Contractor will not be compensated for any Basic or Additional Services required as a result of wrongful acts or omissions.

5.1 **Reimbursement for Certain Expenses.** Contractor shall not be reimbursed directly for any of its expenses, as the fees to be paid under this Agreement include compensation for any and all of Contractor's expenses.

6. **Indemnity.** Contractor shall defend, indemnify, and hold harmless District and its agents, representatives, officers, consultants, employees, Board of Trustees, members of the Board of Trustees (collectively, the "District Parties"), from and against any and all claims, demands, liabilities, damages, losses, suits and actions, and expenses (including, but not limited to attorney fees and costs including fees of consultants) of any kind, nature and description (collectively, the "Claims") directly or indirectly arising out of, connected with, or resulting from any act, error, omission, negligence, or willful misconduct of Contractor, the Contractor Parties or their respective agents, subcontractors, employees, material or equipment suppliers, invitees, or licensees in the performance of or failure to perform Contractor's obligations under this Agreement, including, but not limited to Contractor's or the Contractor Parties' use of the site, Contractor's or the Contractor Parties' performance of the Services, Contractor's or the Contractor Parties' breach of any of the representations or warranties contained in this Agreement, or for injury to or death of persons or damage to property or delay or damage to the District or the District Parties. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity, which would otherwise exist as to a party, person, or entity described in this paragraph. The indemnification provided for in this Section 6 includes, without limitation to the foregoing, claims that may be made against District by any taxing authority asserting that an employer-employee relationship exists by reason of this Agreement, and any claims made against District alleging civil rights violations by Contractor or Contractor Parties under the California Fair Employment and Housing Act ("FEHA").

7. **Equipment and Materials.** Contractor at its sole cost and expense shall provide and furnish all tools, labor, materials, equipment, transportation services and any other items (collectively,

“Equipment”) which are required or necessary to perform the Services in a manner which is consistent with generally accepted standards of the profession for similar services. Notwithstanding the foregoing, District shall not be responsible for any damages to persons or property as a result of the use, misuse or failure of any Equipment used by Contractor or the Contractor Parties, even if such Equipment is furnished, rented or loaned to Contractor or the Contractor Parties by District. Furthermore, District may reject any Equipment or workmanship that does not conform to the requirements of this Agreement and Contractor must then promptly remedy or replace it at no additional cost to District and subject to District’s reasonable satisfaction.

8. **Insurance.** Without in any way limiting Contractor’s liability, or indemnification obligations set forth in Section 6 above, Contractor shall secure and maintain throughout the Term of this Agreement the following insurance: (i) comprehensive general liability insurance with limits of not less than **\$1,000,000** each occurrence and **\$2,000,000** in the aggregate; (ii) commercial automobile liability insurance with limits not less than **\$1,000,000** each occurrence and **\$2,000,000** in the aggregate; (iii) worker’s compensation insurance as required by Labor Code section 3200, *et seq.*; and (iv) professional liability insurance covering errors and omissions. Neither Contractor nor any of the Contractor Parties shall commence performing any portion of the Services until all required insurance has been obtained and certificates indicating the required coverages have been delivered to and approved by District. All insurance policies shall include an endorsement stating that District and District Parties are named additional insureds. All of the policies shall be amended to provide that the insurance shall not be suspended, voided, canceled, reduced in coverage or in limits except after thirty (30) days’ prior written notice has been given to District. If such a notice is not given or even if District receives a notice, District may, at its sole option, terminate this Agreement. All insurance policies shall include an endorsement stating that it is primary to any insurance or self-insurance maintained by District and shall waive all rights of subrogation against District and/or the District Parties. A copy of the declarations page of Contractor’s insurance policies shall be attached to this Agreement as proof of insurance.

9. **Independent Contractor Status.** The Parties agree that Contractor is free from the control and direction of District in connection with Contractor’s performance of the Services. Contractor is hereby retained to provide the specified Services for District, which are outside the usual course of District’s business. Contractor certifies that it is customarily engaged in an independently established trade, occupation, or business to provide the Services required by this Agreement. Contractor understands and agrees that Contractor and the Contractor Parties shall not be considered officers, employees, agents, partners, or joint venturers of District, and are not entitled to benefits of any kind or nature normally provided to employees of District and/or to which District’s employees are normally entitled.

10. **Taxes.** All payments made by District to Contractor pursuant to this Agreement shall be reported to the applicable federal and state taxing authorities as required. Unless required by law, District will not withhold any money from fees payable to Contractor, including FICA (social security), state or federal unemployment insurance contributions, or state or federal income tax or disability insurance. If applicable, Contractor shall assume full responsibility for payment of all federal, state and local taxes or contributions, including unemployment insurance, social security and income taxes with respect to Contractor and the Contractor Parties and otherwise in connection with this Agreement.

11. **Fingerprinting/Criminal Background Investigation Certification.** Contractor and the Contractor Parties shall at all times comply with the fingerprinting and criminal background

investigation requirements of the California Education Code ("Education Code") section 45125.1. Before performing any Services, Contractor shall execute and return the District's Fingerprinting Notice and Acknowledgement form and the required certification (see *Exhibit C*).

Contractor further agrees and acknowledges that if at any time during the Term of this Agreement Contractor learns or becomes aware of additional information which differs in any way from the information learned or provided pursuant to Section 45125.1, or Contractor or Contractor Parties add personnel who will provide Services under this Agreement, Contractor shall immediately notify District and prohibit any new personnel from interacting with District students until the fingerprinting and background check requirements have been satisfied and District determines whether any interaction is permissible.

12. **Tuberculosis Certification.** Contractor and the Contractor Parties shall at all times comply with the tuberculosis ("TB") certification requirements of Education Code section 49406. Accordingly, by checking the applicable boxes below, Contractor hereby represents and warrants to District the following:

A. Contractor and Contractor Parties will **only have limited contact or no contact** (as determined by District) with District students at all times during the Term of this Agreement.

B. The following Contractor and Contractor Parties will have **more than limited contact** (as determined by District) with District students during the Term of this Agreement and, at no cost to District, have received a TB test or risk assessment in full compliance with the requirements of Education Code section 49406:

_____. [Attach and sign additional pages, as needed.]

Contractor shall maintain on file the certificates showing that the Contractor and Contractor Parties were examined and found free from active TB. These forms shall be regularly maintained and updated by Contractor and shall be available to District upon request or audit.

Contractor further agrees and acknowledges that all new personnel hired to provide Services under this Agreement after the Effective Date of this Agreement by Contractor and Contractor Parties are subject to the TB certification requirements of Education Code section 49406 and shall be prohibited from having any contact with District students until the TB certification requirements have been satisfied and District determines whether any contact is permissible.

13. **Confidential Information.** All District information disclosed to Contractor during the course of performance of services under this Agreement shall be treated as confidential and shall not be disclosed to any other persons or parties excepts as authorized by District or required by law. Contractor shall maintain the confidentiality of, and protect from unauthorized disclosure, any and all individual student information received from the District, including but not limited to student names and other identifying information. Contractor shall not use such student information for any purpose other than carrying out the obligations under this Agreement. Upon termination of this Agreement, Contractor shall turn over to District all educational records related to the Services provided to any District student pursuant to this

Agreement.

14. **Assignment/Successors and Assigns.** Contractor shall not assign or transfer by operation of law or otherwise any or all of its rights, burdens, duties or obligations under this Agreement without the prior written consent of District. Subject to the foregoing, this Agreement shall be binding on the heirs, executors, administrators, successors, and assigns of the respective Parties.
15. **Severability.** If any provision of this Agreement shall be held invalid or unenforceable by a court of competent jurisdiction, such holding shall not invalidate or render unenforceable any other provision of this Agreement.
16. **Amendments.** The terms of this Agreement shall not be waived, altered, modified, supplemented or amended in any manner whatsoever except by written agreement signed by both Parties and approved by the District's governing board.
17. **Governing Law.** This Agreement shall be governed by and construed in accordance with the laws of the State of California, excluding its choice of law rules. Any action or proceeding seeking any relief under or with respect to this Agreement shall be brought solely in the Superior Court of the State of California for the County of Alameda, subject to transfer of venue under applicable State law, provided that nothing in this Agreement shall constitute a waiver of immunity to suit by the District.
18. **Written Notice.** Written notice shall be deemed to have been duly served if delivered in person to Contractor at the address located next to the party signatures below, or if delivered at or sent by registered or certified or overnight mail to the last business address known to the person who sends the notice.
19. **Compliance with Law.** Each and every provision of law and clause required by law to be inserted into this Agreement shall be deemed to be inserted herein and this Agreement shall be read and enforced as though it were included therein. Contractor shall comply with all applicable federal, state, and local laws, rules, regulations and ordinances, including but not limited to fingerprinting under Education Code section 45125.1 and confidentiality of records. Contractor agrees that it shall comply with all legal requirements for the performance of duties under this Agreement and that failure to do so shall constitute material breach.
20. **Non-Discrimination.** There shall be no unlawful discrimination in the contracting of persons under this Agreement because of race, color, national origin, age, ancestry, religion, sex, or sexual orientation of such persons.
21. **Attorneys' Fees.** If a party to this Agreement commences a legal action against the other party to enforce a provision of this Agreement or seek damages related to the services provided under this Agreement, the prevailing party in the legal action will be entitled to recover from the other party all of its reasonable litigation expense, costs, and fees actually incurred, including reasonable attorneys' and experts' fees.
22. **Liability of District.** Notwithstanding anything stated herein to the contrary, District shall not be liable for any special, consequential, indirect or incidental damages, including but not limited to lost profits in connection with this Agreement.

23. **Time.** Time is of the essence for performance of the Services under this Agreement.
24. **Waiver.** No delay or omission by either Party in exercising any right under this Agreement shall operate as a waiver of that or any other right and no single or partial exercise of any right shall preclude either Party from any or further exercise of any right or remedy.
25. **Reports.** Contractor shall maintain complete and accurate records with respect to the Services rendered and the costs incurred under this Agreement, including records with respect to any payments to employees and subcontractors. All such records shall be prepared in accordance with generally accepted accounting procedures. Upon request, Contractor shall make such records available to District for the purpose of auditing and copying such records for a period of five years from the date of final payment under this Agreement.
26. **Ownership of Documents.** All plans, studies, drawings, calculations, reports, specifications, estimates, and other documents or any other works of authorship fixed in any tangible medium of expression, including but not limited to physical drawings, data magnetically or otherwise recorded on computer disks, or other writings prepared or caused to be prepared by the Contractor under this Agreement ("Documents") shall be and shall remain the property of the District for all purposes, not only as they relate or may relate to the Services but as they relate or may relate to any other project. Contractor will provide the District with a complete set of Documents, and will retain, on the District's behalf, the originals or reproducible copies of all Documents, however stored, in the Contractor's files for a period of no less than fifteen (15) years. Contractor shall promptly make available to District any original documents it has retained under this Agreement upon request by the District.
27. **Licensing of Intellectual Property.** This Agreement creates a non-exclusive and perpetual license for the District to copy, use, modify, reuse or sublicense any and all copyrights, designs and other intellectual property embodied in the Documents ("Intellectual Property") not only as they relate or may relate to the Services but as they relate or may relate to other projects. The Contractor shall require any and all subcontractors and subconsultants to agree in writing that the District is granted a similar non-exclusive and perpetual license for the Intellectual Property of such subcontractors or consultants that they provided to Contractor as part of the Services. The compensation for the Services includes compensation not only for any such use of the Intellectual Property in connection with the Services, but also for any re-use of the Intellectual Property by the District in relation to other projects. Contractor represents and warrants that Contractor has the legal right to license the Intellectual Property that Contractor, its subcontractors, or its subconsultants prepare or cause to be prepared under this Agreement.
28. **Entire Agreement.** This Agreement is intended by the Parties as the final expression of their agreement with respect to such terms as are included herein and as the complete and exclusive statement of its terms and may not be contradicted by evidence of any prior agreement or of a contemporaneous oral agreement, nor explained or supplemented by evidence of consistent additional terms.
29. **Ambiguity.** The Parties to this Agreement, and each of them, hereby represent that the language contained herein is to be construed as jointly proposed and jointly accepted, and in the event of any subsequent determination of ambiguity, all Parties shall be treated as equally responsible for such ambiguity.

30. **Execution of Other Documents.** The Parties to this Agreement shall cooperate fully in the execution of any and all other documents and in the completion of any additional actions that may be necessary or appropriate to give full force and effect to the terms and intent of this Agreement.

31. **Execution in Counterparts.** This Agreement may be executed in counterparts such that the signatures may appear on separate signature pages. A copy, facsimile, or an original, with all signatures appended together, shall be deemed a fully executed agreement.

32. **Warranty of Authority.** The persons who have signed this Agreement warrant that they are legally authorized to do so on behalf of the respective parties, and by their signatures to bind the respective parties to this Agreement.

33. **Mediation.** A party to this Agreement shall, as a condition precedent to initiating any litigation against the other party, demand mediation of any dispute. The parties shall endeavor to include any third party claimant in the mediation. The parties shall select a mediator and schedule the mediation within thirty (30) days of the initial demand for mediation. If the parties cannot agree on a mediator, the mediator shall be appointed by JAMS. The parties to the mediation, including the parties to this Agreement, shall pay equal shares of the mediator's fees. Each party shall bear its own attorney's fees related to the mediation.

34. **Forms.** Prior to performing any Services, Contractor shall prepare, execute, and submit all forms that may be required by law for this Agreement, including but not limited to disabled veteran business enterprises ("DVBE") certification (Education Code §17076.11) and an Iran Contract Act certification (Public Contract Code §2204). If a form is necessary, Contractor shall use the District's versions of these forms, which the District shall make available upon request.

35. **Sanctions in Response to Russian Aggression.** The District is using State of California funds for this Contract, and therefore Contractor must comply with the Governor's March 4, 2022, Executive Order N-6-22 ("Order") relating to any existing sanctions imposed by the United States government and the State of California in response to Russia's actions in Ukraine, including additional requirements for contracts of \$5 million or more. Failure to comply may result in the termination of the Contract.

36. **Designation of Key Personnel.** The individuals specified in the attached *Exhibit D* shall provide the services set forth herein, and shall be the persons primarily in charge of such work. No other individuals may provide services for Contractor on the this project without first obtaining the written approval of the City Manager.

37. **Conflict of Interest.** Contractor warrants that neither Contractor nor any of its employees, agents, or subcontractors has an actual or potential conflict of interest with the District in respect to the Services to be performed under this Agreement for the District. None of such individuals shall, during this term of this Agreement, acquire any interest which conflicts, or could potentially conflict, in any manner with the interests of the District.

38. **Notice to Proceed; Progress; Completion.** Upon execution of this Agreement by the parties and approval of it by the District's governing board, District shall give Contractor written notice to proceed with the Services. Such notice may authorize Contractor to render all of the Services

contemplated herein, or such portions or phases as may be directed by the District. In the latter event, District shall, in its sole discretion, issue subsequent notices from time to time regarding further portions or phases of the Services. Upon receipt of such notices, Contractor shall diligently proceed with the Services authorized and complete it within the agreed time period.

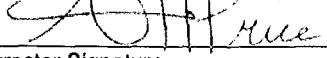
39. **California Residency.** Contractor is a resident of the State of California.

OAKLAND UNIFIED SCHOOL DISTRICT

TERRAPHASE ENGINEERING, INC.



Mike Hutchinson, President, Board of Education 11/17/2023
Date




Contractor Signature Oct 13, 2023
Date



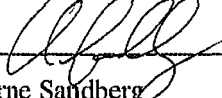
Kyla Johnson-Trammell, Superintendent and Secretary, Board of Education 11/17/2023
Date

Alice Hale Price, PE, Principal Engineer
Print Name, Title



Preston Thomas (Oct 13, 2023 15:07 PDT) Oct 13, 2023
Date
Preston Thomas, Chief Systems and Services Officer, Facilities Planning and Management

Approval as to form:



Arne Sandberg [name] 10/13/23
Date
General Counsel, Facilities, Planning and Management

EXHIBIT A

Scope of Services

3 Firm Information

3.1 History of the Firm

| | |
|--|---|
| Company Name | Terraphase Engineering Inc. |
| Name of Main Contact | Alice Hale Price, PE |
| Email of Main Contact | alice.hale.price@terrphase.com |
| License or Registration Number | CSLB License Number 960398, Classification A HAZ |
| Type of Organization/Business Structure | S-Corporation |
| Senior Officials | <ul style="list-style-type: none"> • President: William Carson • Vice Presidents: Peter Zawislanski, Jeff Raines, Andy Romolo, Chris Voci, Nader Sherif |
| State of California Certification/ Small Business or Disabled Veteran Business Enterprise Status | <ul style="list-style-type: none"> • California DGS Small Business #1469260 • Alameda County Small Local Emerging Business #11-00059 • City of Oakland Local Business Enterprise #7235 |
| A Brief History of the Firm and Types of Business Conducted | |
| <p>Terraphase Engineering Inc. was incorporated on September 27, 2010, in Oakland, California. The firm was founded by four principals with extensive and varied environmental consulting experience, and significant accomplishments in hazardous material investigations, site investigations, and soil and groundwater remediation throughout the United States and Canada. Since 2010, Terraphase has grown from our four founding principals in Oakland to a staff of 150 professionals spread across 14 offices in the United States. We offer cost-effective solutions to complex environmental and engineering challenges. We specialize in environmental compliance, CEQA and NEPA documentation, hazardous material assessments, remediation design and oversight services, regulatory compliance, due diligence, civil and geotechnical engineering, and litigation support. Terraphase provides services to clients across a wide range of market sectors, including municipalities/counties, education, federal agencies, developers, general industry, construction, chemicals, pharmaceuticals, legal services, utilities/energy, financial/insurance, and food/agriculture.</p> <p>Terraphase is a long-time sponsor of, and advocate for, the Coalition of Adequate School Housing, the preeminent statewide organization representing school facility professionals in both the public and private sectors.</p> | |

3.2 Key Subconsultant Information

Terraphase does not anticipate the use of any subconsultants to complete the aforementioned scope of services which is based upon the RFQ/P issued by the District on August 2, 2023.

3.3 Approach

Terraphase understands from the RFQ/P that the Modernization Project at Roosevelt Middle School (“the Project”) includes the following elements:

- **Interim Housing:** Placement of 13 classroom portables, an administration main office portable, and a restroom portable; installation of mechanical, electrical, and plumbing utilities for the interim housing; and use of interim housing portables for approximately 3 years during the modernization activities in Building A.
- **Permanent Modular Science Building:** Placement of the modular science building and a portable restroom building; installation of mechanical, electrical, and plumbing utilities for the modular science building and portable restroom building, including installation of a photovoltaic solar system; and use of modular science building and portable restroom building.
- **Building A Modernization:** Modernization of Building A including seismic upgrades, re-roofing, re-configuration of classrooms, installation of a new heating/ventilation/air conditioning system, a new entry ramp, and modifications to the existing fire sprinkler system, fire alarm, intrusion alarm, clock/bell/PA, and data/network systems. The

switchgear will also be upgraded and a new PG&E transformer pad will be installed. Once the portables are removed, the playground will be restored to its original conditions including asphalt paving, turf field restoration, restriping, new landscaping and a garden, bioretention swales, and removal of temporary utilities that serve the portables.

Based on the description in the RFQ/P and Addendum #2, Terraphase understands that site preparation activities associated with the temporary portable buildings and permanent modular buildings (identified as Increment #1 in the RFQ/P) have already been completed and are considered by the District to be outside of the requested CEQA scope of the current RFQ/P. Terraphase will work with the District to ensure a Project Description is developed for the CEQA document that adequately meets the definition of a "Project" under Section 15378 of the CEQA Guidelines, ensuring all applicable activities associated with the Project are appropriately covered to prevent segmentation under CEQA. Assuming that the number of proposed new classrooms is fewer than 10 and additional capacity associated with the new classrooms would not result in a 25 percent or greater increase in student capacity over existing conditions, a CEQA Categorical Exemption (Cat-Ex) would be applicable to the Project. Section 15314 of the CEQA Guidelines states that a Class 14 Exemption from CEQA consists of minor additions to existing schools within existing school grounds where the addition does not increase original student capacity by more than 25 percent or 10 classrooms (whichever is less). However, Section 15300.2 outlines exceptions to the use of a Cat-Ex, even if a proposed project meets the class description. Accordingly, to support the District's CEQA process requirements for the Project, Terraphase proposes to develop a technical memorandum assessing the exceptions in support of the application of the Class 14 Exemption to the Project. This would provide supporting documentation for a recommended Filing of an NOE (Task 2) or MND (Task 3). Based on the scope of the Project and location of the interim housing classrooms and modular Science Building, Terraphase assumes that archaeology, historical resources, and biology studies are not necessary to complete the technical memorandum and support the Class 14 Exemption or MND.

The tasks associated with the Scope of Work are identified below.

- **Task 1A – Preliminary Assessment:** Terraphase will schedule a kickoff meeting with the District and design team to discuss the whole of the Project with respect to CEQA compliance, scheduling, design details, and data needs. Following the kickoff meeting, Terraphase will submit a draft project schedule and a data request list to the District. Based on the information provided during the kickoff meeting and a review by Terraphase of the data provided by the District, Terraphase will prepare a draft Project Description for the District's review. The Cat-Ex criteria will be evaluated with respect to the draft Project Description to confirm its applicability and ensure compliance with the selected CEQA pathway. This would require confirmation that the Project consists of minor additions to the existing school facility where the addition does not increase original student capacity by more than 25 percent or 10 classrooms, whichever is less; the addition of portable classrooms is also included in this exemption. Following incorporation of one round of District comments, the Project Description will be finalized and will serve as the basis for the environmental analysis.
- **Task 1B – Environmental Analysis:** The Terraphase team will prepare the following technical evaluations to support the environmental analysis needed to support a determination regarding the Cat-Ex or the impact analysis within the IS/MND, if warranted.
 - **Air Quality Technical Evaluation:** Terraphase will prepare an analysis of the air quality, greenhouse gas (GHG), and community risk and hazards associated with construction of the Project. The analysis will be conducted in accordance with the approach outlined in the Bay Area Air Quality Management District (BAAQMD) CEQA Air Quality Guidelines (May 2017). Conformance with applicable air pollution control regulations and GHG reduction programs currently in place under the BAAQMD's Clean Air Plan, California environmental laws, and the City of Oakland's General Plan and Climate Action Plan will also be evaluated. Project construction and operational emissions of air pollutants, toxic air contaminants (TACs) and GHG will be estimated using the CalEEMod model using project-specific information provided by the design team. Exposures of nearby existing pollutant-sensitive receptors to air pollutants and TACs from Project and other influential local sources will be estimated by the application of BAAQMD screening tables or screening models for construction sources, Project operational sources and other cumulative stationary and mobile TAC

sources identified by the BAAQMD within 1,000 feet of each Project site. If applicable, conditions of approval or mitigation measures (if required) will be identified to address potentially significant impacts.

- **Hydrology and Water Quality Evaluation:** Terraphase will prepare a Hydrology and Water Quality evaluation which will document site-specific issues relating to surface and groundwater hydrology, site drainage, stormwater pollution prevention during construction, post-construction operations, and associated regulatory standards. The evaluation will consider the Project's conformance with the City of Oakland's Storm Drainage Standards and the Alameda Countywide Clean Water Program new development requirements. Terraphase will identify applicable conditions of approval and associated best management practices for the proposed work to address potential temporary construction impacts and long-term operations. Terraphase assumes that site topographic surveys, as-built drawings of existing roads and drainage utilities, engineering drainage studies, and any other hydrology or geotechnical studies previously conducted will be provided. A detailed hydrologic site survey is not included in this proposal.
- **Noise Technical Evaluation:** Terraphase will evaluate Project construction and operational noise impacts according to the significance criteria specified in the applicable noise exposure standards and noise reduction policies of the City of Oakland Noise Ordinance and General Plan. This scope of work does not include baseline noise monitoring. Terraphase will identify the sensitive receptors nearest Project activities and will assess potential noise impacts. Utilizing predicted noise levels published in the Federal Transit Noise and Vibration Impact Assessment (2006) and standard attenuation rates, Terraphase will calculate anticipated noise exposures during construction and operation of the Project. Where noise levels exceed City standards, mitigation measures will be developed to reduce impacts to less-than-significant levels.
- **Traffic and Traffic Assessment:** Terraphase will include a qualitative discussion of temporary traffic impacts during construction; traffic during operation of the Project is not anticipated to change from baseline conditions. Traffic counts and modeling are not proposed.
- **Hazards and Hazardous Materials:** An environmental database search report will be ordered through Environmental Data Resources, Inc. to support the Cortese List analysis.
- **Task 2 – Notice of Exemption:** Terraphase will prepare a draft memorandum including the following elements:
 - **Project Overview** – The Project Overview will summarize the Project information, contacts, and applicable categorical exemption.
 - **Project Description** – The Project Description will summarize the purpose and objectives of the Project, the Project elements, access and parking at the Project site, and the anticipated construction schedule.¹
 - **Cat-Ex Analysis** – The analysis will detail how the Project meets the conditions of the Class 14 Exemption and that the Project does not present any of the exceptions to the Exemption as identified in Section 15300.2 of the CEQA Guidelines. The analysis will be supported by the technical evaluations which will be appended to the NOE package.

Terraphase will prepare the NOE form, with the Cat-Ex Analysis included as an attachment, for District review; Terraphase assumes one round of District comments will be incorporated into the final NOE package. Terraphase will provide the District with a final electronic document which can be provided to the School Board and posted to the District's website.

Following District signature and authorization to file the NOE, Terraphase will file the NOE with the Alameda County Clerk on behalf of the District. Terraphase will provide the District with a stamped copy of the NOE package. Terraphase has included the Alameda County Clerk handling fee in our cost estimate.

- **Task 3A – Administrative Draft Initial Study:** If it is determined during Task 1B that a Cat-Ex does not apply to the Project, Terraphase will instead prepare an IS that meets the legal requirements of a complete, adequate, and objective statement of the Project's environmental consequences. The resulting CEQA document will provide a concise, integrated source of information for the public, District staff, and decision makers. The IS will incorporate

¹ Terraphase assumes that site layout drawings and construction schedule/equipment use will be provided by the project design team.

the Environmental Analysis conducted in Task 1B; no other technical studies are included. Terraphase will prepare the Administrative Draft version of the IS for the Project that will consider all potentially significant environmental effects from implementation and include mitigation recommendations. For each major issue area included within the IS checklist, the general outline of the CEQA impact analysis will include the Environmental Setting, the Standards of Significance, Answers to the Checklist Questions, Mitigation Measures, and Findings. Terraphase will establish the methodology for the analysis, determine and identify thresholds of significance, identify impacts, and identify feasible mitigation measures or methods to avoid significant impacts. CEQA Checklist issues required to be addressed include:

- Agriculture and Forestry Resource
- Aesthetics
- Air Quality
- Biological Resources
- Cultural Resources
- Energy
- Geology, Soils and Seismicity
- Greenhouse Gas Emissions
- Hazardous Materials and Hazards
- Hydrology and Water Quality
- Mineral Resources
- Noise/Vibration
- Population and Housing
- Public Services
- Recreation
- Transportation and Circulation
- Tribal Cultural Resources
- Utilities and Service Systems
- Wildfire

- **Task 3B – Draft IS/MND and Notice of Intent (NOI):** Terraphase will incorporate comments from the District on the Administrative Draft IS and prepare the Draft IS for public circulation. Terraphase will prepare a draft NOI to adopt an MND for the Project. It is assumed that the District will distribute the NOI to interested parties, and will post the document on its website. The District will be responsible for the coordination and costs of publishing the NOI in a local newspaper.

Terraphase will prepare a Notice of Completion and file the Draft MND with the State Clearinghouse (SCH) to initiate the 30-day public review period. An electronic copy of the Draft MND will be sent to the SCH for distribution. Terraphase will work with the District to set up an SCH registration for Project submittal as in-person deliveries are no longer accepted.

- **Task 3C – Responses to Comments and Mitigation Monitoring and Reporting Program:** Terraphase will compile the public comment letters received on the IS/MND and identify each comment requiring a response. Terraphase will prepare responses to each bracketed comment received during the public review period for the IS/MND. The proposal assumes a minor level of effort will be required to respond to comments, defined as no more than 4 hours, and no revisions to the IS analysis or conclusions will be necessary to address the comments. Once the mitigation has been approved by District staff, Terraphase will prepare a Mitigation Monitoring Reporting Program that will identify the agency with implementing and monitoring responsibility, compliance standards for the implementation of mitigation measures, and the necessary timing of mitigation measures.
- **Task 3D – File Notice of Determination (NOD):** Terraphase will prepare the NOD to be filed with the SCH following adoption of the Final MND and approval of the Project by the District. The District has five working days to file the NOD and submit payment to the Alameda County Clerk. Terraphase will submit the stamped NOD to the SCH. Payment of fees associated with the filing of the NOD are not within Terraphase’s cost estimate.
- **Task 4 – Project Management and Meetings:** In order to efficiently complete these tasks, regular meetings will be scheduled with District staff and the design team. Our budget assumes a maximum of 10 hours of technical time for meetings and project management. This scope of work assumes that Terraphase will not be asked to participate in public hearings or community workshops.

Terraphase is interested in being considered for this Project. We are prepared to serve the District expeditiously with a local team of highly qualified staff who are committed to helping the District achieve its goals.

EXHIBIT B

Hourly Rates

5 Professional Fees

5.1 Fee

In accordance with the RFQ/P, the proposed fee for the Project is presented as a “Base Fee” consisting of the scope of work for the preparation of the NOE package and an “Additive Additional Fee” for the preparation of an MND. The cost estimate also includes a 10 percent contingency, subject to District approval.

Based on our experience with similar projects, we understand that out-of-scope tasks may arise due to the need for additional technical studies, changes to the Project Description, etc. Therefore, Terraphase has included a 10 percent contingency in our total budget, which would be authorized by the District’s PM if needed for out-of-scope tasks. If additional out-of-scope tasks are identified beyond the 10 percent contingency, an additional proposal would be provided.

We propose to provide the scope-of-services described above for a total of \$50,914, inclusive of a 10 percent contingency of \$4,629 (use of contingency requires District approval). This cost estimate and associated rates schedule assumes that work will be completed prior to January 2025.

| | Base Fee (NOE Scope) | Additive Alternate Fee (MND Scope) |
|---|----------------------|------------------------------------|
| Task 1. Preliminary Assessment & Environmental Analysis | \$16,200 | \$- |
| Task 2. Notice of Exemption | \$1,290 | \$- |
| Task 3. Initial Study/Mitigated Negative Declaration | \$- | \$25,690 |
| Task 4. Project Management & Meetings | \$3,050 | \$- |
| Expenses Pass Through Costs (10%) | \$55 | \$- |
| Contingency Budget (Requires PM Approval) | \$2,060 | \$2,569 |
| Project Total | \$22,655 | \$28,259 |

Assumptions:

¹ Terraphase assumes a minor effort will be required to respond to comments if an MND is warranted. A minor effort is defined as no more than five comment letters requiring minor responses (defined as minor clarifications to the text within the IS). Should additional effort be required due to additional letters or extensive responses requiring additional research, a request for additional budget including justification will be submitted to the District.

5.2 Hourly Rates

The following table provides the schedule of fees for Terraphase; rates for support and clerical staff are included. Rates are inclusive of all overhead costs. Terraphase is pleased to offer the District a 10 percent discount from our 2023 Standard Schedule of Charges.

| Labor Classification | Standard Hourly Rate | Hourly Rate with 10% Discount |
|-------------------------------------|----------------------|-------------------------------|
| Senior Principal | \$297 | \$267.30 |
| Principal Engineer/Scientist | \$278 | \$250.20 |
| Senior Associate Engineer/Scientist | \$259 | \$233.10 |
| Associate Engineer/Scientist | \$240 | \$216.00 |
| Senior Project Engineer/Scientist | \$225 | \$202.50 |
| Project Engineer/Scientist | \$210 | \$189.00 |
| Senior Staff 2 Engineer/Scientist | \$194 | \$174.60 |

PROPOSAL FOR CEQA CONSULTING SERVICES FOR THE
 MODERNIZATION PROJECT AT ROOSEVELT MIDDLE SCHOOL
 OAKLAND UNIFIED SCHOOL DISTRICT

| Labor Classification | Standard Hourly Rate | Hourly Rate with 10% Discount |
|--|----------------------|-------------------------------|
| Senior Staff 1 Engineer/Scientist | \$177 | \$159.30 |
| Staff 2 Engineer/Scientist | \$158 | \$142.20 |
| Staff 1 Engineer/Scientist | \$137 | \$123.30 |
| Senior Technician | \$145 | \$130.50 |
| Technician 3 | \$125 | \$112.50 |
| Technician 2 | \$106 | \$95.40 |
| Technician 1 | \$88 | \$79.20 |
| Senior Editor/Senior Project Coordinator | \$155 | \$139.50 |
| Editor 2/Project Coordinator 2/Accountant 2 | \$135 | \$121.50 |
| Editor 1/Project Coordinator 1/Accountant 1 | \$115 | \$103.50 |
| Administrator/Project Assistant/Billing Specialist | \$95 | \$85.50 |

EXHIBIT C

Fingerprinting Notice and Acknowledgement Form

FINGERPRINTING NOTICE AND ACKNOWLEDGEMENT
FOR ALL CONTRACTS EXCEPT WHEN CONSTRUCTION EXCEPTION IS MET
(Education Code Section 45125.1)

Other than business entities performing construction, reconstruction, rehabilitation, or repair who have complied with Education Code section 45125.2, business entities entering into contracts with the District must comply with Education Code sections 45125.1. Such entities are responsible for ensuring full compliance with the law and should therefore review all applicable statutes and regulations. The following information is provided simply to assist such entities with compliance with the law:

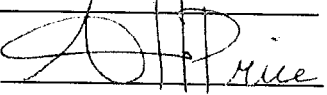
1. You (as a business entity) shall ensure that each of your employees who interacts with pupils outside of the immediate supervision and control of the pupil's parent or guardian or a school employee has a valid criminal records summary as described in Education Code section 44237. (Education Code §45125.1(a).) You shall do the same for any other employees as directed by the District. (Education Code §45125.1(c).) When you perform the criminal background check, you shall immediately provide any subsequent arrest and conviction information it receives to the District pursuant to the subsequent arrest service. (Education Code §45125.1(a).)
2. You shall not permit an employee to interact with pupils until the Department of Justice has ascertained that the employee has not been convicted of a felony as defined in Education Code section 45122.1. (Education Code §45125.1(e).) See the lists of violent and serious felonies in *Attachment A* to this Notice.
3. Prior to performing any work or services under your contract with the District, and prior to being present on District property or being within the vicinity of District pupils, you shall certify in writing to the District under the penalty of perjury that neither the employer nor any of its employees who are required to submit fingerprints, and who may interact with pupils, have been convicted of a felony as defined in Education Code section 45122.1, and that you are in full compliance with Education Code section 45125.1. (Education Code §45125.1(f).) For this certification, you shall use the form in *Attachment B* to this Notice.
4. If you are providing the above services in an emergency or exceptional situation, you are not required to comply with Education Code section 45125.1, above. An "emergency or exceptional" situation is one in which pupil health or safety is endangered or when repairs are needed to make a facility safe and habitable. The District shall determine whether an emergency or exceptional situation exists. (Education Code §45125.1(b).)
5. If you are an individual operating as a sole proprietor of a business entity, you are considered an employee of that entity for purposes of Education Code section 45125.1, and the District shall prepare and submit your fingerprints to the Department of Justice as described in Education Code section 45125.1(a).

(Education Code §45125.1(h).)

I, as Principal Engineer [*insert "owner" or officer title*] of Terraphase Engineering, Inc.
[*insert name of business entity*], have read the foregoing and agree that Terraphase Engineering Inc.
[insert name of business entity] will comply with the requirements of Education
Code §45125.1 as applicable, including submission of the certificate mentioned above.

Dated: October 13, 2023

Name: Alice Hale Price

Signature:  Alice

Title: Principal Engineer

ATTACHMENT A

Violent and Serious Felonies

Under Education Code sections 45122.1 and 45125.1, no employee of a contractor or subcontractor who has been convicted of or has criminal proceedings pending for a violent or serious felony may come into contact with any student. A violent felony is any felony listed in subdivision (c) of Section 667.5 of the Penal Code. Those felonies are presently defined as:

- (1) Murder or voluntary manslaughter.
- (2) Mayhem.
- (3) Rape as defined in paragraph (2) or (6) of subdivision (a) of Section 261 or paragraph (1) or (4) of subdivision (a) of Section 262.
- (4) Sodomy as defined in subdivision (c) or (d) of Section 286.
- (5) Oral copulation as defined in subdivision (c) or (d) of Section 288a.
- (6) Lewd or lascivious act as defined in subdivision (a) or (b) of Section 288.
- (7) Any felony punishable by death or imprisonment in the state prison for life.
- (8) Any felony in which the defendant inflicts great bodily injury on any person other than an accomplice which has been charged and proved as provided for in Section 12022.7, 12022.8, or 12022.9 on or after July 1, 1977, or as specified prior to July 1, 1977, in Sections 213, 264, and 461, or any felony in which the defendant uses a firearm which use has been charged and proved as provided in subdivision (a) of Section 12022.3, or Section 12022.5 or 12022.55.
- (9) Any robbery.
- (10) Arson, in violation of subdivision (a) or (b) of Section 451.
- (11) Sexual penetration as defined in subdivision (a) or (j) of Section 289.
- (12) Attempted murder.
- (13) A violation of Section 18745, 18750, or 18755.
- (14) Kidnapping.
- (15) Assault with the intent to commit a specified felony, in violation of

Section 220.

- (16) Continuous sexual abuse of a child, in violation of Section 288.5.
- (17) Carjacking, as defined in subdivision (a) of Section 215.
- (18) Rape, spousal rape, or sexual penetration, in concert, in violation of Section 264.1.
- (19) Extortion, as defined in Section 518, which would constitute a felony violation of Section 186.22 of the Penal Code.
- (20) Threats to victims or witnesses, as defined in Section 136.1, which would constitute a felony violation of Section 186.22 of the Penal Code.
- (21) Any burglary of the first degree, as defined in subdivision (a) of Section 460, wherein it is charged and proved that another person, other than an accomplice, was present in the residence during the commission of the burglary.
- (22) Any violation of Section 12022.53.
- (23) A violation of subdivision (b) or (c) of Section 11418.

A serious felony is any felony listed in subdivision (c) Section 1192.7 of the Penal Code. Those felonies are presently defined as:

- (1) Murder or voluntary manslaughter; (2) Mayhem; (3) Rape; (4) Sodomy by force, violence, duress, menace, threat of great bodily injury, or fear of immediate and unlawful bodily injury on the victim or another person; (5) Oral copulation by force, violence, duress, menace, threat of great bodily injury, or fear of immediate and unlawful bodily injury on the victim or another person; (6) Lewd or lascivious act on a child under the age of 14 years; (7) Any felony punishable by death or imprisonment in the state prison for life; (8) Any felony in which the defendant personally inflicts great bodily injury on any person, other than an accomplice, or any felony in which the defendant personally uses a firearm; (9) Attempted murder; (10) Assault with intent to commit rape, or robbery; (11) Assault with a deadly weapon or instrument on a peace officer; (12) Assault by a life prisoner on a non-inmate; (13) Assault with a deadly weapon by an inmate; (14) Arson; (15) Exploding a destructive device or any explosive with intent to injure; (16) Exploding a destructive device or any explosive causing bodily injury, great bodily injury, or mayhem; (17) Exploding a destructive device or any explosive with intent to murder; (18) Any burglary of the first degree; (19) Robbery or bank robbery; (20) Kidnapping; (21) Holding of a hostage by a person confined in a state prison; (22) Attempt to commit a felony punishable by death or imprisonment in the state prison for life; (23) Any felony in which the defendant personally used a dangerous or deadly weapon; (24) Selling, furnishing, administering, giving, or offering to sell, furnish, administer, or give to a minor any heroin, cocaine, phencyclidine (PCP), or any methamphetamine-related drug,

as described in paragraph (2) of subdivision (d) of Section 11055 of the Health and Safety Code, or any of the precursors of methamphetamines, as described in subparagraph (A) of paragraph (1) of subdivision (f) of Section 11055 or subdivision (a) of Section 11100 of the Health and Safety Code; (25) Any violation of subdivision (a) of Section 289 where the act is accomplished against the victim's will by force, violence, duress, menace, or fear of immediate and unlawful bodily injury on the victim or another person; (26) Grand theft involving a firearm; (27) carjacking; (28) any felony offense, which would also constitute a felony violation of Section 186.22; (29) assault with the intent to commit mayhem, rape, sodomy, or oral copulation, in violation of Section 220; (30) throwing acid or flammable substances, in violation of Section 244; (31) assault with a deadly weapon, firearm, machine gun, assault weapon, or semiautomatic firearm or assault on a peace officer or firefighter, in violation of Section 245; (32) assault with a deadly weapon against a public transit employee, custodial officer, or school employee, in violation of Sections 245.2, 245.3, or 245.5; (33) discharge of a firearm at an inhabited dwelling, vehicle, or aircraft, in violation of Section 246; (34) commission of rape or sexual penetration in concert with another person, in violation of Section 264.1; (35) continuous sexual abuse of a child, in violation of Section 288.5; (36) shooting from a vehicle, in violation of subdivision (c) or (d) of Section 26100; (37) intimidation of victims or witnesses, in violation of Section 136.1; (38) criminal threats, in violation of Section 422; (39) any attempt to commit a crime listed in this subdivision other than an assault; (40) any violation of Section 12022.53; (41) a violation of subdivision (b) or (c) of Section 11418; and (42) any conspiracy to commit an offense described in this subdivision.

ATTACHMENT B

Form for Certification of Lack of Felony Convictions

Note: This form must be submitted by the owner, or an officer, of the contracting entity before it may commence any work or services, and before it may be present on District property or be within the vicinity of District pupils.

Entity Name: Terraphase Engineering Inc.
Date of Entity's Contract with District: October 13, 2023
Scope of Entity's Contract with District: CEQA Services

I, Alice Hale Price [insert name], am the Principal Engineer [insert "owner" or officer title] for Terraphase Engineering Inc. [insert name of business entity] ("Entity"), which entered a contract on October 13, 2024 with the District for CEQA Services.

I certify that (1) pursuant to Education Code section 45125.1(f), neither the Entity, nor any of its employees who are required to submit fingerprints and who may interact with pupils, have been convicted of a felony as defined in Education Code section 45122.1; and (2) the Entity is in full compliance with Education Code section 45125.1, including but not limited to each employee who will interact with a pupil outside of the immediate supervision and control of the pupil's parent or guardian having a valid criminal background check as described in Education Code section 44237.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

Date: October 13, 2024

Signature: 

Typed Name: Alice Hale Price

Title: Principal Engineer/Director of Bay Area Operations

Entity: Terraphase Engineering Inc.



TERRENG-02

AGEORGE

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
10/10/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

| | |
|---|---|
| PRODUCER License # 0C36861 Seattle-Alliant Insurance Services, Inc. 401 Union Street, 31st Floor Seattle, WA 98101 | CONTACT NAME: Melanie Kelly |
| | PHONE (A/C, No, Ext): _____ FAX (A/C, No): _____ |
| | E-MAIL ADDRESS: Melanie.Kelly@alliant.com |
| | INSURER(S) AFFORDING COVERAGE |
| INSURED Terraphase Engineering, Inc. 1300 Clay Street, Suite 1000 Oakland, CA 94612 | INSURER A : Crum & Forster Specialty Insurance Company NAIC # 44520 |
| | INSURER B : Valley Forge Insurance Company 20508 |
| | INSURER C : National Fire Insurance Company of Hartford 20478 |
| | INSURER D : |
| | INSURER E : |
| | INSURER F : |


COVERAGES CERTIFICATE NUMBER: _____ REVISION NUMBER: _____

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR | TYPE OF INSURANCE | ADDL INSD | SUBR WVD | POLICY NUMBER | POLICY EFF (MM/DD/YYYY) | POLICY EXP (MM/DD/YYYY) | LIMITS |
|----------|---|-----------|----------|---------------|-------------------------|-------------------------|---|
| A | <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER: _____ | X | X | EPK-145420 | 10/4/2023 | 10/4/2024 | EACH OCCURRENCE \$ 10,000,000 |
| | | | | | | | DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 50,000 |
| | | | | | | | MED EXP (Any one person) \$ 10,000 |
| | | | | | | | PERSONAL & ADV INJURY \$ 10,000,000 |
| | | | | | | | GENERAL AGGREGATE \$ 10,000,000 |
| | | | | | | | PRODUCTS - COMP/OP AGG \$ 10,000,000 |
| | | | | | | | \$ |
| B | <input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY | X | X | 7063362068 | 10/4/2023 | 10/4/2024 | COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 |
| | | | | | | | BODILY INJURY (Per person) \$ |
| | | | | | | | BODILY INJURY (Per accident) \$ |
| | | | | | | | PROPERTY DAMAGE (Per accident) \$ |
| | | | | | | | \$ |
| A | <input type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 0 | | | EFX-123818 | 10/4/2023 | 10/4/2024 | EACH OCCURRENCE \$ 5,000,000 |
| | | | | | | | AGGREGATE \$ 5,000,000 |
| | | | | | | | \$ |
| C | WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input checked="" type="checkbox"/> Y/N N If yes, describe under DESCRIPTION OF OPERATIONS below | | N/A | 7040301201 | 10/4/2023 | 10/4/2024 | <input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER |
| | | | | | | | E.L. EACH ACCIDENT \$ 1,000,000 |
| | | | | | | | E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 |
| | | | | | | | E.L. DISEASE - POLICY LIMIT \$ 1,000,000 |
| A | Prof Liab/Pollution | | | EPK-145420 | 10/4/2023 | 10/4/2024 | Each Claim/Each Occ 5,000,000 |
| A | Prof Liab/Pollution | | | EPK-145420 | 10/4/2023 | 10/4/2024 | Aggregate 5,000,000 |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 All Other States Work Comp #7040301196
 10.4.23 - 10.4.24
 National Fire Insurance of Hartford
 Employers Liability Limits: \$1m/\$1m/\$1m
 Statutory Coverage Applies

Modernization Project at Roosevelt Middle School at 1926 19th Avenue, Oakland, CA 94606
 SEE ATTACHED ACORD 101

| | |
|--|---|
| CERTIFICATE HOLDER Oakland Unified School District 955 High Street Oakland, CA 94601 | CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. |
| | AUTHORIZED REPRESENTATIVE  |



ADDITIONAL REMARKS SCHEDULE

| | | | |
|---|-----------------------------|-----------------------------------|---|
| AGENCY Seattle-Alliant Insurance Services, Inc. | | License # 0C36861 | NAMED INSURED Terraphase Engineering, Inc. 1300 Clay Street, Suite 1000 Oakland, CA 94612 |
| POLICY NUMBER SEE PAGE 1 | | | |
| CARRIER SEE PAGE 1 | NAIC CODE SEE P 1 | EFFECTIVE DATE: SEE PAGE 1 | |

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,
FORM NUMBER: **ACORD 25** FORM TITLE: **Certificate of Liability Insurance**

Description of Operations/Locations/Vehicles:
Oakland Unified School District and the State and their representatives, employees, trustees, officers and volunteers are Additional Insured with respect to the General Liability and Auto Liability per forms attached. Umbrella follows form. General Liability is Primary and Non-Contributory per form attached. Notice of Cancellation applies per forms attached.

Date: Jul 24, 2023

To: Kenya Chatman, Colland Jang

CC: David Colbert, Mark Newton, Ty Taylor, Juanita Hunter, Shonda Scott, Shonnell Frost-Gibbs, Blake Brown

From: Tiffany Knuckles

Subject: LBU Recommendation - Scope Specific - CEQA Services

Greetings Ms. Chatman and Mr. Jang,

As per the Oakland Unified School District's Local Business Policy Program Requirements Section of AR 7115: Prior to the issuance of a formal invitation for bid, the District shall ensure that there are at least three certified businesses listed in the industry, trade or profession that constitutes a major category of work. If at least three L/SL/SLRBEs are not certified, then the requirement may, subject to the discretion of the District, be waived, or the 50% requirement may be reset from 50% all the way to 0%, depending on the particular circumstances at time of bid.

Referenced Scope: CEQA Services

An availability analysis has been conducted for NAICS Code: 541620 (**Environmental Consultant Services**) with a specialization in CEQA Services, to determine the availability of certified firms to meet local business utilization on projects. Upon review of the City of Oakland, Port of Oakland, and Alameda County certification databases, we identified 9 L/SLBE firms eligible to perform services within the identified scope.

360 Total Concept conducted significant outreach to elicit the interest and engagement of the L/SLBE Environmental firms. However, due to low responsiveness from local and small contractors and limited number of firms capable to perform services within the specialized scope, it is recommended that the District waive the entire 50% LBU requirement for the following project(s):

- **CEQA Consulting Services for the Modernization Project At Roosevelt Middle School.**

Please note that updated analyses are done bi-annually as an ongoing assessment. A follow up assessment will be conducted in six months in order to ensure that the Local Business Policy is administered as intended by the Board of Education's policy.

If there are any questions, please feel free to contact our team at any time.

Sincerely,
Tiffany Knuckles



DIVISION OF FACILITIES PLANNING & MANAGEMENT ROUTING FORM

Project Information

| | | | |
|---------------------|--|-------------|-----|
| Project Name | Roosevelt Middle School- Modernization Project | Site | 212 |
|---------------------|--|-------------|-----|

Basic Directions

Services cannot be provided until the contract is awarded by the Board or is entered by the Superintendent pursuant to authority delegated by the Board.

| | |
|-----------------------------|---|
| Attachment Checklist | <input checked="" type="checkbox"/> Proof of general liability insurance, including certificates and endorsements, if contract is over \$15,000 <input checked="" type="checkbox"/> Workers compensation insurance certification, unless vendor is a sole provider |
|-----------------------------|---|

Contractor Information

| | | | | | | | |
|---------------------------|-------------------------------------|---|--------------------|-----------------------------|----|------------|---|
| Contractor Name | Terraphase Engineering, Inc. | Agency's Contact | Alice Price | | | | |
| OUSD Vendor ID # | 004240 | Title | Principal Engineer | | | | |
| Street Address | 1404 Franklin Street, Suite 600 | City | Oakland | State | CA | Zip | 94612 |
| Telephone | 510-390-1276 | Policy Expires | | | | | |
| Contractor History | Previously been an OUSD contractor? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | Worked as an OUSD employee? | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| OUSD Project # | 19101 | | | | | | |

Term of Original/Amended Contract

| | | | |
|--|------------|---|------------|
| Date Work Will Begin (i.e., effective date of contract) | 11-09-2023 | Date Work Will End By (not more than 5 years from start date; for construction contracts, enter planned completion date) | 11-09-2027 |
| | | New Date of Contract End (If Any) | |

Compensation/Revised Compensation

| | | | |
|---|----|--|-------------|
| If New Contract, Total Contract Price (Lump Sum) | \$ | If New Contract, Total Contract Price (Not To Exceed) | \$50,914.00 |
| Pay Rate Per Hour (if Hourly) | \$ | If Amendment, Change in Price | \$ |
| Other Expenses | | Requisition Number | |

Budget Information

If you are planning to multi-fund a contract using LEP funds, please contact the State and Federal Office before completing requisition.

| Resource # | Funding Source | Org Key | Object Code | Amount |
|------------|--------------------|--|-------------|-------------|
| 9655/9787 | Fund 21, Measure Y | 210-9650-0-9787-8500-6215-212-9180-9906-9999-191 | 6215 | \$50,914.00 |

Approval and Routing (in order of approval steps)

Services cannot be provided before the contract is fully approved and a Purchase Order is issued. Signing this document affirms that to your knowledge services were not provided before a PO was issued.

| | | | | | |
|----|---|----------------------|--------------|------------|--------------|
| | Division Head | Phone | 510-535-7038 | Fax | 510-535-7082 |
| 1. | Executive Director, Facilities Planning and Management | | | | |
| | Signature | Date Approved | Oct 13, 2023 | | |
| 2. | General Counsel, Department of Facilities Planning and Management | | | | |
| | Signature Lozano Smith, approved as to form | Date Approved | 10/13/23 | | |
| 3. | Chief Systems and Services Officer, Facilities Planning and Management | | | | |
| | Signature | Date Approved | Oct 13, 2023 | | |
| 4. | Chief Financial Officer | | | | |
| | Signature | Date Approved | | | |
| 5. | President, Board of Education | | | | |
| | Signature Mike Hutchinson | Date Approved | 11/17/2023 | | |






23-2294

Final Audit Report

2023-10-13

| | |
|-----------------|--|
| Created: | 2023-10-13 |
| By: | Myra Segovia (myra.segovia@ousd.org) |
| Status: | Signed |
| Transaction ID: | CBJCHBCAABAA_VOC8iq0J-q-KuQb-5Al8ncAQTu1oKRk |

"23-2294" History

-  Document created by Myra Segovia (myra.segovia@ousd.org)
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-  Email viewed by Preston Thomas (preston.thomas@ousd.org)
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-  Document e-signed by Preston Thomas (preston.thomas@ousd.org)
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-  Agreement completed.
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